

Special Assistant to the Assistant Secretary, Bureau of Population, Refugees and Migration. Effective October 8, 1998.

Special Assistant to the Deputy Assistant Secretary, Bureau of Public Affairs. Effective October 14, 1998.

Deputy Assistant Secretary to the Assistant Secretary, Bureau of Intelligence and Research. Effective October 14, 1998.

Special Assistant to the Deputy Assistant Secretary, Bureau of International Narcotics and Law Enforcement Affairs. Effective November 5, 1998.

Special Assistant to the Assistant Secretary, Bureau of International Narcotics and Law Enforcement Affairs. Effective November 6, 1998.

Member to the Director, Policy and Planning Staff. Effective November 10, 1998.

Department of Transportation

Special Assistant to the Assistant Secretary for Governmental Affairs. Effective October 6, 1998.

Staff Assistant to the Director of External Affairs. Effective October 9, 1998.

Director, Office of Public Affairs to the Federal Railroad Administrator. Effective November 6, 1998.

Special Assistant to the Federal Highway Administrator, Federal Highway Administration. Effective November 25, 1998.

Department of the Treasury

Senior Advisor to the Assistant Secretary for Public Affairs and Director of Public Affairs Planning. Effective October 28, 1998.

Department of Veterans Affairs

Special Assistant to the Assistant Secretary for Public and Intergovernmental Affairs. Effective October 29, 1998.

Special Assistant to the Assistant Secretary for Policy and Planning. Effective November 9, 1998.

Environmental Protection Agency

Special Assistant to the Chief of Staff. Effective October 2, 1998.

Director, Executive Secretariat to the Chief of Staff. Effective October 21, 1998.

Special Assistant to the Regional Administrator. Effective November 16, 1998.

Federal Deposit Insurance Corporation

Secretary to the Chairman. Effective October 21, 1998.

Federal Energy Regulatory Commission
Special Assistant to the Chief Information Officer. Effective October 8, 1998.

Federal Housing Finance Board

Counselor to the Chairman. Effective November 10, 1998.

Federal Maritime Commission

Counsel to the Commissioner. Effective November 16, 1998.

National Aeronautics and Space Administration

Legislative Affairs Specialist to the Associate Administrator for Legislative Affairs. Effective October 8, 1998.

Staff Assistant to the Associate Administrator for Legislative Affairs. Effective October 9, 1998.

White House Liaison Officer to the NASA Administrator. Effective October 14, 1998.

National Credit Union Administration

Communications and Administrative Assistant to the Board Member. Effective November 19, 1998.

National Endowment for the Humanities

Enterprise/Development Officer to the Chief of Staff. Effective November 6, 1998.

Director, Office of Public Affairs to the Chief of Staff. Effective November 19, 1998.

National Transportation Safety Board

Special Counsel to the Managing Director of the National Transportation Safety Board. Effective November 25, 1998.

Occupational Safety and Health Review Commission

Confidential Assistant to the Member (Commissioner), Occupational Safety and Health Review Commission. Effective November 16, 1998.

Office of Personnel Management

White House Liaison to the Chief of Staff. Effective October 7, 1998.

Office of Science and Technology Policy

Confidential Assistant to the Associate Director for Environment. Effective October 1, 1998.

Confidential Assistant to the Associate Director for Science. Effective November 24, 1998.

Office of the United States Trade Representative

Confidential Assistant to the Chief of Staff. Effective October 29, 1998.

Confidential Assistant to the Deputy U.S. Trade Representative. Effective October 29, 1998.

Overseas Private Investment Corporation

Special Assistant to the Managing Director for Congressional and Intergovernmental Affairs. Effective October 30, 1998.

Small Business Administration

Senior Advisor to the Deputy Administrator. Effective October 15, 1998.

Regional Administrator to the Associate Administrator for Field Administrations. Effective October 15, 1998.

U.S. International Trade Commission

Staff Economist to the Commissioner. Effective October 27, 1998.

