

President) 925 Grand Avenue, Kansas City, Missouri 64198-0001:

I. Ceresco Bancorp., Ceresco, Nebraska; to become a bank holding company by acquiring 100 percent of the voting shares of CerescoBank, Ceresco, Nebraska.

C. Federal Reserve Bank of San Francisco (Maria Villanueva, Manager of Analytical Support, Consumer Regulation Group) 101 Market Street, San Francisco, California 94105-1579:

I. Arizona Bancshares, Inc., Flagstaff, Arizona; to become a bank holding company by acquiring 100 percent of the voting shares of First State Bank, Flagstaff, Arizona (in organization).

Board of Governors of the Federal Reserve System, May 22, 1998.

Jennifer J. Johnson,

Deputy Secretary of the Board.

[FR Doc. 98-14129 Filed 5-27-98; 8:45 am]

BILLING CODE 6210-01-F

FEDERAL RESERVE SYSTEM

Notice of Proposals to Engage in Permissible Nonbanking Activities or to Acquire Companies that are Engaged in Permissible Nonbanking Activities

The companies listed in this notice have given notice under section 4 of the Bank Holding Company Act (12 U.S.C. 1843) (BHC Act) and Regulation Y, (12 CFR Part 225) to engage *de novo*, or to acquire or control voting securities or assets of a company, including the companies listed below, that engages either directly or through a subsidiary or other company, in a nonbanking activity that is listed in § 225.28 of Regulation Y (12 CFR 225.28) or that the Board has determined by Order to be closely related to banking and permissible for bank holding companies. Unless otherwise noted, these activities will be conducted throughout the United States.

Each notice is available for inspection at the Federal Reserve Bank indicated. The notice also will be available for inspection at the offices of the Board of Governors. Interested persons may express their views in writing on the question whether the proposal complies with the standards of section 4 of the BHC Act.

Unless otherwise noted, comments regarding the applications must be received at the Reserve Bank indicated or the offices of the Board of Governors not later than June 10, 1998.

A. Federal Reserve Bank of Minneapolis (Karen L. Grandstrand, Vice President) 90 Hennepin Avenue, P.O. Box 291, Minneapolis, Minnesota 55480-0291:

I. Norwest Corporation, Minneapolis, Minnesota; to acquire Southwest Partners, Inc., San Diego, California, and thereby engage in mortgage lending activities, pursuant to § 225.28(b)(1) of Regulation Y.

Board of Governors of the Federal Reserve System, May 21, 1998.

Jennifer J. Johnson,

Deputy Secretary of the Board.

[FR Doc. 98-14053 Filed 5-27-98; 8:45 am]

BILLING CODE 6210-01-F

FEDERAL RESERVE SYSTEM

Notice of Proposals to Engage in Permissible Nonbanking Activities or to Acquire Companies that are Engaged in Permissible Nonbanking Activities

The companies listed in this notice have given notice under section 4 of the Bank Holding Company Act (12 U.S.C. 1843) (BHC Act) and Regulation Y, (12 CFR Part 225) to engage *de novo*, or to acquire or control voting securities or assets of a company, including the companies listed below, that engages either directly or through a subsidiary or other company, in a nonbanking activity that is listed in § 225.28 of Regulation Y (12 CFR 225.28) or that the Board has determined by Order to be closely related to banking and permissible for bank holding companies. Unless otherwise noted, these activities will be conducted throughout the United States.

Each notice is available for inspection at the Federal Reserve Bank indicated. The notice also will be available for inspection at the offices of the Board of Governors. Interested persons may express their views in writing on the question whether the proposal complies with the standards of section 4 of the BHC Act.

Unless otherwise noted, comments regarding the applications must be received at the Reserve Bank indicated or the offices of the Board of Governors not later than June 11, 1998.

A. Federal Reserve Bank of New York (Betsy Buttrill White, Senior Vice President) 33 Liberty Street, New York, New York 10045-0001:

I. Royal Bank of Canada, Montreal, Canada; to acquire New Security First Network Bank, Miami, Florida, and Atlanta, Georgia, through its subsidiaries, RBC Holdings (USA) Inc., and RBC Holdings (Delaware) Inc., and thereby engage in operating a savings association, pursuant to § 225.28(b)(4)(ii) of Regulation Y.

Board of Governors of the Federal Reserve System, May 22, 1998.

Jennifer J. Johnson,

Deputy Secretary of the Board.

[FR Doc. 98-14130 Filed 5-27-98; 8:45 am]

BILLING CODE 6210-01-F

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children and Families

[Program Announcement No. 93612-983]

Administration for Native Americans: Availability of Financial Assistance

AGENCY: Administration for Native Americans, ACF, DHHS.

ACTION: Announcement of availability of competitive financial assistance for projects administered by the Administration for Native Americans for Native Hawaiian organizations and groups.

SUMMARY: The Administration for Native Americans (ANA) announces the anticipated availability of fiscal year 1998 funds in the area of governance and social and economic development for Native Hawaiian entities. Financial assistance provided by ANA in support of such projects is intended to promote.

EFFECTIVE DATE: The closing date for submission of applications under this program announcement: July 1, 1998.

Native Hawaiian applicants who submitted applications for the May 1, 1998 SEDS closing previously announced in the **Federal Register**, Vol. 62, No. 186, September 25, 1997, pp. 50372-50386, have the opportunity to withdraw their application and submit the same proposal or a different proposal for this closing. Eligible Native Hawaiian entities who do not withdraw their application from the May 1, 1998 SEDS closing, will not be eligible to submit another application under this closing. To withdraw an application, notice must be provided to ANA no later than Midnight, June 15, 1998.

