

identifies any months in which the applicant did not perform substantial service. One response is requested of each respondent. Completion is voluntary. However, failure to complete the forms could result in the nonpayment of benefits.

The RRB proposes to revise Form AA-4 to add language required by the Paperwork Reduction Act of 1995. Minor nonburden impacting changes are also proposed. The completion time for the AA-4 is estimated at between 40 and 70 minutes. The RRB estimates that approximately 1,500 AA-4's are completed annually.

ADDITIONAL INFORMATION OR COMMENTS: To request more information or to obtain a copy of the information collection justification, forms, and/or supporting material, please call the RRB Clearance Officer at (312) 751-3363. Comments regarding the information collection should be addressed to Ronald J. Hodapp, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092. Written comments should be received within 60 days of this notice.

Chuck Mierzwa,

Clearance Officer.

[FR Doc. 97-28066 Filed 10-22-97; 8:45 am]

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RAILROAD RETIREMENT BOARD

Agency Forms Submitted for OMB Review

SUMMARY: In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35), the Railroad Retirement Board (RRB) has submitted the following proposal(s) for the collection of information to the Office of Management and Budget for review and approval.

Summary of Proposal(s)

- (1) *Collection title:* Lag Service Reports.
- (2) *Form(s) submitted:* AA-12, G-88A.
- (3) *OMB Number:* 3220-0005.
- (4) *Expiration date of current OMB clearance:* 12/31/97.
- (5) *Type of request:* Extension of a currently approved collection.
- (6) *Respondents:* Business or other for profit.
- (7) *Estimated annual number of respondents:* 1,200.
- (8) *Total annual responses:* 1,200.
- (9) *Total annual reporting hours:* 120.
- (10) *Collection description:* The report obtains the current service and compensation of an employee not yet reported to the Railroad Retirement Board. This lag information is used to

determine eligibility for and amount of annuity applied for and to pay benefits due on a deceased employee's earnings.

Additional Information or Comments

Copies of the forms and supporting documents can be obtained from Chuck Mierzwa, the agency clearance officer (312-751-3363). Comments regarding the information collection should be addressed to Ronald J. Hodapp, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092 and the OMB reviewer, Laura Oliven (202-395-7316), Office of Management and Budget, Room 10230, New Executive Office Building, Washington, DC 20503.

Chuck Mierzwa,

Clearance Officer.

[FR Doc. 97-28064 Filed 10-22-97; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

Submission for OMB Review; Comment Request

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of Filings and Information Services, Washington, DC 20549.

Extension:

Rule 30d-1, SEC File No. 270-21, OMB Control No. 3235-0025

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*) the Securities and Exchange Commission ("Commission") has submitted to the Office of Management and Budget a request for extension of the previously approved collection of information discussed below.

Rule 30d-1, under the Investment Company Act of 1940, "Reports to Stockholders of Management Companies" prescribes the minimum content of reports to shareholders that every registered investment company must send at least semi-annually, containing the information specified by the statute or its equivalent as the Commission may determine to be in the interest of the investors. The reports are required in order to inform current shareholders of the status of the company. The rule requires approximately 602 hours annually for each of the 3,850 respondents equalling 2,317,700 total annual burden hours.

Rule 30d-1 does not involve any recordkeeping requirements. Providing the information required by rule 30d-1 is mandatory and the information provided will not be kept confidential.

The Commission may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid control number.

General comments regarding the above information should be directed to the following persons: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and Budget, Room 3208, New Executive Office Building, Washington, D.C. 20503; and (ii) Michael E. Bartell, Associate Executive Director, Office of Information Technology, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549. Comments must be submitted to OMB within 30 days of this notice.

Dated: October 14, 1997.

Margaret H. McFarland,

Deputy Secretary.

[FR Doc. 97-28031 Filed 10-22-97; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

[Investment Company Act Release No. 22858; 812-10700]

Goldman Sachs & Co., et al.; Notice of Application

October 17, 1997.

AGENCY: Securities and Exchange Commission ("SEC").

ACTION: Notice of application under (a) sections 6(c) and 17(b) of the Investment Company Act of 1940 (the "Act") requesting an exemption from section 17(a) of the Act; (b) section 6(c) of the Act requesting an exemption from section 17(e) of the Act and rule 17e-1 under the Act; and (c) section 10(f) of the Act requesting an exemption from section 10(f) and rule 10f-3 under the Act.

SUMMARY OF APPLICATION: Applicants request an order to permit registered investment companies that have one or more investment advisers, and for which Goldman, Sachs & Co. or an affiliate ("Goldman") acts as an investment adviser, to engage in certain principal and brokerage transactions and to purchase securities in certain underwritings. The transactions would be between the investment companies, or the portions of the investment companies' portfolios, that are not advised by Goldman, and Goldman or a member of an underwriting syndicate in which Goldman is a participant.