### 104TH CONGRESS 1ST SESSION

# S. 619

To phase out the use of mercury in batteries and provide for the efficient and cost-effective collection and recycling or proper disposal of used nickel cadmium batteries, small sealed lead-acid batteries, and certain other batteries, and for other purposes.

### IN THE SENATE OF THE UNITED STATES

MARCH 24 (legislative day, MARCH 23), 1995

Mr. Smith (for himself, Mr. Lautenberg, Mr. Faircloth, Mr. McConnell, Mr. Simon, Mr. Mack, Mr. Bond, Mr. Graham, Mr. Lieberman, Mr. Warner, and Mr. Reid) introduced the following bill; which was read twice and referred to the Committee on Environment and Public Works

## A BILL

To phase out the use of mercury in batteries and provide for the efficient and cost-effective collection and recycling or proper disposal of used nickel cadmium batteries, small sealed lead-acid batteries, and certain other batteries, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION. 1. SHORT TITLE.
- 4 This Act may be cited as the "Mercury-Containing
- 5 and Rechargeable Battery Management Act".

### 1 SEC. 2. FINDINGS.

2	Congress finds that—
3	(1) it is in the public interest to—
4	(A) phase out the use of mercury in bat-
5	teries and provide for the efficient and cost-ef-
6	fective collection and recycling or proper dis-
7	posal of used nickel cadmium batteries, small
8	sealed lead-acid batteries, and other regulated
9	batteries; and
10	(B) educate the public concerning the col-
11	lection, recycling, and proper disposal of such
12	batteries;
13	(2) uniform national labeling requirements for
14	regulated batteries, rechargeable consumer products,
15	and product packaging will significantly benefit pro-
16	grams for regulated battery collection and recycling
17	or proper disposal; and
18	(3) it is in the public interest to encourage per-
19	sons who use rechargeable batteries to participate in
20	collection for recycling of used nickel-cadmium,
21	small sealed lead-acid, and other regulated batteries.
22	SEC. 3. DEFINITIONS.
23	In this Act:
24	(1) Administrator.—The term "Adminis-
25	trator" means the Administrator of the Environ-
26	mental Protection Agency.

1	(2) Button cell.—The term "button cell"
2	means a button- or coin-shaped battery.
3	(3) Easily removable.—The term "easily re-
4	movable", with respect to a battery, means detach-
5	able or removable at the end of the life of the bat-
6	tery—
7	(A) from a consumer product by a
8	consumer with the use of common household
9	tools; or
10	(B) by a retailer of replacements for a bat-
11	tery used as the principal electrical power
12	source for a vehicle.
13	(4) Mercuric-oxide battery.—The term
14	"mercuric-oxide battery" means a battery that uses
15	a mercuric-oxide electrode.
16	(5) Rechargeable battery.—The term "re-
17	chargeable battery"—
18	(A) means 1 or more voltaic or galvanic
19	cells, electrically connected to produce electric
20	energy, that is designed to be recharged for re-
21	peated uses; and
22	(B) includes any type of enclosed device or
23	sealed container consisting of 1 or more such
24	cells, including what is commonly called a bat-
25	tery pack (and in the case of a battery pack, for

1	the purposes of the requirements of easy remov-
2	ability and labeling under section 103, means
3	the battery pack as a whole rather than each
4	component individually); but
5	(C) does not include—
6	(i) a lead-acid battery used to start an
7	internal combustion engine or as the prin-
8	cipal electrical power source for a vehicle,
9	such as an automobile, a truck, construc-
10	tion equipment, a motorcycle, a garden
11	tractor, a golf cart, a wheelchair, or a boat;
12	(ii) a lead-acid battery used for load
13	leveling or for storage of electricity gen-
14	erated by an alternative energy source,
15	such as a solar cell or wind-driven genera-
16	tor;
17	(iii) a battery used as a backup power
18	source for memory or program instruction
19	storage, timekeeping, or any similar pur-
20	pose that requires uninterrupted electrical
21	power in order to function if the primary
22	energy supply fails or fluctuates momen-
23	tarily; or
24	(iv) a rechargeable alkaline battery.