Notice to withdraw an application must be in writing and sent to: Jean Luka, Program Specialist, Administration for Native Americans, 370 L'Enfant Promenade, Mail Stop: HHH 348F, Washington, D.C. 20447. Telefax or e-mail will be accepted. The telefax number is (202) 690-7441 and the e-mail address is: jluka@acf.dhhs.gov.

All instructions to withdraw an application will be confirmed in writing.

FOR FURTHER INFORMATION CONTACT: Jean Luka, Program Specialist, Department of

Health and Human Services, Administration for Children and Families, Administration for Native Americans, 370 L'Enfant Promenade, Mail Stop HHH 348F, Washington, DC 20447, tel: (202) 690-6324, Fax (202) 690-7441, e-mail: jluca@acf.dhhs.gov.

APPLICATION KIT: Application kits, (Approved by the OMB under control number 0980-0294, which expires August 31, 1999) containing the necessary forms and instructions to apply for a grant under this program announcement, may be obtained from: Department of Health and Human Services, Administration for Children and Families, Administration for Native Americans, 370 L'Enfant Promenade, Mail Stop HHH 348F, Washington, D.C. 20447, Attention: 93612-983, Telephone: (202) 690-7776, Fax: (202) 690-7441.

Copies of this program announcement and many of the required forms may be obtained electronically at the ANA World Wide Web Page: <http://www.acf.dhhs.gov/programs/ana/index.html>

The printed **Federal Register** notice is the only official program announcement. Although, all reasonable efforts are taken to assure that the files on the ANA World Wide Web Page containing electronic copies of this Program Announcement are accurate and complete, they are provided for information only. The applicant bears role responsibility to assure that the copy downloaded and/or printed from any other source is accurate and complete.

SUPPLEMENTARY INFORMATION

Introduction and Purpose

The purpose of this program announcement is to announce the anticipated availability of fiscal year 1998 funds for Native Hawaiian entities, authorized under the Native American Program Act of 1974 (ACT), as amended, to promote the goal of social and economic self-sufficiency for American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders. Funding authorization is provided under sections 803(a), and 803(d) of the Native American Programs Act of 1974, as amended (Pub. L. 96-644, 88 Stat. 2324, 42 U.S.C. 2991b.).

The Administration for Native Americans assists eligible applicants to undertake 12 to 36 months development projects that are part of long-range comprehensive plans to move toward governance, social, and/or economic self-sufficiency.

The Administration for Native Americans promotes the goal of self-

sufficiency in Native American communities primarily through Social and Economic Development Strategies (SEDS) projects.

Eligible applicants may compete for a grant award in each of ANA's competitive areas (published in earlier program announcements). ANA continues its policy that an applicant may only submit one application per competitive funding area.

Part I—ANA Policy and Goals

Provides general information about ANA's policies and goals.

Part II—ANA Competitive Areas

Describes Competitive Area 4: Governance, Social and Economics Development (SEDS) for Native Hawaiian entities under which ANA is requesting applications:

- A. Purpose and Availability of Funds;
- B. Background;
- C. Proposed Projects to be Funded;
- D. Eligible Applicants;
- E. Grantee Share of the Project; and
- F. Review Criteria;

Part III General Application Information and Guidance

Provides important information and guidance that must be taken into account in developing an application:

- A. Definitions;
- B. General Considerations;
- C. Activities That Cannot be Funded by ANA;
- D. Multi-Year Projects;
- E. Intergovernmental Review of Federal Programs;
- F. The Application Process;
- G. The Review Process;
- H. General Guidance to Applicants;
- I. Paperwork Reduction Act of 1995; and
- J. Receipt of Applications

Part I—ANA Policy and Goals

The mission of the Administration for Native Americans (ANA) is to promote the goal of social and economic self-sufficiency for American Indians, Alaska Natives, Natives Hawaiians, and other Native American Pacific Islanders.

The Administration for Native Americans believes that a Native American community is self-sufficient when it can generate and control the resources necessary to meet its social and economic goals, and the needs of its members.

The Administration for Native Americans also believes that the responsibility for achieving self-sufficiency resides with the governing bodies of Indian tribes, Alaska Native villages, and in the leadership of Native American groups. A community's progress toward self-sufficiency is based on its efforts to plan, organize, and

direct resources in a comprehensive manner which is consistent with its established long-range goals.

The Administration for Native Americans' policy is based on three interrelated goals:

1. *Governance:* To assist tribal and Alaska Native village governments, Native American institutions, and local leadership to exercise local control and decision-making over their resources.

2. *Economic Development:* To foster the development of stable, diversified local economics and economic activities which will provide jobs and promote economic well-being.

3. *Social Development:* To support local access to, control of, and coordination of services and programs which safeguard the health, well-being and culture of people, provide support services and training so people can work, all of which are essential to a thriving and self-sufficient community.

Applicants under this program announcement may propose to undertake 12 to 36 month projects. For each type of project, applicants must describe a locally-determined strategy to carry out a proposed project with fundable objectives and activities. Local long-range planning must consider the maximum use of all available resources, how the resources will be directed to development opportunities, and present a strategy for overcoming the local issues that hinder movement toward self-sufficiency in the community.

Part II—ANA Competitive Areas

This competitive area is in addition to other competitive areas identified in the **Federal Register**, Vol. 62, No. 186, September 25, 1997, pp. 50372-50386, and is designated as competitive area 4. This part describes ANA's funding authorities, priorities, special initiatives, special application requirements, and review criteria under competitive area 4. The standard requirements necessary for each application, as well as standard ANA program guidance and technical guidance are described in Part III of this announcement.

Native Hawaiian applicants who submitted applications for the May 1, 1998 SEDS closing previously announced in the **Federal Register**, Vol. 62, No. 186, September 25, 1997, pp. 50372-50386, have the opportunity to withdraw their application and submit the same proposal or a different proposal for this closing. Eligible Native Hawaiian entities who do not withdraw their application from the May 1, 1998 SEDS closing, will not be eligible to submit another application under this closing. To withdraw an application,

notice must be provided to ANA no later than Midnight, June 15, 1998.

