a. The section heading would be revised as set forth below.

b. In paragraph (a), footnote 11 and its reference in the text would be redesignated as footnote 12.

c. A new paragraph (c) would be added to read as follows:

§ 319.37–13 Treatment and costs and charges for inspection and treatment; treatments applied outside the United States.

* * * * *

(c) Any treatment performed outside the United States must be monitored and certified by an APHIS inspector or an official from the plant protection service of the exporting country. If monitored and certified by an official of the plant protection service of the exporting country, then a phytosanitary certificate must be issued with the following declaration: ''The consignment of (fill in botanical name) has been treated in accordance with the Plant Protection and Quarantine Treatment Manual.'' During the entire interval between treatment and export, the consignment must be stored and handled in a manner that prevents any infestation by pests and Federal noxious weeds.

§ 319.37–14 [Amended]

15. In § 319.37–14, paragraph (b), in the list of ports of entry, under the undesignated center heading, ''TEXAS'', the asterisk immediately before the words ''El Paso'' would be removed.

Done in Washington, DC, this 18th day of December, 2001.

Richard L. Dunkle,

Acting Administrator, Animal and Plant Health Inspection Service.

[FR Doc. 01–31602 Filed 12–27–01; 8:45 am]

BILLING CODE 3410–34–U

DEPARTMENT OF ENERGY

Federal Energy Regulatory Commission

18 CFR Parts 2, 35, and 37

[Docket No. RM01–8–000]

Revised Public Utility Filing Requirements

Issued December 20, 2001.

AGENCY: Federal Energy Regulatory Commission (Commission), DOE.

ACTION: Order seeking comments on proposed data sets.

SUMMARY: As contemplated in the notice of proposed rulemaking issued by the Federal Energy Regulatory Commission (Commission) earlier in this proceeding, *Revised Public Utility Filing Requirements,* (66 FR 40929), FERC Stats. & Regs. ¶ 32,554 (2001) (NOPR), this order invites comment on a proposed set of uniform data elements for public utilities' quarterly electronic filings that would accompany the final rule. Uniform data sets are necessary to ensure that the requested data is reported in a consistent, informative manner by all reporting public utilities.

DATES: Written comments must be received by the Commission by January 28, 2002.

ADDRESSES: Office of the Secretary, Federal Energy Regulatory Commission, 888 First Street, NE., Washington, DC 20426.

FOR FURTHER INFORMATION CONTACT:

H. Keith Pierce (Technical Information), Office of Markets, Tariffs, and Rates, Federal Energy Regulatory Commission, 888 First Street, NE., Washington, DC 20426, (202) 208–0525

Barbara D. Bourque (Information Technology Information), Office of Markets, Tariffs, and Rates, Federal Energy Regulatory Commission, 888 First Street, NE., Washington, DC 20426, (202) 208–2338

Gary D. Cohen (Legal Information), Office of the General Counsel, Federal Energy Regulatory Commission, 888 First Street, NE., Washington, DC 20426 (202) 208–0321

SUPPLEMENTARY INFORMATION:

Before Commissioners: Pat Wood, III, Chairman; William L. Massey, Linda Breathitt, and Nora Mead Brownell.

Order Seeking Comments on Proposed Data Sets

Issued December 20, 2001.

I. Background

On July 26, 2001, the Commission issued a notice of proposed rulemaking in this proceeding, *Revised Public Utility Filing Requirements,* 66 FR 40929, FERC Stats. & Regs. ¶ 32,554 (2001) (NOPR), proposing to revise the Commission's filing requirements for public utilities. The Commission is currently engaged in reviewing the comments filed in response to the NOPR.

Among other matters, the NOPR stated that ''[l]ater in this rulemaking process, we plan to conduct further proceedings to develop the instruction manual to be used to make Index of Customer filings, which will define the data elements to be included in Index of Customers filings.'' Uniform data sets are necessary to ensure that required data are reported in a consistent, informative manner by all reporting public utilities.

As explained in the NOPR, the Commission is considering requiring public utilities to make quarterly electronic filings when we issue a final rule in this proceeding. As explained in the text of proposed § 35.10b, the filing requirements would pertain to every jurisdictional electric service, under part 35 of the Commission's regulations, 18 CFR Part 35, that was effective some time during the reporting quarter. In this order, we invite comment on a proposed set of uniform data elements for public utilities' quarterly electronic filings that would accompany the final rule.

II. Discussion

A. Suggestion To Postpone Action on Proposed Rulemaking Pending Completion of Comprehensive Review of Market Monitoring Information

As explained above, we are currently engaged in reviewing the comments filed in response to the NOPR and, with one exception, will not address those comments here. The exception is the issue raised in some comments to the NOPR that the Commission should postpone action on the proposals in the NOPR pending completion of the Commission's comprehensive review of the information needed by the Commission for market monitoring purposes.