1	(6) RECHARGEABLE CONSUMER PRODUCT.—
2	The term ''rechargeable consumer product''—
3	(A) means a product that, when sold at re-
4	tail, includes a regulated battery as a primary
5	energy supply, and that is primarily intended
6	for personal or household use; but
7	(B) does not include a product that only
8	uses a battery solely as a source of backup
9	power for memory or program instruction stor-
10	age, timekeeping, or any similar purpose that
11	requires uninterrupted electrical power in order
12	to function if the primary energy supply fails or
13	fluctuates momentarily.
14	(7) REGULATED BATTERY.—The term "regu-
15	lated battery" means a rechargeable battery that—
16	(A) contains a cadmium or a lead electrode
17	or any combination of cadmium and lead elec-
18	trodes; or
19	(B) contains other electrode chemistries
20	and is the subject of a determination by the Ad-
21	ministrator under section 103(d).
22	(8) Remanufactured product.—The term
23	"remanufactured product" means a rechargeable
24	consumer product that has been altered by the re-

- 1 placement of parts, repackaged, or repaired after
- 2 initial sale by the original manufacturer.

#### 3 SEC. 4. INFORMATION DISSEMINATION.

- 4 The Administrator shall, in consultation with rep-
- 5 resentatives of rechargeable battery manufacturers, re-
- 6 chargeable consumer product manufacturers, and retail-
- 7 ers, establish a program to provide information to the pub-
- 8 lic concerning the proper handling and disposal of used
- 9 regulated batteries and rechargeable consumer products
- 10 with nonremovable batteries.

#### 11 SEC. 5. ENFORCEMENT.

- 12 (a) CIVIL PENALTY.—When on the basis of any infor-
- 13 mation the Administrator determines that a person has
- 14 violated or is in violation of any requirement of this Act,
- 15 the Administrator—
- 16 (1) in the case of a willful violation, may issue
- an order assessing a civil penalty of not more than
- 18 \$10,000 for each violation and requiring compliance
- immediately or within a reasonable specified time pe-
- 20 riod, or both; or
- 21 (2) in the case of any violation, may commence
- a civil action in the United States district court in
- 23 the district in which the violation occurred for ap-
- propriate relief, including a temporary or permanent
- 25 injunction.

- 1 (b) CONTENTS OF ORDER.—An order under sub-
- 2 section (a)(1) shall state with reasonable specificity the
- 3 nature of the violation.
- 4 (c) Considerations.—In assessing a civil penalty
- 5 under subsection (a)(1), the Administrator shall take into
- 6 account the seriousness of the violation and any good faith
- 7 efforts to comply with applicable requirements.
- 8 (d) Finality of Order; Request for Hearing.—
- 9 An order under subsection (a)(1) shall become final un-
- 10 less, not later than 30 days after the order is served, a
- 11 person named in the order requests a hearing on the
- 12 record.
- 13 (e) HEARING.—On receiving a request under sub-
- 14 section (d), the Administrator shall promptly conduct a
- 15 hearing on the record.
- 16 (f) Subpoena Power.—In connection with any
- 17 hearing on the record under this section, the Adminis-
- 18 trator may issue subpoenas for the attendance and testi-
- 19 mony of witnesses and for the production of relevant pa-
- 20 pers, books, and documents.
- 21 (g) CONTINUED VIOLATION AFTER EXPIRATION OF
- 22 Period for Compliance.—If a violator fails to take cor-
- 23 rective action within the time specified in an order under
- 24 subsection (a)(1), the Administrator may assess a civil

- 1 penalty of not more than \$10,000 for the continued non-
- 2 compliance with the order.

#### 3 SEC. 6. INFORMATION GATHERING AND ACCESS.

- 4 (a) RECORDS AND REPORTS.—A person who is re-
- 5 quired to carry out the objectives of this Act, including—
- 6 (1) a regulated battery manufacturer;
- 7 (2) a rechargeable consumer product manufac-
- 8 turer;
- 9 (3) a mercury-containing battery manufacturer;
- 10 and
- 11 (4) an authorized agent of a person described
- in subparagraph (A), (B), or (C),
- 13 shall establish and maintain such records and report such
- 14 information as the Administrator may by regulation rea-
- 15 sonably require to carry out the objectives of this Act.
- 16 (b) Access and Copying.—The Administrator or
- 17 the Administrator's authorized representative, on presen-
- 18 tation of credentials of the Administrator, may at reason-
- 19 able times have access to and copy any records required
- 20 to be maintained under subsection (a).
- 21 (c) Confidentiality.—The Administrator shall
- 22 maintain the confidentiality of documents and records that
- 23 contain proprietary information.