Notice to withdraw an application must be in writing and sent to: Jean Luka, Program Specialist, Administration for Native Americans, 370 L'Enfant Promenade, Mail Stop: HHH 348F, Washington, D.C. 20447. Telefax or e-mail will be accepted. The telefax number is (202) 690-7441 and the e-mail address is jluka@acf.dhhs.gov. All instructions to withdraw an application will be confirmed in writing.

Under this competitive area, ANA will only accept one application per Native Hawaiian entity.

Hawaiian-Specific Social and Economic Development Strategies (SEDS) Projects

A. Purpose and Availability of Funds

The purpose of this competitive area is to announce the anticipated availability of fiscal year 1998 funds for Native Hawaiian social and economic development projects. Approximately \$2 million of financial assistance is anticipated under the competitive area for Native Hawaiian governance, social and economic development projects.

With the participation by the State of Hawaii's Office of Hawaiian Affairs and the statutory limitations placed on their funding, funding of successful applicants may be through a combination of state and federal funds.

All funded applications will receive federal awards and the entire project will be monitored by ANA.

ANA plans to award approximately 10-15 grants under this competitive area.

B. Background

ANA assists tribal and village governments, and Native American organizations in their efforts to develop and implement community-based, long-term governance, social and economic development strategies (SEDS). These strategies must promote the goal of self-sufficiency in local communities.

Based on the three ANA goals described in part I, ANA is implementing a special Native Hawaiian social and economic initiative through the joint efforts of the State of Hawaii's Office of Hawaiian Affairs. This special effort is designed to provide financial assistance at the local level or for community-specific projects aimed at improving a community's governance capabilities and for social and economic development.

The SEDS approach is based on ANA's program goals and incorporates two fundamental principles:

1. The local community and its leadership are responsible for

determining goals, setting priorities, and planning and implementing programs aimed at achieving those goals. The local community is in the best position to apply its own cultural, political, and socio-economic values to its long-term strategies and programs.

2. Governance and social and economic development are interrelated. In order to move toward self-sufficiency, development in one area should be balanced with development in the others. Consequently, comprehensive development strategies should address all aspects of the governmental, economic, and social infrastructures needed to promote self-sufficient communities. ANA's SEDS policy uses the following definitions:

- *Governmental infrastructure* includes the constitutional, legal, and administrative development requisite for independent governance.
- *Economic infrastructure* includes the physical, commercial, industrial and/or agricultural components necessary for a functioning local economy which supports the life-style embraced by the Native American community.
- *Social infrastructure* includes those components through which health, economic well-being and culture are maintained within the community and that support governance and economic goals.

These definitions should be kept in mind as a local social and economic development strategy is developed as part of a grant application.

A community's movement toward self-sufficiency could be jeopardized if a careful balance between governmental, economic and social development is not maintained. For example, expansion of social services, without providing opportunities for employment and economic development, could lead to dependency on social services.

Conversely, inadequate support services and training could seriously impede productivity and local economic development. Additionally, the necessary infrastructures must be developed or expanded at the community level to support social and economic development and growth. In designing their social and economic development strategies, ANA encourages an applicant to use or leverage all available human, natural, financial, and physical resources.

In discussion their community-based, long-range goals, and the objectives for the proposed projects, ANA recommends that Native organizations include a description of what constitutes their specific community.

ANA encourages the development and maintenance of comprehensive strategic plans which are an integral part of attaining and supporting the balance necessary for successful activities that lead to self-sufficiency.

C. Proposed Projects To Be Funded

This section provides descriptions of activities which are consistent with the SEDS philosophy. Proposed activities should be tailored to reflect the governance, social and economic development, needs of the local community and should be consistent with supportive of the proposed project objectives. Examples of the types of projects that ANA may fund include, but are not limited to, projects that will:

Governance

- Increasing the ability of Hawaiian groups and organizations to plan, develop, and administer a comprehensive program to support community social and economic self-sufficiency (including strategic planning); and
- Increasing awareness of and exercising the legal rights to which Hawaiians are entitled, either by virtue of treaties, the Federal trust relationship, legislative authority, executive orders, administrative and court decisions, or as citizens of a particular state, territory, or of the United States.

Economic Development

- Development of a community economic infrastructure that will result in businesses, jobs, and an economic support structure.
- Establishment or expansion of businesses and jobs in areas such as tourism, specialty agriculture, light and/or heavy manufacturing, construction, housing and fisheries or aquaculture.
- Stabilizing and diversifying a Hawaiian community's economic base through business development ventures; and,
- Creation of microenterprises or private sector development.

Social Development

- Enhancing organizational capabilities to design or administer programs aimed at strengthening the social environment desired by the local community;
- Developing local models related to comprehensive planning and delivery of services;
- Developing programs or activities to preserve and enhance Hawaiian heritage and culture; and
- Establishing programs which involve extended families or Hawaiian

communities in activities that strengthen cultural identity and promote community development or self-esteem.

Other SEDS Relationships. ANA encourages projects designed to use the SEDS approach to help achieve current priorities of the Administration for Children and Families which are to:

- Address welfare reform such as moving families to work.
- Help ensure child support from both parents.
- Create access to afford child care for low income working families.
- Reach children earlier to promote full development, including links to Head Start, Early Head Start and Child Care.
- Help enroll children in quality Head Start and prepare them to be ready to learn.
- Provide safety, permanency and well-being for children and double the number of adoptions from the public child welfare system.