This argument maintains that, if the Commission's comprehensive review of market monitoring information concludes that the transactional data proposed in the NOPR to be reported in Index of Customers filings are later found to be unnecessary, then issuance of a final rule requiring the electronic filing of that information (and posting of that information on a website) would result in public utilities incurring unnecessary expenses to establish procedures to collect and report these data. The same commenters also argue that it would be wasteful to force public utilities to design and implement procedures to report transactional data for market monitoring purposes, merely to have those reporting requirements withdrawn, once the Commission completes its review of needed market monitoring information.

We find these arguments without merit because, although the Commission has not completed its comprehensive review of market monitoring data, we believe that the information proposed to be reported would be the minimum needed for market monitoring purposes, even if we later determine that additional data also will be necessary. Moreover, as we noted in the NOPR, we believe that the proposed reporting requirements would improve the quality of information reported to the Commission by prescribing that public utilities report information in a consistent, accessible format.

B. Proposed Data Sets

Attached to this order are tables specifying proposed data elements that identify (with greater detail than provided in the NOPR) the information to be reported in Index of Customers filings. The Index of Customers is intended to electronically collect data on all jurisdictional contracts, including, among other matters, data concerning service agreements, bilateral contracts, rate schedules, and interconnection agreements. As such, many different types of jurisdictional services must be accommodated by the data elements. Depending on the service(s) offered by a particular public utility, it may have to submit data for only a certain subset of the data elements identified in the Appendices. The data elements are organized to show what data are required for various types of services.

To aid in identifying the data elements, we have included definitions (in many cases, with examples). We have also identified whether the data elements are to be filed as file identification information, contract data, and/or transactional data. For the sake of clarity, certain data elements that will be system-generated have been omitted from these tables. Some data elements will appear in more than one section; others will be unique to a section. For instance, the ''company_name'' data element will be used to identify the respondent, filing agent, buyer, or seller, depending on where it is entered into the system.

We received several comments concerned with the appropriate time increment for reporting pricing data for transactions (*i.e.,* whether the pricing data should be reported in hourly or even shorter increments). We are proposing that, if a price changes during a day, then respondents should submit high, low, and (weighted) average prices on a daily basis for those transactions that are shorter than a day. If prices remain unchanged for two days or more, they may be reported as a single datum entry. We invite comments from a computer systems perspective about whether filing pricing data this way would be less burdensome than submitting pricing data for each period of time a unique price is charged (*i.e.,* if a power sale involved five price changes in an hour, it would be filed as five transactions for that hour).

It is our desire to collect Index of Customer information in the least burdensome way. We have tried to follow OASIS standards wherever possible to minimize the introduction of new data elements or formats. If commenters see a way for the proposed data sets to match up better with the OASIS Standards and Communication Protocols Document, version 1.4 (OASIS S&CP Document), they should address this in their comments.

In the attached tables, there are a number of field definitions that include the term {registered} in the list of valid entries. This means that we will allow other terms to be entered into the field, but only after they have been approved by the Commission and entered into the validation tables for that field. The reason for this is to ensure data integrity. If we allowed free form text in these fields, it would hinder our ability to perform meaningful searches of the data because companies do not always use the same name for the same item, or there may be misspellings. We invite respondents to submit other proposed entries for these fields either as part of this NOPR process or at any time in the future.

The first table (Appendix A) is an overview of the types of data we propose to collect, identifying the sections of the data collection: filer identification, contract data, and transaction data. The first three columns indicate which data elements pertain to each section. File Identification Information, designated by an F in the first column, provides information about the identity of the filer and the party on whose behalf the filing is being made (if different). The data must be filed by every public utility filing an Index of Customers report. Contract Data, identified by a C in the second column, include the contract data elements that every public utility filing an Index of Customers must provide to describe its contracts.

Every jurisdictional service that was effective some time during reporting quarter must be included on the Index of Customers. This includes services for which service agreements have already been filed with and approved by the Commission, services for which service agreements conform with filed and approved standard forms of service agreements, rate schedules, and unique services such as individually negotiated bilateral agreements. Transactional Data, shown by a T in the third column of Appendices A and B, identifies the transactional data elements that every public utility filing an Index of Customers must provide to describe its power sales. To the extent that a public utility makes no power sales during the applicable quarter, it is not required to report any transactional data in its Index of Customers filing for that quarter. The NOPR proposed to require transactional data for every electric commodity sale, whether the rate was cost-based, market-based, under a rate schedule, or under a tariff. In addition, if any other services are approved for market-based rates in the future, they will have to be reported in this section.

