

PLAN COLOMBIA SEMI-ANNUAL OBLIGATION REPORT

MESSAGE

FROM

THE PRESIDENT OF THE UNITED STATES

TRANSMITTING

A REPORT DETAILING THE PROGRESS OF SPENDING BY THE EXECUTIVE BRANCH DURING THE FIRST TWO QUARTERS OF FISCAL YEAR 2001 IN SUPPORT OF PLAN COLOMBIA



JULY 27, 2001.—Message and accompanying papers referred to the Committees on International Relations and Appropriations, and ordered to be printed

U.S. GOVERNMENT PRINTING OFFICE

To the Congress of the United States:

Pursuant to section 3204(e) of Public Law 106–246, I hereby transmit a report detailing the progress of spending by the executive branch during the first two quarters of Fiscal Year 2001 in support of Plan Colombia.

GEORGE W. BUSH.

THE WHITE HOUSE, *July 26, 2001.*

**Plan Colombia
Semi-Annual Obligation Report**

Prepared Pursuant to
P.L. 106-246 § 3204(e)



1st & 2nd Quarters, FY 2001

Office of National Drug Control Policy

Plan Colombia Semi-Annual Obligation Report
1st and 2nd Quarters, Fiscal Year 2001

As part of Fiscal Year (FY) 2001 appropriations, Congress enacted \$1.3 billion in FY 2000 emergency funding for counter-narcotics activities associated with Plan Colombia. This funding included \$1.018 billion appropriated to the Department of State and \$301 million appropriated to the Department of Defense. Public Law 106-246, section 3204(e), requires that the President provide Congress with a semi-annual accounting of this funding. Pursuant to this statutory provision, the Office of National Drug Control Policy (ONDCP) prepared this first *Plan Colombia Semi-Annual Obligation Report*, covering the first and second quarters of FY 2001.

In order to implement this reporting requirement, ONDCP has worked closely with the Departments of State and Defense, as well as the Office of Management and Budget, to develop an accounting report on Plan Colombia spending. As a result of this interagency process, on April 13, 2001, ONDCP issued detailed guidance to affected drug control agencies on the form and content of these reports. ONDCP's guidance carefully defined how obligations are displayed, in order to present Congress with the most accurate accounting of how budget resources have been executed. Of note is the convention employed to present obligation data for the Department of State. Of the \$1.018 billion appropriated to State, some program elements are being directly executed by State, while other program elements are being executed by other agencies, such as the Justice Department, through financial agreements with State. The data in this report represent obligations incurred by State for program elements directly executed by State, the amounts State obligated through reimbursable agreements with other agencies executing certain program elements on behalf of State, and the amounts reported as obligated by other agencies that received transfers from State to execute programs.

As the accompanying report indicates, of the \$1.3 billion initially appropriated, through March 31, 2001, \$776 million, or 59 percent, had been obligated. Overall, this obligation rate measures solid progress in implementing this initiative. In one area, Support for Human Rights and Judicial Reform, obligations during the first half of FY 2001 fell below the rate of obligations for Plan Colombia as a whole. Subsequent action should have remedied this shortly after the end of the reporting period, and significant delays in planning, coordination, or initial implementation of the individual projects did not result.

Through March 31, 2001, \$28.9 million, or 24 percent, of funding for Support for Human Rights and Judicial Reform had been obligated. Shortly after the close of the reporting period covered in this report, the Department of State, through a series of reimbursable agreements, released nearly all of the \$88 million in emergency supplemental assistance earmarked for the Plan Colombia Justice Sector Reform Program. Prior to this, the Department of State had allocated interim funds to facilitate planning, coordination, and initial implementation of this program.

For the human rights-related projects, both basic and advanced training have been conducted as scheduled for the Human Rights Specialized Units, and an operational fund allowing for the rapid individual and unit deployment to incident areas has been established.

Increased obligation rates for projects associated with Human Rights and Judicial Reform are expected to be reflected in future reports.

This report covers the first half of FY 2001. The accompanying tables provide a detailed display of obligations across 99 specific program elements associated with Plan Colombia. By December 1, 2001, a second report in this series will be forwarded to Congress that will highlight obligation data for all of FY 2001. The Administration is committed to providing accurate and timely information on the implementation of this important drug control initiative.