D. Eligible Applicants

Native Hawaiian applicants who submitted applications for the May 1, 1998 SEDS closing previously announced in the **Federal Register**, Vol. 62, No. 186, September 25, 1997, pp. 50372-50386, have the opportunity to withdraw their application and submit the same proposal or a different proposal for this closing. Eligible Native Hawaiian entities who do not withdraw their application from the May 1, 1998 SEDS closing, will not be eligible to submit another application under this closing. To withdraw an application, notice must be provided to ANA no later than Midnight, June 15, 1998.

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Current Hawaiian ANA SEDS grantees whose project period ends on or before September 30, 1998 are eligible to apply for a grant award under this program.

The following organizations are eligible to apply under this competitive area:

- Non-profit private agencies serving Hawaiians (The populations served may be located on these islands or on the continental United States). The non-profit private agency must submit proof of its non-profit status in the application

at the same time of submission. The non-profit agency can accomplish this by providing a copy of their agency's listing in the Internal Revenue Services (IRS) most recent list of tax exempt organizations described in Section 501(c)(3) of the IRS code or by providing a copy of the current valid IRS tax exemption certificate, or by providing a copy of the articles of incorporation bearing the seal of the State in which the corporation or association is domiciled.

All agencies must provide assurance that its duly elected or appointed board of directors is representative of the community, to be served. To establish compliance with the requirement in the regulations for a Board representative of the community applicants should provide information establishing that at least ninety (90) percent of the individuals serving on a non-profit applicant's board fall into one or more of the following categories;

(1) A current or past member of the community to be served; (2) a prospective participant or beneficiary of the project to be funded; or (3) have a cultural relationship with the community to be served.

ANA will only accept one application which serves or impacts a Native Hawaiian community.

E. Grantee Share of the Project

Grantees must provide at least 20 percent of the total approved cost of the project; i.e. the sum of the ACF share and the non-Federal share. The non-Federal share may be met by cash or in-kind contributions; although applicants are encouraged to meet their match requirements through cash contributions. Therefore, a project requesting \$300,000 in Federal funds must include a match of at least \$75,000 (20% of the total \$375,000 project cost).

As per 45 CFR 74.2, In-Kind contributions are defined as "the value of non-cash contributions provided by non-Federal third parties. Third party-in kind contributions may be in the form of real property, equipment, supplies and other expendable property, and the value of goods and services directly benefiting and specifically identifiable to the project or program."

In addition, it may include other Federal funding sources where legislation or regulations authorize using specific types of funds for match and provided the source relates to the ANA project.

F. Review Criteria

A proposed project should reflect the purposes of ANA's SEDS policy and program goals described in the

Background section of this competitive area: include a social and economic development strategy which reflects the needs and specific circumstances of the local community; and address the specific developmental steps that the Hawaiian community is undertaking toward self-sufficiency.

The evaluation criteria are closely related to each other and are considered as a whole in judging the overall quality of an application. Points are awarded only to applicants which are responsive to this competitive area and these criteria. Proposed projects will be reviewed on a competitive basis using the following evaluation criteria:

(1) Long-Range Goals and Available Resources. (15 points)

(a) The application describes the long-range goals and strategy, including:

- How specific social, governance and economic long-range community goals relate to the proposed project and strategy;
- How the community intends to achieve these goals;
- The relationship between the long-range goals and the applicant's comprehensive community social and economic development plan. (Inclusion of the community's entire development plan is not necessary); and
- A clearly delineated social and economic development strategy (SEDS).

The application identifies and documents pre-existing and planned involvement and support of the community in the planning process and implementation of the proposed project. The type of community you serve and nature of the proposal being made, will influence the type of documentation necessary. Examples of documentation include: community surveys; minutes of community meetings; questionnaires; and/or discussion position papers.

Applications from Native Hawaiian organizations must clearly demonstrate a need for the project, explain how the project was originated, state who the intended beneficiaries will be, and describe how the recipients will actually benefit from the project. Native Hawaiian organizations should define their membership and describe how the organization operates.

(b) Available resources (other than ANA and the non-Federal share) which will assist, and be coordinated with the project are described. These resources should be documented by letters or documents of commitment of resources, not merely letters of support.

- "Letters of support" merely express another organization's endorsement of a proposed project. Support letters are not binding commitment letters or do not

factually establish the authenticity of other resources.

- "Letters and other documents of commitment" are binding when they specifically state the nature, the amount, and conditions under which another agency or organization will support a project funded with ANA funds.

For example, a letter from another Federal agency or foundation pledging a commitment of \$200,000 in construction funding to complement proposed ANA funded pre-construction activity is evidence of a firm funding commitment. These resources may be human, natural or financial, and may include other Federal and non-Federal resources. (Applicant statements that additional funding will be sought from other specific sources are not considered a binding commitment of outside resource.)

(2) Organizational Capabilities and Qualifications. (10 points)

(a) The management and administrative structure of the applicant is explained. Evidence of the applicant's ability to manage a project of the proposed scope is demonstrated. The application clearly shows the successful management of projects of similar scope by the organization, and/or by the individuals designated to manage the project.

(b) Position descriptions and/or resumes of key personnel, including those of consultants, are presented. The position descriptions and/or resumes relate specifically to the staff proposed in the Objective Work Plan and in the proposed budget. Position descriptions very clearly describe each position and its duties and clearly relate to the personnel staffing required to achieve the project objectives. Resumes and/or proposed position descriptions demonstrate that the proposed staff are or will be qualified to carry out the project activities. Either the position descriptions or the resumes contain the qualifications and/or specialized skills necessary for overall quality management of the project. Resumes must be included if individuals have been identified for positions in the application.

Note: Applicants are strongly encouraged to give preference to Native Americans in hiring staff and subcontracting services under an approved ANA grant.

(3) Project Objectives, Approach and Activities. (45 points) The application proposes specific project Objective Work Plan(s) with activities related to each specific objective.