The second table (Appendix B) is a detailed look at the data elements including their format. Where applicable, we have identified the analogous OASIS data element. We have also included the intended field length and a list of valid entries. This table is intended for computer and technical personnel to review. Respondents are encouraged to comment on these items which will aid the successful development of the system.

The third table, shown in Appendix C, shows which elements will be required for short term transmission contracts and which will be required for long term transmission contracts.

The NOPR proposes that public utilities report in the Index of Customers all of their sales transactions, ''including book outs and net outs.''[1] A number of parties' comments expressed concern about how the Commission would define the ''book outs'' and ''net outs'' that must be reported in the Index of Customers, contending that including ''book outs'' and ''net outs'' would be burdensome and that ''book outs'' are nonphysical transactions that should not be reported.

In industry parlance, a ''book out'' is the offsetting of opposing buy-sell transactions (*e.g.,* a sale of 100 MW from A to B and a sale of 90 MW from B to A would result in these transactions being booked-out and treated as a 10 MW sale from A to B). A ''net out'' is similar, but instead concerns the offsetting of dollars rather than MW (*e.g.,* if A owes B $2,700 and B owes A $3,000, the transactions are netted out and treated as a single transaction of $300).

As noted by many parties, the Commission found in *Morgan Stanley Capital Group, Inc.,* 69 FERC ¶ 61,175 at 61,696 (1994), as modified in 72 FERC ¶ 61,082 at 61,435–36 (1995) (*Morgan Stanley*) that we would not extend our power marketer reporting requirements to purely financial transactions[2] and in

[1] *See* FERC Stats. & Regs. ¶ 32,554 at 34,071–72.

[2] We note, however, that in Docket No. RM02–3–000 we are proposing to revise the Uniform System of Accounts (USofA) to require reporting on

Continued

New York Mercantile Exchange, 74 FERC ¶ 61,311 at 61,987 (1996) (*NYMEX*), we found that we lack jurisdiction under sections 203 and 204 of the FPA over the trading of electricity futures contracts approved for trading by the Commodity Futures Trading Commission (CFTC). We held, however, that we do have jurisdiction under §§ 205 and 206 of the FPA over transactions that go to physical delivery. Intervenors contend, based on our precedent in *Morgan Stanley* and *NYMEX,* that we should not require the reporting of ''book outs'' and ''net outs'' in the Index of Customers.

Subsequent to issuance of *Morgan Stanley,* respondents' quarterly transaction reports have reported their ''book outs'' and ''net outs'' of physical transactions on an aggregated basis. Reporting these book outs and net outs of physical transactions is appropriate because the underlying transactions are not purely financial transactions. Our proposal in the NOPR does not rewrite the line we drew in *Morgan Stanley* or in *NYMEX.* The same transactions for which book outs and net outs are currently reported in quarterly transaction reports are to be reported in the Index of Customers. The comments filed in response to the NOPR have not persuaded us to reconsider this issue.

However, to avoid confusion, we will give specific guidance as to which book outs or net outs must be reported under the proposals in the NOPR, as clarified in this order. In *Morgan Stanley* and *NYMEX,* the Commission stated that it has jurisdiction over those transactions that go to physical delivery, and does not have jurisdiction over purely financial transactions. However, commenters suggest that booked-out transactions do not go to physical delivery and, therefore should not have to be reported. We note that, in *Morgan Stanley* and *NYMEX,* the Commission was distinguishing between transactions that were purely financial, *i.e.,* transactions usually performed in the futures market, and physical transactions, *i.e.,* scheduled sale or purchase transactions to meet load. Commenters are not drawing the line between futures transactions and physical transactions, but between physical transactions that are delivered in full and those that are offset either all or in part (*e.g.,* they argue that two offsetting 100 MW sales result in no deliveries; thus nothing need be reported on either transaction despite the fact that the sales were not financial positions but in fact physical power sales that were offset similar to a net interchange between two control areas). Just because a MW for a sale was not used to meet a distant load but instead met a more local load due to scheduling and physics does not change the fact that each individual transaction of such a series of offset transactions is a physical transaction. Accordingly, we clarify that we are proposing that sales of power that are offset through a book out or net out based on the physical characteristics (*i.e.,* location of source and sink) of the transactions must be reported as separate transactions.

Morgan Stanley did not address the issue of whether book outs and net outs are to be reported on an aggregated or disaggregated basis. In the absence of specific guidance on this issue, respondents have elected to file this information on an aggregated basis. To provide the public and Commission with more useful information, we clarify that, under the proposal in the NOPR, public utilities would be required to report book outs and net outs of physical transactions on a disaggregated basis showing each individual leg of the transaction that generated the book out or net out.