Staff Assistant (Economist) to the Commissioner. Effective November 19, 1998.

Authority: 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR 1954-1958 Comp., P.218
Office of Personnel Management.

Janice R. Lachance,

Director.

[FR Doc. 99-92 Filed 1-4-99; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

Sunshine Act; Agency Meeting

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Pub. L. 94-509, that the Securities and Exchange Commission will hold the following meeting during the week of January 4, 1999.

A closed meeting will be held on Thursday, January 7, 1999, at 11:00 a.m.

Commissioners, Counsel to the Commissioners, the Secretary to the Commission, and recording secretaries will attend the closed meeting. Certain staff members who have an interest in the matters may also be present.

The General Counsel of the Commission, or his designee, has certified that, in his opinion, one or more of the exemptions set forth in 5 U.S.C. 552b(c)(4), (8), (9)(A) and (10) and 17 CFR 200.402(a)(4), (8), (9)(i) and (10), permit consideration of the scheduled matters at the closed meeting.

Commissioner Carey, as duty officer, voted to consider the items listed for the closed meeting in a closed session.

The subject matter of the closed meeting scheduled for Thursday, January 7, 1999, at 11:00 a.m., will be:

Institution and settlement of injunctive actions.

Institution and settlement of administrative proceedings of an enforcement nature.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact:

The Office of the Secretary at (202) 942-7070.

Dated: December 30, 1998.

Jonathan G. Katz,
Secretary.

[FR Doc. 98-34847 Filed 12-31-98; 2:18 pm]

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SECURITIES AND EXCHANGE COMMISSION

[Release NO. 34-408545; File No. SR-MSRB-97-12]

Self-Regulatory Organizations; Notice of Filing of Proposed Rule Change by the Municipal Securities Rulemaking Board Relating to Political Contributions and Prohibitions on Municipal Securities Business

December 28, 1998.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act")¹ and Rule 19b-4 hereunder,² notice is hereby given that on December 18, 1997, the Municipal Securities Rulemaking Board ("Board" or "MSRB") filed with the Securities and Exchange Commission ("Commission" or "SEC") a proposed rule change (File No. SR-MSRB-97-12) as described in Items I, II, and III below, which Items have been prepared by the Board. On December 3, 1998, the Board file Amendment No. 1 which supersedes the initial proposal.³ The Commission is publishing this notice to solicit comments on the proposed rule change as contained in Amendment No. 1 from interested persons.

I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed rule change

The Board has filed with the Commission a proposed rule change consisting of amendments to Rule G-37, on political contributions and

prohibitions on municipal securities business, Rule G-8, on recordkeeping, Rule G-9, on preservation of records, and Rule G-38, on consultants. In addition, the MSRB submitted proposed Form G-37x as part of Amendment No. 1. Below is the text of the proposed rule change. Additions are italicized; deletions are bracketed.

Rule G-37. Political Contributions and Prohibitions on Municipal Securities Business

(a)-(d) No change.

(e)(i) *Except as otherwise provided in paragraph (e)(ii), each [Each] broker, dealer or municipal securities dealer shall, by the last day of the month following the end of each calendar quarter (these dates correspond to January 31, April 30, July 31 and October 31), send to the Board by certified or registered mail, or some other equally prompt means that provides a record of sending, [and the Board shall make public, reports on contributions to officials of issuers and on payments to political parties of states and political subdivisions that are required to be recorded pursuant to rule G-8(a)(xvi). Such reports shall include information concerning the amount of,] two copies of Form G-37/G-38 setting forth, in the prescribed format, the following information:*

(A) *for contributions to officials of issuers (other than a contribution made by a municipal finance professional or a non-MFP executive officer to an official of an issuer for whom such person is entitled to vote if all contributions by such person to such official of an issuer, in total, do not exceed \$250 per election) and payments to political parties of states and political subdivisions (other than a payment made by a municipal finance professional or a non-MFP executive officer to a political party of a state or political subdivision in which such person is entitled to vote if all payments by such person to such political party, in total, do not exceed \$250 per year): [and an indication of the contributor category of each contribution or payment] made by the persons and entities described in subclause (2) of this clause (A):*

[(A) the broker, dealer or municipal securities dealer;]
[(B) all municipal finance professionals;]
[(C) all non-MFP executive officers; and]
[(D) all political action committees controlled by the broker, dealer or municipal securities dealer or by any municipal finance professional.]