The Objective Work Plan(s) in the application includes project objectives and activities for each budget period

proposed and demonstrates that each of the objectives and its activities:

- Is measurable and/or quantifiable in terms of results or outcomes;
- Supports the community's social and economic development strategy;
- Clearly relates to the community's long-range goals;
- Can be accomplished with the available or expected resources during the proposed project period;
- Indicates when the objective, and major activities under each objective, will be accomplished;
- Specifies who will conduct the activities under each objective; and
- Supports a project that will be completed, self-sustaining, or financed by other than ANA funds at the end of the project period.

(4) Results or Benefits Expected. (20 points)

Completion of the proposed objectives will result in specific, measurable results. The application shows how the expected results will help the community meet its long-range goals. The specific information provided in the narrative and objective work plans on expected results or benefits for each objective is the standard upon which its achievement can be evaluated at the end of each budget year.

(5) Budget. (10 points)

A detailed and fully explained budget is provided for each budget period requested which:

- Justifies each line item, with a well-written justification, in the budget categories in Section B of the Budget Information of the application, including the applicant's non-Federal share and its source;
- Included and justifies sufficient cost and other necessary details to facilitate the determination of cost allowability and the relevance of these costs to the proposed project; and
- Requests funds which are appropriate and necessary for the scope of the proposed project.

For business development projects, the proposal demonstrates that the expected return on the funds used to develop the project provides a reasonable operating income and return within a future specified time frame.

Part III—General Application Information and Guidance

A. Definitions

References in this program announcement are based on the following definitions:

- *A multi-purpose community-based Native American organization* is an association and/or corporation whose charter specifies that the community

designates the Board of Directors and/or officers of the organization through an elective procedure and that the organization functions in several different areas of concern to the members of the local Native American community. These areas are specified in the by-laws and/or policies adopted by the organization. They may include, but need not be limited to, economic, artistic, cultural, and recreational activities, and the delivery of human serviced such as health care, day care, counseling, education, and training.

- *A multi-year project* is a project on a single theme that requires more than 12 months to complete and affords the applicant an opportunity to develop and address more complex and in-depth strategies than can be completed in one year. A multi-year project cannot be a series of unrelated objectives with activities presented in chronological order over a two or three year period.

- *Budget Period* is the interval of time (usually 12 months) into which the project period is divided for budgetary and funding purposes.

- *Core administration* is funding for staff salaries for those functions which support the organization as a whole, or for purposes unrelated to the actual management or implementation of work conducted under an ANA approved project.

- *Real Property* means land, including land improvements, structures and appurtenances thereto, excluding movable machinery and equipment.

- *Construction* is the term which specifies a project supported through a discretionary grant or a cooperative agreement, to support the initial building of a facility.

B. General Considerations

Non-ANA resources should be leveraged to strengthen and broaden the impact of the proposed project in the community. Project designs should explain how those parts of projects which ANA does not fund will be financed through other sources. For example, ANA does not fund construction. Applicants must show the relationship of non-ANA funded activities to those objectives and activities that are funded with ANA grant funds.

Costs of fund raising, including financial campaign, endowment drives, solicitation of gifts and bequests, and similar expenses incurred solely to raise capital or obtain contributions are unallowable under a grant award. However, even though these costs are unallowable for purposes of computing charges to Federal awards, they must be

treated as direct costs for purposes of determining indirect cost rates and be allocated their share of the organization's indirect costs if they represent activities which (1) include the salaries of personnel, (2) occupy space, and (3) benefit from the organization's indirect costs.

All projects funded by ANA must be completed, or self-sustaining or supported with other than ANA funds at the end of the project period.

"Completed" means that the project ANA funded is finished, and the desired result(s) have been attained. "Self-sustaining" means that a project will continue without outside resources. "Supported by other than ANA funds" means that the project will continue beyond the ANA project period, but will be supported by funds other than ANA's.

C. Activities That Cannot Be Funded by ANA

The Administration for Native Americans does not fund:

- Projects that operate indefinitely or require ANA funding on a recurring basis.

- Projects in which a grantee would provide training and/or technical assistance (T/TA) to other tribes or Native American organizations which are otherwise eligible to apply to ANA ("third party T/TA"). However, the purchase of T/TA by a grantee for its own use or for its members' use (as in the case of a consortium), where T/TA is necessary to carry out project objectives, is acceptable.

- The support of on-going social service delivery programs or the expansion or continuation of existing social service delivery programs.

- ANA will not fund the purchase of real property.

- ANA will not fund construction.

Objectives or activities for the support of core administration of an organization. "Core administration" is funding for staff salaries for those functions which support the organization as a whole, or for purposes unrelated to the actual management or implementation of work conducted under an ANA approved project. However, functions and activities that are clearly project related are eligible for grant funding.

For example, the management and administrative functions necessary to carry out an ANA approved project are not considered "core administration" and are, therefore, eligible costs. Additionally, ANA will fund the salaries of approved staff for time actually and reasonably spent to implement a funded ANA project.

Projects or activities that generally will not meet the purposes of this announcement are discussed further in Part III, Section H, General Guidance to Applicants, below.

D. Multi-Year Projects

A multi-year project is a project on a single theme that requires more than 12 months to complete and affords the applicant an opportunity to develop and address more complex and in-depth strategies than can be completed in one year. Applicants are encouraged to develop multi-year projects as defined in Section D of this Part. A multi-year project cannot be a series of unrelated objectives with activities presented in chronological order over a two or three year period.

Awards, on a competitive basis, will be for a one-year budget period, although project periods may be for three years. Applications for continuation grants funded under these awards beyond the one-year budget period, but within a two-to-three year project period, will be entertained in subsequent years on a non-competitive basis, subject to the availability of funds, satisfactory progress of the grantee and determination that continued funding would be in the best interest of the Government. Therefore, this program announcement does not apply to current ANA grantees with multi-year projects that apply for continuation funding for their second or third year budget periods.