D. Additional Discussion on Data Elements

The majority of the data elements are self-explanatory: contact_name, contact_address, contact_email and the like. However, several data elements require additional discussion.

1. Company Identification Data Elements

Appendix A identifies the data elements ''company_name,'' ''company_duns'' and a series of related data elements necessary to identify a contact person, address and means of contact. These data elements will be used to collect data on the multiple parties related to the filing of the report and the information contain in the report. The data collection anticipates the need to collect information on up to three types of parties: (a) Filing Agent—the company or organization making the filing with the Commission (this could be the public utility itself, or an organization such as a law firm making the filing on the utility's behalf; (b) Seller—the public utility providing services; and (c) Purchaser—the buyer of the public utility's service(s). Not all data elements will be required for all parties. For example, there is no need for a company DUNS [3] number for the filing agent. For the purchaser, the Commission intends to require only company name and the associated DUNS number. No contact data will be required. A separate Index of Customers filing will be required for each public utility. Each filing is required to include the name (contact_name) and location information for at least one contact person. Contacts can be listed for the filing agent and/or the seller.

2. ''Contract_service_agreement_id''

The Commission is not proposing any particular method for a utility to create unique contract service agreement identifiers. However, whatever method a utility adopts should be readily relatable to any service or revenue a utility must report (such as in a Form No. 1 filing, rate proceeding, or Commission audit).

3. ''Contract commencement _dt'' and ''begin_ date''

The contract commencement date is the initial date service commenced under the contract. This date, once established, does not change in subsequent quarterly Index of Customers filings.

Electric utility contracts may provide for several unbundled services under a single contract. The purpose of the ''begin_date'' data element is to identify the initial date an individual service commenced. This date can differ from the date service commenced under a contract, or from other services under a contract. This date, once established, does not change in subsequent quarterly Index of Customers filings.

The distinction between ''contract_commencement_date'' and ''begin_date'' can best be illustrated with an example. Power sales or transmission might be provided under a contract before various ancillary services (also provided for under the contract) are commenced. Under this scenario, the date service under the contract commences would be reported under the ''contract_commencement_date'' data element and the date when each ancillary service commenced would be reported with its own ''begin_date.''

4. ''Contract termination_dt,'' ''cancellation of_contract,'' ''actual_termination_ dt,'' and ''end_date''

The ''contract_termination_dt'' data element is intended to capture the expected initial contract's termination

derivatives, and we are seeking comment on the extent to which marketers should be required to follow the USofA and what information, if any, should be reported by these entities.

[3] DUNS numbers refer to the Data Universal Numbering System, maintained by Dun and Bradstreet.

date. If the contract terminates during the reporting period, then the flag ''cancellation_of_contract'' should be provided, as well as the actual termination date (*i.e.,* ''actual_termination_dt'').

Electric utility contracts may provide for several unbundled services under a single contract. The purpose of the ''end_date'' data element is to identify the date an individual service terminates. This date, once established, does not change in subsequent quarterly Index of Customers filings.

5. ''Rate,'' ''rate_min,'' ''rate_max,'' and ''rate_desc.''

The first three of these data elements will be used to collect both contract and transaction information. However, the definitions for the required data are different, depending on whether the rate information is applicable to contract data or transactional data.

a. Contractual Rate Data

Appendix A proposes four rate related data elements: ''rate,'' ''rate_min,'' ''rate_max'' and ''rate_desc.''[4] The ''rate'' element is a numeric input that should reflect the stated rate during the reporting period. The ''rate_min'' and ''rate_max'' data elements should report the lowest and highest rate for any unit of service received during the reporting period as provided in the contract. For contracts with stated rates, the rate information in all three data elements would be the same. The ''rate_desc'' data element can be completed as simply as stating ''maximum approved rate.'' However, if a cost-based rate is discounted or negotiated below the FERC-approved maximum rate, then the utility must describe the method by which the rate is calculated. This may be as simple, for example, as ''Stated rate,'' or, if based on some index, an example would be ''Fixed cost of $x.xxxx plus 80% of Index Price Y.'' The rate data element, in this instance, may be left blank. Market-based power sales contracts need not provide any rate information for the contract record. That information will be collected in the transaction record.

b. Transaction Rate Data

Appendix A proposes three rate-related data elements: ''rate,'' ''rate_min,'' and ''rate_max.'' The rates required for these data elements should be solely for the respondent's services or sales under a FERC tariff. As noted above, we are proposing that in lieu of submitting minute-by-minute data, respondents should submit high, low, and weighted average prices on a daily basis for those transactions which are shorter than a day.