[Such reports also shall include information on municipal securities business engaged in and certain other information specified in this section (e), as well as other identifying information as may be determined by the Board from time to time.]

[(ii) Two copies of the reports referred to in paragraph (i) of this section (e) must be sent to the Board on Form G-37/G-38 by the last day of the month following the end of each calendar quarter (these dates correspond to January 31, April 30, July 31 and October 31), and must include, in the prescribed format, by state, the following information on contributions to each official of an issuer and payments to each political party of a state or

political subdivision made and municipal securities business engaged in during the reporting period:]

[(A)] (1) *the name and title (including and city/county/state or political subdivision) of each official of an issuer and political party receiving contributions or payments during such calendar quarter, listed by state;*

[(B)] (2) *the contribution or payment amount made and the contributor category of each of the following persons and entities [described in paragraph (i) of this section (e); and (C) such other identifying information required by Form G-37/G-38. Such reports also must include] making such contributions or payments during such calendar quarter:*

(a) *the broker, dealer or municipal securities dealer;*
(b) *each municipal finance professional;*
(c) *each non-MFP executive officer; and*
(d) *each political action committee controlled by the broker, dealer or municipal securities dealer or by any municipal finance professional;*

(B) *a list of issuers with which the broker, dealer or municipal securities dealer has engaged in municipal securities business during such calendar quarter, listed by state, along with the type of municipal securities business;*

(C) *any information required to be included on Form G-37/G-38 for such calendar quarter pursuant to paragraph (e)(iii);*

(D) *any information required to be disclosed pursuant to section (d) of rule G-38; and*

(E) *such other identifying information required by Form G-37/G-38.*

The Board shall make public a copy of each Form G-37/G-38 received from any broker, dealer or municipal securities dealer.

(ii)(A) *No broker, dealer or municipal securities dealer shall be required to send Form G-37/G-38 to the Board for any calendar quarter in which either:*

(1) *such broker, dealer or municipal securities dealer has no information that is required to be reported pursuant to clauses (A) through (D) of paragraph (e)(i) for such calendar quarter; or*

(2) *subject to clause (B) of this paragraph (e)(ii), such broker, dealer or municipal securities dealer has not engaged in municipal securities business, but only if such broker, dealer or municipal securities dealer:*

(a) *had not engaged in municipal securities business during the seven consecutive calendar quarters immediately preceding such calendar quarter; and*

(b) *has sent to the Board, by certified or registered mail or some other equally prompt means that provides a record of sending, two copies of a completed Form G-37x setting forth, in the prescribed format, (i) a certification to the effect that such broker, dealer or municipal securities dealer did not engage in municipal securities business during the eight consecutive calendar quarters immediately preceding the date of such certification, (ii) certain acknowledgments as are set forth in said Form G-37x regarding the obligations of such broker, dealer or municipal securities dealer*

¹ 15 U.S.C. 78s(b)(1).

² 17 CFR 240.19b-4.

³ On December 18, 1997, the MSRB submitted its initial proposal which would not require Rule G-37 disclosures by dealers who have not engaged in municipal securities transactions for 2 years. Also the proposal would not have required dealers subject to reporting requirements to make any filing in the event they have nothing to disclose. After discussions between the Commission and the MSRB, the MSRB filed Amendment No. 1 on December 3, 1998. While the revised proposal maintains the exemptions of the disclosure requirements, it includes a dealer certification as a precondition to the effectiveness of the exemptions created in the original proposal.