Plan Colombia Semi-Annual Obligation Report
 Department of State (DOS)
 (\$ in millions)

Reporting Period: <u>(1st and 2nd Qtrs, FY 2001)</u>	Total BA Enacted	This Period Obligations	Cumulative Obligations
Program Elements			
Push into Southern Colombia			
Train/Equip CNBNs	\$7.000	\$6.368	\$6.368
Army Counternarcotics UH-1N Program	60.000	29.289	45.754
Army CN Battalion Huey II Program	60.000	21.543	39.962
Army CN Battalion UH-60 Blackhawk Program	208.000	208.000	208.000
Sustain Army CN Battalions	6.000	0.282	0.282
Forward Infrastructure Development	3.000	0.000	3.000
Force Protection Enhancements	4.000	0.960	0.960
Logistical Support	4.400	2.233	2.233
Army Counternarcotics Battalion Organic Intelligence	9.000	0.000	8.836
Training for Senior Commanders	1.100	0.000	1.100
Army Counternarcotics Battalion Communications	3.000	0.000	3.000
Provide Alternative Development in Southern Colombia	10.000	10.000	10.000
Temporary Emergency Resettlement and Employment	15.000	5.500	12.500
Subtotal:	\$390.500	\$284.175	\$341.995
Support for Interdiction Efforts			
Upgrade Colombian Air Force OV-10	\$15.000	\$15.000	\$15.000
Upgrade Aircraft for Night Operations	1.900	0.000	0.000
Airfield Upgrades	8.000	1.000	8.000
Upgrade U.S. Customs Service P-3 Radar Programs	68.000	17.267	17.267
Support for Colombian Air Interdiction Program	19.500	10.154	11.804
Support for Colombian Riverine Interdiction Program	12.000	7.078	7.078
Ammunition for Colombian Riverine Interdiction Program	2.000	0.000	0.000
Colombian Navy Operations Infrastructure Support	1.000	1.000	1.000
OFAC Sanctions Support	2.000	0.005	0.005
Subtotal:	\$129.400	\$51.504	\$60.154

Plan Colombia Semi-Annual Obligation Report
Department of State (DOS)
(\$ in millions)

Reporting Period: <u>(1st and 2nd Qtrs, FY 2001)</u>	Total		
Program Elements	BA	This Period	Cumulative
	Enacted	Obligations	Obligations
Support for the Colombian National Police			
Secure Communications	\$3.000	\$0.000	\$0.000
Weapons and Ammunition	3.000	0.000	0.000
UH-60 Black Hawk Procurement and Support	26.000	0.000	26.000
Enhance Logistical Support	2.000	0.166	0.223
CNP Forward Operating Capability & Force Protection	5.000	0.000	0.000
CNP Border Bases Construction	5.000	0.000	0.000
Additional CNP Airmobile Unit	2.000	0.000	0.000
Upgrade CNP Aviation Facilities	8.000	0.000	0.000
Additional Spray Aircraft	20.000	3.949	12.600
Upgrade Existing CNP Airplanes (including FLIR)	5.000	0.000	0.000
Upgrade UH-1H Helos to Huey II Configuration	20.600	17.349	17.349
Sustainment and Operations	5.000	2.547	2.547
Training for Pilots and Mechanics	2.000	0.000	0.000
Airfield Security	2.000	0.000	0.000
Enhanced Eradication	4.000	3.726	3.726
Spare Parts	3.000	1.205	1.205
Subtotal:	\$115.600	\$28.942	\$63.650
Support for Alternative & Economic Development in Colombia			
Environmental Programs	\$2.500	\$2.500	\$2.500
Voluntary Eradication Programs	30.000	30.000	30.000
Assistance to Local Governments	12.000	0.000	0.000
Assistance for Internally Displaced Persons	22.500	22.500	22.500
AID Operating Expenses in Colombia	4.000	2.000	2.000
Community-Level Alternative Development	10.000	2.700	2.700
Subtotal:	\$81.000	\$59.700	\$59.700

Plan Colombia Semi-Annual Obligation Report
Department of State (DOS)
(\$ in millions)

Reporting Period: (1st and 2nd Qtrs. FY 2001)	Total BA Enacted	This Period Obligations	Cumulative Obligations
Support for Human Rights and Judicial Reform in Colombia			
Protection of Human Rights Workers	\$4.000	\$2.000	\$2.000
Strengthen Human Rights Institutions	7.000	3.000	3.000
Establish CNP/Fiscalia Human Rights Units	25.000	2.226	2.226
Judicial System Policy Reform	1.000	1.000	1.000
Criminal Code Reform	1.500	0.596	0.596
Prosecutor Training	4.000	0.075	0.075
Judges Training	3.500	3.500	3.500
Casa de Justicia Judicial Training	1.000	1.000	1.000
Public Defender Program	2.000	2.000	2.000
Asset Forfeiture-Money-Laundering Task Force	15.000	3.674	3.674
Anti-Kidnapping Strategy	1.000	0.032	0.032
Judicial Police Training Program	3.000	0.142	0.142
Witness and Judicial Security	5.000	0.131	0.131
Armed Forces Human Rights and Legal Reform	1.500	0.000	1.500
Army JAG School	1.000	0.000	1.000
Training for Customs Police	2.000	0.037	0.037
Maritime Enforcement & Port Security	2.500	0.082	0.082
Multilateral Case Initiative	3.000	0.107	0.107
Prison Security Program	4.500	0.000	0.085
Banking Supervision Assistance	1.000	1.000	1.000
Revenue Enhancement Assistance	0.500	0.500	0.500
Customs Training Assistance	1.000	0.018	0.018
Conflict Management and Peace Process	3.000	1.000	1.000
U.N. Office of Human Rights	1.000	1.000	1.000
U.S. Government Monitoring	1.500	0.065	0.065
Organized Financial Crime	14.000	0.289	0.289
Rehabilitation of Child Soldiers	2.500	2.500	2.500
Witness/Judicial Security Human Rights Cases	10.000	0.301	0.301
Subtotal:	\$122.000	\$26.275	\$28.860