Public utilities filing transaction data on power sales will be required to include transaction data for all products and services (whether market-based or cost-based) related under the terms of the contract to the power sale.

The transaction data element ''total_transaction_charge'' is the total revenue for the transaction period received from the customer for the service or sale under the terms of the contract. This would include revenues received for all services related to a product that is the subject of the transaction report. The ''total_transaction_charge'' is also to include all other applicable revenue for other services provided under the contract, such as ancillary services or bundled transmission provided by the respondent or others under the contract.

6. ''Product_name,'' ''product_type_name,'' ''product_sub type_name,'' ''increment_name,'' ''increment_peaking_ name'' and ''term_name''

The purpose of these data elements is to identify the service provided (product_name), along with some general characteristics of the service to improve analysis of the data. For example, ''product_ name'' could be ''schedule system control and dispatch;'' ''product_type'' could be ''transmission;'' and ''product_sub_type'' could be ''ancillary service.''

We are proposing to require the data element ''term_name'' because the Commission often establishes different reporting and other requirements for short-term, as opposed to long-term, contracts. For example, as shown in Appendix C, the standard form of service agreement provided by Order No. 888–B[5] requires significantly less information for short-term transportation as compared to long-term transportation. The Commission does not propose in this NOPR to change the standard form of service agreements.

7. ''{Registered}''

Various proposed data elements require the use of codes to identify certain services and other information. The Commission proposes, to the extent possible, to use the codes and definitions already accepted and in use on the OASIS system. For example, for the data element ''product_name,'' the Commission proposes to use SC—Scheduled system control and dispatch, and RV—Reactive supply and vol. control from the OASIS S&CP Document data set.[6] The Index of Customers, however, will require the reporting of more than just the OATT services. Therefore, the OASIS registered codes do not reflect the variety of services offered by utilities under Part 35 of the Commission's regulations.

We invite comments as to whether the same voluntary industry working group(s) that seek industry consensus and periodically recommend revisions to the OASIS S&CP Document would be available to aid the Commission in developing and maintaining the various codes for Index of Customer Data Sets, or whether another approach would be preferable.

8. ''Ferc designation''

The ''ferc_designation'' data element is designed to identify the FERC-approved designation for the tariff or rate schedule that identifies the terms and conditions of service and the applicable rates. These data are the same as required by § 35.9(a) and 35.9(b)(1) of the Commission's regulations. We have revised the OASIS data element for ''tariff_designation'' because the data element also applies to sales provided under a rate schedule.

9. Identifying Nonconforming Contracts

We invite comment on whether respondents should identify nonconforming contracts as part of their Index of Customers submittals.

E. Electronic Format

The Commission is developing software to capture, manage, and disseminate its data. We invite interested parties who wish to participate in a pilot test of this data collection to contact Barbara Bourque at barbara.bourque@ferc.gov.

III. Public Comment Procedure

This order specifies the data sets that we are considering adopting as part of a final rule in this proceeding. Prior to taking final action on this proposal, we are inviting comments from interested

[4] When a split savings price is paid, this would be reported in the ''rate_desc.'' data element.

[5] *See* Promoting Wholesale Competition Through Open Access Non-discriminatory Transmission Services by Public Utilities and Recovery of Stranded Costs by Public Utilities and Transmitting Utilities, Order No. 888, 61 FR 21540 (May 10, 1996), FERC Stats. & Regs. ¶ 31,036 (1996) (Order No. 888), *order on reh'g,* Order No. 888–A, 62 FR 12274 (March 14, 1997), FERC Stats. & Regs. ¶ 31,048 (1997) (Order No. 888–A), *order on reh'g,* Order No. 888–B, 81 FERC ¶ 61,248 (1997), *order on reh'g,* Order No. 888–C, 82 FERC ¶ 61,046 (1998), *aff'd in part sub nom.,* Transmission Access Policy Study Group, *et al.* v. FERC, 225 F. 3d 667 (D.C. Cir. 2000), *cert. granted in part and denied in part,* 121 S. Ct. 1185 (2001).

[6] OASIS S&CP Document, Appendix A–2, AS_TYPE.

persons on the proposals discussed in this order and fully set out in the attachments. The Commission invites interested persons to submit comments, data, views and other information concerning matters set out in this order.

To facilitate the Commission's review of the comments, commenters are requested to provide an executive summary of their position on the issues raised in this order, including any revisions they would suggest to the proposed data sets (along with the reasons supporting their suggested revisions), along with any related matters or alternative proposals that commenters may wish to discuss. Commenters are requested to identify each specific question posed by this order that their discussion addresses and to use appropriate headings. Commenters should make comments as specific as possible, and when comments address specific data elements or issues, use the same terms as are used in this order. Commenters should separately identify any additional issues they wish to raise. Commenters should double space their comments.