E. Intergovernmental Review of Federal Programs

This program is not covered by Executive Order 12372 or 45 CFR Part 100.

F. The Application Process

1. Availability of Application Forms

In order to be considered for a grant under this program announcement, an application must be submitted on the forms supplied and in the manner prescribed by ANA. The application kits containing the necessary forms and instructions may be obtained from: Department of Health and Human Services, Administration for Children and Families, Administration for Native Americans, 370 L'Enfant Promenade, SW., Mail Stop HHH 348F, Washington, D.C. 20447, Attention: 93612-983, Telephone: (202) 690-7776, fax (202) 690-7441.

Copies of this program announcement and many of the required forms may be obtained electronically at the ANA World Wide Web Page: www.acf.dhhs.gov/programs/ana/index.html

The printed **Federal Register** notice is the only program announcement. Although all reasonable efforts are taken to assure that the files on the ANA World Wide Web Page containing electronic copies of the Program Announcement are accurate and complete, they are provided for information only. The applicant bears sole responsibility to assure that the copy downloaded and/or printed from any other source is accurate and complete.

2. Application Submission

One signed original, and two copies, of the grant application, including all attachments, must be mailed on or before the specific closing date of each ANA competitive area to: Department of Health and Human Services, Administration for Children and Families, Division of Discretionary Grants, 370 L'Enfant Promenade, SW, Mail Stop 6C-462, Washington, DC 20447, Attention: Lois B. Hodge, ANA No. 93612-983.

Hand delivered applications are accepted between the hours of 8:00 a.m. to 4:30 p.m., Monday through Friday, if they are either received on or before the deadline date or postmarked on or before the established closing date at: Administration for Children and Families, Division of Discretionary Grants, ACF Mail Room, Second Floor Loading Dock, Aerospace Center, 901 D Street, SW, Washington, DC 20024.

The application (Form 424) must be signed by an individual authorized (1) to act for the applicant tribe or organization, and (2) to assume the applicant's obligations under the terms and conditions of the grant award, including Native American Program statutory and regulatory requirements.

Native Hawaiian applicants who submitted applications for the May 1, 1998 SEDS closing previously announced in the **Federal Register**, Vol. 62, No. 186, September 25, 1997, pp. 50372-50386, have the opportunity to withdraw their application and submit the same proposal or a different proposal for this closing. Eligible Native Hawaiian entities who do not withdraw their application from the May 1, 1998 SEDS closing, will not be eligible to submit another application under this closing. To withdraw an application, notice must be provided to ANA no later than Midnight, June 15, 1998.

Notice to withdraw an application must be in writing and sent to: Jean Luka, Program Specialist, Administration for Native Americans, 370 L'Enfant Promenade, Mail Stop: HHH 348F, Washington, D.C. 20447. Telefax or e-mail will be accepted. The

telefax number is (202) 690-7441 and the e-mail address is: jluka@acf.dhhs.gov.

All instructions to withdraw an application will be confirmed in writing.

Each eligible Native Hawaiian organization may compete for a grant award in each of ANA's competitive funding areas (eg. SEDS, Native Languages Preservation and Enhancement). However, no applicant may receive more than one grant in each area.

If an eligible applicant sends in two applications for the same competitive area, the one with the earlier postmark will be accepted for review unless the applicant withdraws the earlier application.

3. Application Consideration

The ANA Commissioner determines the final action to be taken on each grant application received under this program announcement.

The following points should be taken into consideration by all applicants: Incomplete applications and applications that do not conform to this announcement will not be accepted for review. Applicants will be notified in writing of any such determination by ANA. An incomplete application is one that is: Missing Form SF 424; or Does not have a signature on Form SF 424; or Does not include proof of non-profit status, if applicable.

- Complete applications that conform to all the requirements of this program announcement are subjected to a competitive review and evaluation process (discussed in section G below). Independent review panels consisting of reviewers familiar with Native Hawaiian communities and organizations, evaluate each application using the published criteria in each funding competitive area. As a result of the review, normalized numerical score will be assigned to each application. A normalized score reflects the average score from the reviewers, adjusted to reflect the average score from the panels.

- The Commissioner's funding decision is based on the review panel's analysis of the application, recommendation and comments of ANA staff, State and Federal agencies having contract and grant performance related information, and other interested parties.

- The Commissioner makes grant awards consistent with the purpose of the Act, all relevant statutory and regulatory requirements, this program announcement, and the availability of funds.

- Due to the participation and source of funding from OHA, funding will be from either funding source with limitations as applicable.

- ANA staff cannot respond to requests for information regarding funding decisions prior to the official notification to the applicants.

- After the Commissioner has made decisions on all applications, unsuccessful applicants are notified in writing within 30 days. The notification will be accompanied by a critique including recommendations for improving the application.

- Successful applicants are notified through an official Financial Assistance Award (FAA) document. The FAA will state the amount of Federal funds awarded, the purpose of the grant, the terms and conditions of the grant award, the effective date of the award, the project period, the budget period, and the amount of the non-ACF matching share requirement.

G. The Review Process

1. Initial Application Review

- Applications submitted by the closing date and verified by the postmark under this program announcement will undergo a pre-review to determine that:

- The applicant is eligible in accordance with the Eligible Applicants Section of this announcement; and
- The application is signed and submitted by the deadline explained in section G, Application Due Date, in each competitive area of this announcement;

- The applicant has provided written correspondence that they have withdrawn from the May 1, 1998 SEDS closing previously announced in the **Federal Register**, Vol. 62, No. 186, September 25, 1997, pp. 50372-50386.

- The application narrative, forms and materials submitted are adequate to allow the review panel to undertake an in-depth evaluation and the project described is an allowable type. (All required materials and forms are listed in the Grant Application Checklist in the Application Kit). Applications subjected to the pre-review described above which fail to satisfy one or more of the listed requirements will be ineligible or otherwise excluded from competitive evaluation.