Plan Colombia Semi-Annual Obligation Report
Department of State (DOS)
(\$ in millions)

Reporting Period: <u>(1st and 2nd Qtrs. FY 2001)</u>	Total BA Enacted	This Period Obligations	Cumulative Obligations
Program Elements			
Regional			
KMAX Helicopters	\$32.000	\$21.023	\$21.023
Bolivia--Development & Interdiction	110.000	41.773	41.773
Ecuador--Development & Interdiction	20.000	7.900	7.900
Venezuela	3.500	0.400	0.400
Brazil	3.500	0.000	0.000
Panama	4.000	0.000	0.000
Costa Rica	1.900	0.052	0.052
El Salvador	3.000	0.000	0.000
Trinidad & Tobago	2.100	0.000	0.000
Subtotal:	\$180.000	\$71.148	\$71.148
Total, Funding Appropriated to State:	\$1,018.500	\$521.744	\$625.507

Notes on State Funding Report:

1. Information on total obligations is reported in a different manner than total obligations reported in other reports such as the OMB SF 133, Report on Budget Execution, and ONDCP Circular, *Annual Accounting of Drug Control Funds*. Specifically, ONDCP Circular, *Plan Colombia Semi-Annual Financial Reporting Requirements*, focuses on reporting total obligations by unique program element. Obligations reported herein are only those that have been incurred and associated at the program element level. Total obligations related to bilateral agreements that have not been subobligated and associated with a specific program element are not included.

2. In support of Plan Colombia, P.L. 106-246 (Title III, Chapter 2) appropriated \$1,018.5 million to the Department of State. Of this funding, some program elements are being directly executed by State, while other program elements are being executed by other agencies through financial agreements with State. The data presented in this table represent obligations incurred by State for program elements directly executed by State, the amounts State obligated through reimbursable agreements with other agencies executing certain program elements on behalf of State, and the amounts reported as obligated by other agencies that received transfers from State to execute programs.

Plan Colombia Semi-Annual Obligation Report
Department of Defense (DoD)
(\$ in millions)

Reporting Period: (1st and 2nd Qtrs. FY 2001)	Total BA Enacted	This Period Obligations	Cumulative Obligations
Program Elements			
Stand Up & Support CN BNs			
Train & Equip CN BNs	\$21.200	\$8.275	\$10.675
Create 1 CN Brigade HQ	1.000	1.000	1.000
Army Aviation Support Infrastructure	13.200	13.200	13.200
CN BN Airborne Intel Support	5.000	0.000	0.000
Military Reform	6.000	0.834	0.834
Senior Scout	5.000	0.000	0.000
Subtotal:	\$51.400	\$23.309	\$25.709
Air Interdiction			
Tracker Aircraft	\$10.000	\$8.014	\$8.014
AC-47 FLIR	7.400	6.616	6.616
Ground Based Radars	13.000	6.050	6.050
Radar Command & Control System	5.000	0.000	0.000
Andean Ridge Intel Collection	7.000	0.000	0.000
Classified Program	55.259	17.079	21.370
Manta FOL Upgrade	61.273	51.709	51.709
Aruba FOL	10.250	0.000	0.000
Curacao FOL	43.900	0.000	0.000
FOL Planning & Design	1.100	1.100	1.100
Airborne Recon. Low (ARL) Aircraft	30.000	30.000	30.000
Subtotal:	\$244.182	\$120.568	\$124.859
Ground Interdiction			
Road Interdiction Ops	\$5.000	\$0.000	\$0.000
Total, Funding Appropriated to DoD:	\$300.582	\$143.877	\$150.568
Plan Colombia Summary			
Funding Appropriated to State	\$1,018.500	521.744	625.507
Funding Appropriated to DoD	\$300.582	\$143.877	\$150.568
Total:	\$1,319.082	\$665.621	\$776.075