Comments may be filed on paper or electronically via the Internet and must be received by the Commission within 30 days after publication of this order in the **Federal Register**. All comments, whether submitted electronically or in a paper filing, should be preceded by a caption identifying the name (Public Utility Filing Requirements) and docket number (Docket No. RM01–8–000) of this proceeding and should reference that they are being filed in response to this order. Those filing electronically do not need to make a paper filing. For paper filings, the original and 14 copies of such comments should be submitted to the Office of the Secretary, Federal Energy Regulatory Commission, 888 First Street, NE., Washington DC 20426.

For the convenience of Commission Staff, we request that paper filings be accompanied by a computer diskette copy in a Commission-prescribed format (*see* discussion immediately below). We request that the accompanying computer diskette should have a label providing the following information: Docket No. RM01–8–000; the name of the filing entity; the software and version used to create the file; and the name and telephone number of a contact person. Any discrepancies between the paper filing and the accompanying diskette will be resolved by reference to the paper filing.

Comments filed via the Internet must be prepared in WordPerfect, MS Word, Portable Document Format, or ASCII format. To file the document, access the Commission's website at *www.ferc.gov* and click on ''e-Filing,'' and then follow the instructions for each screen. First time users will have to establish a user name and password. The Commission will send an automatic acknowledgment to the sender's E-Mail address upon receipt of comments.

User assistance for electronic filing is available at 202–208–0258 or by E-Mail to *efiling@ferc.fed.us.* Comments should not be submitted to the E-Mail address. All comments will be placed in the Commission's public files and will be available for inspection in the Commission's Public Reference Room at 888 First Street, NE., Washington DC 20426, during regular business hours. Additionally, all comments may be viewed, printed, or downloaded remotely via the Internet through FERC's Homepage using the RIMS link. User assistance for RIMS is available at 202–208–2222, or by E-mail to *RimsMaster@ferc.fed.us.*

IV. Document Availability

In addition to publishing the full text of this document in the **Federal Register**, the Commission provides all interested persons an opportunity to view and/or print the contents of this document via the Internet through FERC's Home Page (*http://www.ferc.gov*) and in FERC's Public Reference Room during normal business hours (8:30 a.m. to 5 p.m. Eastern time) at 888 First Street, NE., Room 2A, Washington, DC 20426.

From FERC's Home Page on the Internet, this information is available in both the Commission Issuance Posting System (CIPS) and the Records and Information Management System (RIMS).

—CIPS provides access to the texts of formal documents issued by the Commission since November 14, 1994.

—CIPS can be accessed using the CIPS link or the Energy Information Online icon. The full text of this document is available on CIPS in ASCII and WordPerfect 8.0 format for viewing, printing, and/or downloading.

—RIMS contains images of documents submitted to and issued by the Commission after November 16, 1981. Documents from November 1995 to the present can be viewed and printed from FERC's Home Page using the RIMS link or the Energy Information Online icon. Descriptions of documents back to November 16, 1981, are also available from RIMS-on-the-Web; requests for copies of these and other older documents should be submitted to the Public Reference Room.

User assistance is available for RIMS, CIPS, and the Website during normal business hours from our Help line at (202) 208–2222 (E-Mail to *WebMaster@ferc.fed.us*) or the Public Reference at (202) 208–1371 (E-Mail to *public.referenceroom@ferc.fed.us*).

During normal business hours, documents can also be viewed and/or printed in FERC's Public Reference Room, where RIMS, CIPS, and the FERC Website are available. User assistance is also available.

The Commission Orders

Interested persons may file comments on the proposed data sets as discussed in this order and shown in Attachment A to this order within thirty (30) days of the date of publication of this order in the **Federal Register**, as discussed in the body of this order.

By the Commission.

Linwood A. Watson, Jr.,

Acting Secretary.