2. Competitive Review of Accepted Applications

Applications which pass the pre-review will be evaluated and rated by an independent review panel on the basis of the specific evaluation criteria listed in Part II. These criteria are used to evaluate the quality of a proposed

project, and to determine the likelihood of its success.

3. Appeal of Ineligibility

Applicants who are initially excluded from competitive evaluation because of ineligibility, may appeal an ANA decision of applicant ineligibility. Likewise, applicants may also appeal an ANA decision that an applicant's proposed activities are ineligible for funding consideration. The appeals process is stated in the final rule published in the **Federal Register** on August 19, 1996 (61 FR 42817).

H. General Guidance To Applicants

The following information is provided to assist applicants in developing a competitive application.

1. Program Guidance

- The Administration for Native Americans funds projects that demonstrate the strongest prospects for addressing the stated purposes of this program announcement.

- Projects will not be ranked on the basis of general financial need.

- In discussing the goals, strategy, and problems being addressed in the application, include sufficient background and/or history of the community concerning these issues and/or progress to date, as well as the size of the population to be served. This material will assist the reviewers in determining the appropriateness and potential benefits of the proposed project.

- In the discussion of community-based, long-range goals, Native Hawaiian entities are encouraged to include a description of what constitutes their specific "community."

- Applicants must document the community's support for the proposed project and explain the role of the community in the planning process and implementation of the proposed project. The type of community you serve will determine the type of documentation necessary. Examples of documentation include: community surveys; minutes of community meetings; questionnaires; and/or discussion/position papers.

- Applications from Native Hawaiian organizations must demonstrate a need for the project, explain how the project was originated, state who the intended beneficiaries will be, and describe how the recipients will actually benefit from the project.

- An application should describe a clear relationship between the proposed project, the social and economic development strategy, or environmental or language goals, as appropriate, and

the community's long-range goals or plan.

- The project application, including the Objective Work Plans, must clearly identify in measurable terms the expected results, benefits or outcomes of the proposed project, and the positive or continuing impact that the project will have on the community.

- Supporting documentation, including letters of support, if available, or other testimonies from concerned interests other than the applicant should be included to demonstrate support for the feasibility of the project and the commitment of other resources to the proposed project.

- In the ANA Project Narrative, Section A of the application package, "Resources Available to the Proposed Project," the applicant should describe any specific financial circumstances which may impact on the project, such as any monetary or land settlements made to the applicant, and any restrictions on the use of those settlements. When the applicant appears to have other resources to support the proposed project and chooses not to use them, the applicant should explain why it is seeking ANA funds and not utilizing these resources for the project.

Reviewers of applications for ANA indicate they are better able to evaluate whether the feasibility has been addressed and the practicality of a proposed economic development project, or a new business, if the applicant includes a business plan that clearly describes its feasibility and the approach for the implementation and marketing of the business. (ANA has included sample business plans in the application kit). It is strongly recommended that an applicant use these materials as guides in developing a proposal for an economic development project or business that is part of the application.

- Applications which were not funded under a previous closing date and revised for resubmission should make reference to the changes, or reasons for not making changes, in their current application which are based on ANA panel review comments.

2. Technical Guidance

- It is strongly suggested that the applicant follow the Supplemental Guide included in the ANA application kit to develop an application. The Guide provides practical information and helpful suggestions, and is an aid to help applicants prepare ANA applications.

- Applicants are encouraged to have someone other than the author apply the evaluation criteria in the program

announcement and score the application prior to its submission, in order to gain a better sense of the application's quality and potential competitiveness in the ANA review process.

- For purposes of developing an application, applicants should plan for a project start date approximately 60 days after the closing date under which the application is submitted.

- The Administration for Native Americans will not fund essentially identical projects serving the same constituency.

- If a project could be supported by other Federal funding sources, the applicant should fully explain its reasons for not pursuing other Federal funds for the project.

- The Administration for Native Americans will accept only one application, per competitive area, from any one applicant. If an eligible applicant sends in two applications for the same competitive funding area, the one with the earlier postmark will be accepted for review unless the applicant withdraws the earlier application.

- An application from a Native Hawaiian organization must be from the governing body of the organization.

- The Objective Work Plan proposed should be of sufficient detail to become a monthly staff guide for project responsibilities if the applicant is funded.

- If a profit-making venture is being proposed, profits must be reinvested in the business in order to decrease or eliminate ANA's future participation. Such revenue must be reported as general program income. A decision will be made at the time of grant award regarding appropriate use of program income. (See 45 CFR Part 74 and Part 92).

- Applicants proposing multi-year projects must fully describe each year's project objectives and activities.

- Separate Objective Work Plans (OWPs) must be presented for each project year and a separate itemized budget of the Federal and non-Federal costs of the project for each budget period must be included.

- Applicants for multi-year projects must justify the entire time-frame of the project (i.e., why the project needs funding for more than one year) and clearly describe the results to be achieved for each objective by the end of each budget period of the total project period.

- The Administration for Native Americans will critically evaluate applications in which the acquisition of equipment is a major component of the Federal share of the budget. Equipment

is tangible, non-expendable personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more per "unit." During negotiation, such expenditures may be deleted from the budget of an otherwise approved application, if not fully justified by the applicant and deemed not appropriate to the needs of the project by ANA.

- Applicants are encouraged to request a legibly dated receipt from a commercial carrier or U.S. Postal Service as proof of meeting application deadline.

3. Grant Administrative Guidance

- The application's Form 424 must be signed by the applicant's representative authorized to act with full authority on behalf of the applicant.

- The Administration for Native Americans recommends that the pages of the application be numbered sequentially and that a table of contents be provided. Simple tabbing of the sections of the application is also helpful to the reviewers.