### 1 SEC. 7. STATE AUTHORITY.

- 2 Except as provided in sections 103(e) and 104, noth-
- 3 ing in this Act shall be construed to prohibit a State from
- 4 enacting and enforcing a standard or requirement that is
- 5 more stringent than a standard or requirement established
- 6 or promulgated under this Act.

#### 7 SEC. 8. AUTHORIZATION OF APPROPRIATIONS.

- 8 There are authorized to be appropriated such sums
- 9 as are necessary to carry out this Act.

### 10 TITLE I—RECHARGEABLE

### 11 BATTERY RECYCLING ACT

- 12 SEC. 101. SHORT TITLE.
- 13 This title may be cited as the "Rechargeable Battery
- 14 Recycling Act".
- 15 **SEC. 102. PURPOSE.**
- The purpose of this title is to facilitate the efficient
- 17 recycling or proper disposal of used nickel-cadmium re-
- 18 chargeable batteries, used small sealed lead-acid recharge-
- 19 able batteries, other regulated batteries, and such re-
- 20 chargeable batteries in used consumer products, by—
- 21 (1) providing for uniform labeling requirements
- and streamlined regulatory requirements for regu-
- lated battery collection programs; and
- 24 (2) encouraging voluntary industry programs by
- eliminating barriers to funding the collection and re-

1	cycling or proper disposal of used rechargeable bat-
2	teries.
3	SEC. 103. RECHARGEABLE CONSUMER PRODUCTS AND LA
4	BELING.
5	(a) Prohibition.—
6	(1) IN GENERAL.—No person shall sell for use
7	in the United States a regulated battery that is
8	ready for retail sale or a rechargeable consumer
9	product that is ready for retail sale, which was man-
10	ufactured on or after the date that is 12 months
11	after the date of enactment of this Act, unless—
12	(A) in the case of a regulated battery, the
13	regulated battery—
14	(i) is easily removable from the re-
15	chargeable consumer product; or
16	(ii) is sold separately; and
17	(B) in the case of a regulated battery or
18	rechargeable consumer product, the labeling re-
19	quirements of subsection (b) are met.
20	(2) Application.—Paragraph (1) does not
21	apply to a sale of—
22	(A) a remanufactured product unit unless
23	paragraph (1) applied to the sale of the unit
24	when originally manufactured; or

1	(B) a product unit intended for export pur-
2	poses only.
3	(b) LABELING.—Each regulated battery or recharge-
4	able consumer product without an easily removable battery
5	manufactured on or after the date that is 1 year after
6	the date of enactment of this Act, whether produced do-
7	mestically or imported, shall be labeled with—
8	(1)(A) 3 chasing arrows or a comparable recy-
9	cling symbol;
10	(B)(i) on each nickel-cadmium battery, the
11	chemical name or the abbreviation "Ni-Cd"; and
12	(ii) on each lead-acid battery, "Pb" or the
13	words "LEAD", "RETURN", and "RECYCLE";
14	(C) on each nickel-cadmium regulated battery,
15	the phrase "BATTERY MUST BE RECYCLED
16	OR DISPOSED OF PROPERLY."; and
17	(D) on each sealed lead acid regulated battery,
18	the phrase "BATTERY MUST BE RECYCLED.";
19	(2) on each rechargeable consumer product con-
20	taining a regulated battery that is not easily remov-
21	able, the phrase "CONTAINS NICKEL-CADMIUM
22	BATTERY. BATTERY MUST BE RECYCLED
23	OR DISPOSED OF PROPERLY." or "CON-
24	TAINS SEALED LEAD BATTERY. BATTERY
25	MUST BE RECYCLED.", as applicable: and

(3) on the packaging of each rechargeable consumer product, and the packaging of each regulated battery sold separately from such a product, unless the required label is clearly visible through the packaging, the phrase "CONTAINS NICKEL-CADMIUM BATTERY. BATTERY MUST BE RECYCLED OR DISPOSED OF PROPERLY." or "CONTAINS SEALED LEAD BATTERY. BATTERY MUST BE RECYCLED.", as applicable.