BILLING CODE 6560–50–P

ATTACHMENT A

FEDERAL ENERGY REGULATORY COMMISSION

INDEX OF CUSTOMERS' DATA SETS

Version 1.0

(Date issued)

Appendix A

RM01-8-000
The Standard Electric Contract and Transaction Report

Section			Field Name	Definition
F	C	T	company_name	Name of company (for consistency sake, it must be represented the same as it is listed in the DUNS Report). This field will include filing agent, seller and purchaser.
F	C	T	company_duns	DUNS Number for Company Unique Identification. A lookup table will be necessary to validate this number.
F			company_web_site_address	Respondent's Web Site Address "URL" where the Standard Electric Contract and Transaction Report (SECToR) is located.
F			contact_name	Name of contact for the filing
F			contact_title	Title of contact
F			contact_address	Street address for contact
F			contact_city	Contact city
F			state_fk	Two character state or province abbreviation
F			contact_zip	Contact zip code
F			country_name	Country (USA, Canada, or Mexico) for contact address
F			contact_phone	Phone number of contact
F			contact_email	E-mail address of contact
F			filing_quarter	This is the period the filing is being submitted for. Valid entries are QXYY where X is the number of the quarter filed for and YY is the last two digits of the year.
	C		contract_affiliate	This is a flag to determine if the customer is an affiliate. Set to Yes if the customer is an affiliate of the provider.
	C		ferc_contract_designation	Valid Entries: FERC's designation, e.g., "FERC Electric Tariff, Second Revised Volume No. 5, Schedule 2;" or "FERC Electric Rate Schedule No. 126."
	C		contract_service_agreement_id	Unique identifier for the contract. For example, the ID may contain a string built by concatenating duns, tariff or rate schedule number and company's contract number under tariff.
	C		contract_execution_dt	Date contract was signed by contracting parties
	C		contract_commencement_dt	Date service under the contract commences
	C		contract_termination_dt	Date contract is terminated (specified contract termination date).
	C		cancellation_of_contract	If the contract is terminated in the reporting quarter, flag it as Terminated. T=terminated.
	C		actual_termination_dt	If parties terminate the contract at a date different from that specified in the contract, "contract_termination_dt", then the date must be specified here. The filer must also indicate under "CANCELLATION_OF_CONTRACT" if the contract is terminated during the reporting quarter.
	C	T	class_name	Transmission service class provided as defined in OASIS. Name of class. Valid entries are "Firm", "Non-Firm", "TTC", "Secondary", "N/A", or {registered}.

Appendix A

RM01-8-000
The Standard Electric Contract and Transaction Report

Section			Field Name	Definition
	C	T	quantity	Product quantity for the contract item identified. (Quantity – like number of Megawatts per hour (energy sales) or Number of Megawatts (transmission))
	C	T	rate	Rate charged for this item per unit. Used with contract data when a single rate is designated for a product. Used with transaction data to designate the transaction period's weighted average actual rate.
	C	T	rate_min	Minimum rate to be charged per the contract, if a range is specified. For the transaction report for commodity sales, the minimum rate charged per unit for the transaction period.
	C	T	rate_max	Maximum rate to be charged per the contract, if a range is specified. For the transaction report for commodity sales, the maximum rate charged per unit for the transaction period.
	C		rate_desc	Description of rate. May reference FERC tariff, or, if a discounted or negotiated rate, include algorithm.
	C	T	units	The unit of measurement for the quantity and rates represented. Examples include $/KW, $/MW and $/MWH.
	C	T	point_of_receipt_control_area	Point of receipt control area. Examples include "AEP", "JACK", "FE"." (SAME list of codes – see Point of Delivery above)
	C	T	point_of_deliver_control_area	Point of delivery control area. Examples include "AEP", "JACK", and "FE". (These values will match what is provided for in the OASIS).
	C	T	point_of_receipt_specific_loc	The specific location for the point of receipt (POR) as spelled out in the contract. Do not enter the control area, but rather the POR indicated. Examples include "sub-station" and "generation plant."
	C	T	point_of_delivery_specific_loc	The specific location for the point of delivery (POD) as spelled out in the contract. Do not enter the control area, but rather the POD indicated. Examples include "sub-station" and "generation plant."
	C		begin_date	Beginning date of for the product specified (this should be specified here as explicitly as it is specified in the contract, i.e., yyyy+mo+dd+hh+mm+ss+tz). TZ=time zone.
	C		end_date	Ending date for the product specified (this should be specified here as explicitly as it is specified in the contract, i.e., yyyy+mo+dd+hh+mm+ss+tz). TZ=time zone.
	C		extension_provision_desc	Description of extension provision. This field would contain Text – for example "Automatically renewed until canceled."