- An application with an original signature and two additional copies are required.

- The Cover Page (included in the Kit) should be the first page of an application, followed by the one-page abstract.

- The applicant should specify the entire project period length on the first page of the Form 424, Block 13, not the length of the first budget period. Should the application propose one length of project period and the Form 424 specify a conflicting length of project period, ANA will consider the project period specified on the Form 424 as the request. ANA may negotiate a reduction of the project period. The approved project period is shown on block 9 of a Financial Assistance Award.

- Line 15a of the Form 424 must specify the Federal funds requested for the first Budget Period, not the entire project period.

- For one-year projects, an applicant may propose up to a 17 month project period. However, the project period for the first year of a multi-year project may only be 12 months.

4. Projects or Activities That Generally Will Not Meet the Purposes of This Announcement

- Projects that request funds for feasibility studies, business plans, marketing plans or written materials, such as manuals, that are not an essential part of the applicant's long-range development plan. As an objective of a larger project, business plans are allowable. However, ANA is not

interested in funding "wish lists" of business possibilities. ANA expects written evidence of the solid investment of time and consideration on the part of the applicant with regard to the development of business plans. Business plans should be developed based on market analysis and feasibility studies regarding the potential success to the business prior to the submission of the application.

- Core administration functions, or other activities, which essentially support only the applicant's on-going administrative functions.
- Project goals which are not responsive to one or more of the funding competitive areas.
- Projects that will not be completed, self-sustaining, or supported by other than ANA funds, at the end of the project period.
- Project goals which are not responsive to one or more of the funding competitive areas.
- Projects that will not be completed, self-sustaining, or supported by other than ANA funds, at the end of the project period.
- ANA will not fund investment capital for purchase or takeover of an existing business, for purchase or acquisition of a franchise, or for purchase of stock or other similar investment instruments.
- Renovation or alteration unless it is essential for the project. Renovation or alteration costs may not exceed the lesser of \$150,000 or 25 percent of the total direct costs approved for the entire budget period.
- Projects originated and designed by consultants who provide a major role for themselves in the proposed project and are not members of the applicant organization.

I. Paperwork Reduction Act of 1995 (Pub. L. 104-13)

Public reporting burden for this collection of information is estimated to average 29.5 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information.

The following information collections are included in the program announcement: ANA grant applications, OMB control number 0980-0204, expires August 31, 1999.

An agency may conduct or sponsor, and a person is not required to respond to, collection of information unless it displays a currently valid OMB control number.

J. Receipt of Applications

Applications must either be hand delivered or mailed to the address in Section F, The Application Process: Application Submission. The Administration for Native Americans cannot accommodate transmission of applications by fax or through other electronic media. Therefore, applications transmitted to ANA electronically will not be accepted regardless of date or time of submission and time of receipt. Videotapes and cassette tapes may not be included as part of a grant application for panel review.

Applications and related materials postmarked after the closing date will be classified as late; and not considered in the current competition.

1. Deadlines

- Mailed applications shall be considered as meeting an announced deadline if they are either received on or before the deadline date or sent on or before the deadline date and received by ACF in time for the independent review to: U.S. Department of Health and Human Services, Administration for Children and Families, Division of Discretionary Grants, 370 L'Enfant Promenade, SW., Mail Stop 6C-462, Washington, D.C. 20447.

- Applicants are cautioned to request a legibly dated U.S. Postal Service postmark or to obtain a legibly dated receipt from a commercial carrier or the U.S. Postal Service. Private metered postmarks shall not be acceptable as proof of timely mailing.

- Applications hand carried by applicants, applicant couriers, or by overnight/express mail couriers shall be considered as meeting an announced deadline if they are received on or before the deadline date or postmarked on or before the deadline date, Monday through Friday (excluding Federal holidays), between the hours of 8:00 am and 4:30 pm at: U.S. Department of Health and Human Services, Administration for Children and Families, Division of Discretionary Grants, ACF Mailroom, 2nd Floor Loading Dock, Aerospace Center, 901 D Street, SW., Washington, DC 20024. (Applicants are cautioned that express/overnight mail services do not always deliver as agreed.)

- ACF cannot accommodate transmission of applications by fax or through other electronic media. Therefore, applications transmitted to ACF electronically will not be accepted regardless of date or time of submission and time of receipt.

- No additional material will be accepted, or added to an application,

unless it is postmarked by the deadline date.

2. Late Applications

Applications which do not meet the criteria above are considered late applications. ACF shall notify each late applicant that its application will not be considered in the current competition.

3. Extension of Deadlines

The Administration for Children and Families may extend an application deadline for applicants affected by acts of God such as floods and hurricanes, or when there is a widespread disruption of the mails. A determination to extend or waive deadline requirements rests with the Chief Grants Management Officer.

(Catalog of Federal Domestic Assistance Program Numbers: 93:612 Native American Programs)

Dated: May 22, 1998.

Gary N. Kimple,

Commissioner, Administration for Native Americans.

[FR Doc. 98-14132 Filed 5-27-98; 8:45 am]

BILLING CODE 4184-01-M

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Food and Drug Administration

[Docket No. 98N-0304]

Agency Information Collection Activities: Proposed Collection; Comment Request

AGENCY: Food and Drug Administration, HHS.

ACTION: Notice.

SUMMARY: The Food and Drug Administration (FDA) is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act of 1995 (the PRA), Federal agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information, including each proposed reinstatement of an existing collection of information, and to allow 60 days for public comment in response to the notice. This notice solicits comments on requirements governing applications for FDA approval to market a new drug.

DATES: Submit written comments on the collection of information by July 27, 1998.

ADDRESSES: Submit written comments on the collection of information to the Dockets Management Branch (HFA-305), Food and Drug Administration,