### (c) Existing or Alternative Labeling.—

(1) Initial period.—For a period of 2 years after the date of enactment of this Act, regulated batteries, rechargeable consumer products containing regulated batteries, and rechargeable consumer product packages that are labeled in substantial compliance with subsection (b) shall be deemed to comply with the labeling requirements of subsection (b).

### (2) CERTIFICATION.—

(A) IN GENERAL.—On application by persons subject to the labeling requirements of subsection (b) or the labeling requirements promulgated by the Administrator under subsection (d), the Administrator shall certify that a different label meets the requirements of sub-

1	section (b) or (d), respectively, if the different
2	label—
3	(i) conveys the same information as
4	the label required under subsection (b) or
5	(d), respectively; or
6	(ii) conforms with a recognized inter-
7	national standard that is consistent with
8	the overall purposes of this title.
9	(B) Constructive certification.—
10	Failure of the Administrator to object to an ap-
11	plication under subparagraph (A) on the
12	ground that a different label does not meet ei-
13	ther of the conditions described in subpara-
14	graph (A) (i) or (ii) within 120 days after the
15	date on which the application is made shall con-
16	stitute certification for the purposes of this Act.
17	(d) Rulemaking Authority of the Adminis-
18	TRATOR.—
19	(1) IN GENERAL.—If the Administrator deter-
20	mines that other rechargeable batteries having elec-
21	trode chemistries different from regulated batteries
22	are toxic and may cause substantial harm to human
23	health and the environment if discarded into the
24	solid waste stream for land disposal or incineration,
25	the Administrator may with the advice and counsel

- of State regulatory authorities and manufacturers of rechargeable batteries and rechargeable consumer products, and after public comment—
  - (A) promulgate labeling requirements for the batteries with different electrode chemistries, rechargeable consumer products containing such batteries that are not easily removable batteries, and packaging for the batteries and products; and
  - (B) promulgate requirements for easy removability of regulated batteries from rechargeable consumer products designed to contain such batteries.
  - (2) SUBSTANTIAL SIMILARITY.—The regulations promulgated under paragraph (1) shall be substantially similar to the requirements set forth in subsections (a) and (b).
- (e) UNIFORMITY.—After the effective dates of a requirement set forth in subsection (a), (b), or (c) or a regulation promulgated by the Administrator under subsection (d), no Federal agency, State, or political subdivision of a State may enforce any easy removability or environmental labeling requirement for a rechargeable battery or rechargeable consumer product that is not identical to the requirement or regulation.

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### (f) Exemptions.—

- (1) IN GENERAL.—With respect to any rechargeable consumer product, any person may submit an application to the Administrator for an exemption from the requirements of subsection (a) in accordance with the procedures under paragraph (2). The application shall include the following information:
  - (A) A statement of the specific basis for the request for the exemption.
    - (B) The name, business address, and telephone number of the applicant.
  - (2) Granting of exemption.—Not later than 60 days after receipt of an application under paragraph (1), the Administrator shall approve or deny the application. On approval of the application the Administrator shall grant an exemption to the applicant. The exemption shall be issued for a period of time that the Administrator determines to be appropriate, except that the period shall not exceed 2 years. The Administrator shall grant an exemption on the basis of evidence supplied to the Administrator that the manufacturer has been unable to commence manufacturing the rechargeable consumer product in compliance with the requirements of this

- section and with an equivalent level of product performance without the product—
- 3 (A) posing a threat to human health, safe-4 ty, or the environment; or
  - (B) violating requirements for approvals from governmental agencies or widely recognized private standard-setting organizations (including Underwriters Laboratories).
- 9 RENEWAL OF EXEMPTION.—A person 10 granted an exemption under paragraph (2) may 11 apply for a renewal of the exemption in accordance 12 with the requirements and procedures described in paragraphs (1) and (2). The Administrator may 13 14 grant a renewal of such an exemption for a period 15 of not more than 2 years after the date of the grant-16 ing of the renewal.

