

## NATIONAL TRANSPORTATION SAFETY BOARD

### Sunshine Act Meeting

**TIME AND PLACE:** 9:30 a.m., Wednesday, February 21, 2001.

**PLACE:** NTSB Conference Center, 429 L'Enfant Plaza SW., Washington, DC 20594

**STATUS:** The three items are open to the public.

#### MATTERS TO BE CONSIDERED:

7327 Briefs of Accidents: Cessnas 172K and N79960, N89872 at Sarasota, FL on 3/9/2000 (MIA00FA103A/B) and Safety Recommendations to FAA re ATC procedures and practices.

7322 Survivability of Accidents Involving Part 121 U.S. Air Carrier Operations, 1983-1999.

7329 Hazardous Materials Accident Report: Rupture of Railroad Tank Car near Clymers, Indiana.

**NEWS MEDIA CONTACT:** Telephone: (202) 314-6100.

Individuals requesting specific accommodation should contact Mrs. Barbara Bush at (202) 314-6220 by Friday, February 16, 2001

**FOR MORE INFORMATION CONTACT:** Vicky D'Onofrio, (202) 314-6410.

Dated: February 9, 2001.

**Vicky D'Onofrio,**

*Federal Register Liaison Officer.*

[FR Doc. 01-3785 Filed 2-9-01; 3:55 pm]

**BILLING CODE 7533-01-U**

## NUCLEAR REGULATORY COMMISSION

### Sunshine Act Meeting; Notice

*Agency Holding the Meeting:* Nuclear Regulatory Commission.

*Date:* Weeks of February 12, 19, 26, March 5, 12, 19, 2001.

*Place:* Commissioners' Conference Room, 11555 Rockville Pike, Rockville, Maryland.

*Status:* Public and Closed.

#### Matters To Be Considered

*Week of February 12, 2001*

Wednesday, February 14, 2001

10:25 a.m. Affirmation Session (Public Meeting) (If needed)

*Week of February 19, 2001—Tentative*

Tuesday, February 20, 2001

10:25 a.m. Affirmation Session (Public Meeting) (If needed)

10:30 a.m. Briefing on Spent Fuel Pool Accident Risk at Decommissioning Plants and Rulemaking Initiatives (Public Meeting) (Contact: George Hubbard, 301-415-2870)

This meeting will be webcast live at the Web address—[www.nrc.gov/live.html](http://www.nrc.gov/live.html).

*Week of February 26, 2001—Tentative*

Monday, February 26, 2001

2:00 p.m. Meeting with the National Association of Regulatory Utility Commissioners (NARUC) (Public Meeting) (Contact: Spiros Droggitis, 301-415-2367)

This meeting will be webcast live at the Web address—[www.nrc.gov/live.html](http://www.nrc.gov/live.html).  
Tuesday, February 27, 2001

10:25 a.m. Affirmation Session (Public Meeting) (If needed)

10:30 a.m. Briefing on Threat Environment Assessment (Closed-Ex. 1)

*Week of March 5, 2001—Tentative*

There are no meetings scheduled for the Week of March 5, 2001.

*Week of March 12, 2001—Tentative*

Monday, March 12, 2001

1:25 p.m. Affirmation Session (Public Meeting) (If needed)

1:30 p.m. Discussion of Management Issues (Closed-Ex. 2)

*Week of March 19, 2001—Tentative*

Thursday, March 22, 2001

10:25 a.m. Affirmation Session (Public Meeting) (If needed)

10:30 a.m. Meeting with Advisory Committee on Nuclear Waste (ACNW) (Public Meeting) (Contact: John Larkins, 301-415-7320)

This meeting will be webcast live at the Web address—[www.nrc.gov/live.html](http://www.nrc.gov/live.html).

\* The schedule for Commission meetings is subject to change on short notice. To verify the status of meetings call (recording)—(301) 415-1292. Contact person for more information: David Louis Gamberoni (301) 415-1651.

\* \* \* \* \*

The NRC Commission Meeting Schedule can be found on the Internet at:

<http://www.nrc.gov/SECY/smj/schedule.htm>

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This notice is distributed by mail to several hundred subscribers; if you no longer wish to receive it, or would like to be added to the distribution, please contact the Office of the Secretary, Washington, DC 20555 (301-415-1969). In addition, distribution of this meeting notice over the Internet system is available. If you are interested in receiving this Commission meeting schedule electronically, please send an electronic message to [dkw@nrc.gov](mailto:dkw@nrc.gov).

Dated: February 8, 2001.

**David Louis Gamberoni,**

*Technical Coordinator, Office of the Secretary.*

[FR Doc. 01-3738 Filed 2-9-01; 12:37 pm]

**BILLING CODE 7590-01-M**

## SECURITIES AND EXCHANGE COMMISSION

### Proposed Collection; Comment Request

Upon Written Request, Copies Available From: Securities and Exchange Commission,

Office of Filings and Information Services, Washington, DC 20549.

Extension: Rule 17a-4; SEC File No. 270-198; OMB Control No. 3235-0279.

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission ("Commission") is soliciting comments on the collection of information summarized below. The Commission plans to submit this existing collection of information to the Office of Management and Budget for extension and approval.

Rule 17a-4 (17 CFR 240.17a-4) requires exchange members, brokers and dealers to preserve for prescribed periods of time certain records required to be made by Rule 17a-3. In addition, Rule 17a-4 requires the preservation of records required to be made by other Commission rules and other kinds of records which firms make or receive in the ordinary course of business. These include, but are not limited to, bank statements, cancelled checks, bills receivable and payable, originals of communications, and descriptions of various transactions. Rule 17a-4 also permits broker-dealers to employ, under certain conditions, electronic storage media to maintain records required to be maintained under Rules 17a-3 and 17a-4.

These are approximately 7,525 active, registered broker-dealers. The staff estimates that the average amount of time necessary to preserve the books and records as required by Rule 17a-4 is 1.001 hours per broker-dealer per working day. Thus the staff estimates that because there are approximately 250 business day per year, the total compliance burden for 7,525 respondents is 1,883,131 hours.

The staff believes that compliance personnel would be charged with ensuring compliance with Commission regulation, including Rule 17a-4. The staff estimates that the hourly salary of a compliance manager is \$82.50 per hour.<sup>1</sup> Based upon these numbers, the total cost of compliance for 7,525 respondents is \$155,358,308 per year.<sup>2</sup> Despite the total burden hour decrease of 244,054 (resulting from the decrease in the number of respondents from 8,500 to 7,525), the increase in the estimated hourly salary used (from \$48.08 as used in previous estimates to

<sup>1</sup> Securities Industry Association, Management and Professional Earnings, Table 051 (Compliance Manager) + 35% overhead (based on end-of-year 1998 figures).

<sup>2</sup> (1.001 hours per day × 250 days × 7,525 active, registered broker-dealer respondents) = 1,883,131 total hours per year. (1,883,131 hours × \$82.50 per hour) = \$155,358,308 per year.