### 17 SEC. 104. REQUIREMENTS.

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For the purposes of carrying out the collection, storage, transportation, and recycling or proper disposal of used rechargeable batteries, batteries described in section 3(3)(C) or in title II, and used rechargeable consumer products containing rechargeable batteries that are not easily removable rechargeable batteries, persons involved in collecting, storing, or transporting such batteries or products to a facility for recycling or proper disposal shall,

- 1 notwithstanding any other law, be regulated in the same
- 2 manner and with the same limitations as if the persons
- 3 were collecting, storing, or transporting batteries subject
- 4 to subpart G of part 266 of title 40, Code of Federal Reg-
- 5 ulations, as in effect on January 1, 1993, except that sec-
- 6 tions 264.76, 265.76, and 268.7 of that title shall not
- 7 apply.

### 8 SEC. 105. COOPERATIVE EFFORTS.

- 9 Notwithstanding any other law, if 2 or more persons
- 10 who participate in projects or programs to collect and
- 11 properly manage used rechargeable batteries or products
- 12 powered by rechargeable batteries advise the Adminis-
- 13 trator of their intent, the persons may agree to develop
- 14 jointly, or to share in the costs of participating in, such
- 15 a project or program and to examine and rely on such
- 16 cost information as is collected during the project or pro-
- 17 gram.

### 18 TITLE II—MERCURY-CONTAIN-

### 19 ING BATTERY MANAGEMENT

- 20 **ACT**
- 21 SEC. 201. SHORT TITLE.
- This title may be cited as the "Mercury-Containing
- 23 Battery Management Act".

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- 2 The purpose of this title is to phase out the use of
- 3 batteries containing mercury.
- 4 SEC. 203. LIMITATIONS ON THE SALE OF ALKALINE-MAN-
- 5 GANESE BATTERIES CONTAINING MERCURY.
- 6 No person shall sell, offer for sale, or offer for pro-
- 7 motional purposes any alkaline-manganese battery manu-
- 8 factured on or after January 1, 1996, with a mercury con-
- 9 tent that was intentionally introduced (as distinguished
- 10 from mercury that may be incidentally present in other
- 11 materials), except that the limitation on mercury content
- 12 in alkaline-manganese button cells shall be 25 milligrams
- 13 of mercury per button cell.
- 14 SEC. 204. LIMITATIONS ON THE SALE OF ZINC-CARBON
- 15 BATTERIES CONTAINING MERCURY.
- No person shall sell, offer for sale, or offer for pro-
- 17 motional purposes any zinc-carbon battery manufactured
- 18 on or after January 1, 1996, that contains mercury that
- 19 was intentionally introduced as described in section 203.
- 20 SEC. 205. LIMITATIONS ON THE SALE OF BUTTON CELL
- 21 **MERCURIC-OXIDE BATTERIES.**
- No person shall sell, offer for sale, or offer for pro-
- 23 motional purposes any button cell mercuric-oxide battery
- 24 for use in the United States on or after January 1, 1996.

1	SEC. 206. LIMITATIONS ON THE SALE OF OTHER MER-
2	CURIC-OXIDE BATTERIES.
3	(a) Prohibition.—On or after January 1, 1996, no
4	person shall sell, offer for sale, or offer for promotional
5	purposes a mercuric-oxide battery for use in the United
6	States unless the battery manufacturer—
7	(1) identifies a collection site that has all re-
8	quired Federal, State, and local government approv-
9	als, to which persons may send used mercuric-oxide
10	batteries for recycling or proper disposal;
11	(2) informs each of its purchasers of mercuric-
12	oxide batteries of the collection site identified under
13	paragraph (1); and
14	(3) informs each of its purchasers of mercuric-
15	oxide batteries of a telephone number that the pur-
16	chaser may call to get information about sending
17	mercuric-oxide batteries for recycling or proper dis-
18	posal.
19	(b) Application of Section.—This section does
20	not apply to a sale or offer of a mercuric-oxide button
21	cell battery.
22	SEC. 207. NEW PRODUCT OR USE.
23	On petition of a person that proposes a new use for
24	a battery technology described in this title or the use of
25	a battery described in this title in a new product, the Ad-
26	ministrator may exempt from this title the new use of the

- 1 technology or use of battery in the new product on the
- 2 condition, if appropriate, that there exist reasonable safe-
- 3 guards to ensure that the resulting battery or product
- 4 without an easily removable battery will not be disposed
- 5 of in an incinerator, composting facility, or landfill (other
- 6 than a facility regulated under subtitle C of the Solid
- 7 Waste Disposal Act (42 U.S.C. 6291 et seq.).

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