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Section			Field Name	Definition
	C	T	increment_name	Name of increment. The Increment variable refers to both contract and transaction data. For transmission information, the service selected would be one of the following: H = Hourly, D = Daily, W = Weekly, M = Monthly, Y = Yearly (or Annually) or {Registered}. (New items may be included in this list provided they are registered with FERC prior to their inclusion in the filing.) For power sales, this variable refers to type of sale engaged or transacted for.
	C	T	increment_peaking_name	Name for increment peaking. For products, services or transaction that are identified as "P" = on Peak, "OP" = Off-Peak, "FP" = Full Period, "NA" = Not Applicable for this product, service or transaction; or {registered}. (New items may be included in this list provided they are registered with FERC prior to their inclusion in the filing.)
	C	T	product_name	A product is something being bought and sold, a type of service or standard agreement. Examples: Point-To-Point Network Capacity Installed Capacity SC - Scheduled system control and dispatch RV - Reactive supply and vol. control RF - Regulation and freq. response EI - Energy imbalance SP - Spinning reserve SU - Supplemental reserve DT - Dynamic Transfer TL - Real Power Transmission Loss BS - System Black Start Capability Must Run Unit Cost Based Power Sale Economy Power Sale Emergency Power Sale General Purpose Power Sale Unit Power Sales Border Sales Specialized affiliate transactions Interconnection Agreements System Impact and/or Facilities Study Charge(s) Direct Assignment Facilities Charge {registered} (New products may be included in this list provided they are registered with FERC prior to their inclusion in the filing.)

Appendix A

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Section			Field Name	Definition
	C	T	product_sub_type_name	Name of product sub type, such as: A = Ancillary Service (cost-based), C = Capacity, CB = Cost-Based Power, I = Installed Capacity, M = Market-Based Power, N = Network Transmission, P = Point-To-Point Transmission, or {registered}.
	C	T	product_type_name	The "Product type name" includes: T =Electric Transmission , E = Energy, C = Capacity, S = Services, or {registered}
	C	T	term_name	Name for term. LT = Long-Term (>= one year), ST= Short-Term (< one year).
		T	transaction_end_dt	Transaction end date and time must be after the beginning of the reporting quarter. Date must contain hours, minutes, seconds (MM.DD.YYYY.HH.MM.SS.TZ) where minutes and seconds are not provided, default to zeros. It is critical that all Users have a clear and unambiguous representation of time associated with all information. For this reason, all Data Elements associated with time shall represent "wall clock" times, which are NOT to be confused with other common industry conventions such as "hour ending."
		T	total_transaction_charge	Total revenue for transaction, including for the commodity and all other services related to the commodity sale under the terms of the contract, including bundled ancillary and transmission services provided by the respondent or others. This is in dollars and cents.
		T	transaction_begin_dt	Transaction begin date must be prior to the end of the reporting quarter. Date must contain hours, minutes, seconds (MM.DD.YYYY.HH.MM.SS.TZ) where minutes and seconds are not provided, default to zeros.
		T	transaction_quantity	The quantity of the product in this transaction. This could be a whole number or it could include decimals.

Appendix A

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Notes:
Section: (F = Filer Identification, C = Contract Data, and T = Transaction Data)
{registered}: This designation makes note that the Commission expects additional variables to be included in the category. Additional entries which the filing parties wish to include must be registered.
Time Frame: It is critical that all Users have a clear and unambiguous representation of time associated with all information. For this reason, all Data Elements associated with time shall represent "wall clock" times, which are NOT to be confused with other common industry conventions such as "hour ending."
Time Zone: The IoC utilizes the OASIS definition for time zone. Valid entres include: AD, AS = Atlantic Time; ED, ES = Eastern Time; CD, CS = Central Time; MD, MS = Mountain Time; PD, PS = Pacific Time; and UT = Universal Time.

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The Standard Electric Contract and Transaction Report

Section			Field Name	Corresponding "OASIS" Name or "X" if Not	Field Format: min. characters {type of ASCII}.max. characters	Restricted Values
F	C	T	company_name	PRIMARY_PROVIDER_CODE*, SELLER_NAME*, CUSTOMER_NAME*	1{ALPHANUMERIC}80	Text field generated by DUNS number, where applicable
F	C	T	company_duns	PRIMARY_PROVIDER_DUNS*, CUSTOMER_DUNS SELLER_DUNS	9{NUMERIC}9	Valid DUNS number
F			company_web_site_address	X	1{ALPHANUMERIC}80	Valid Entries: e.g.. "http://web address…"
F			contact_name	X	1{ALPHANUMERIC}25	Free form text
F			contact_title	X	1{ALPHANUMERIC}25	Free form text
F			contact_address	X	Multiple Lines (4) 1{ALPHANUMERIC}25	Address Format
F			contact_city	X	1{ALPHANUMERIC}30	Free form text
F			state_fk	X	2{ALPHANUMERIC}10	Drop down list
F			contact_zip	X	5{ALPHANUMERIC}10	Zip code as either 12345, or 12345-1234
F			country_name	X	2{ALPHA}6	Valid Entries: US, USA, Canada, or Mexico
F			contact_phone	X	14{ALPHANUMERIC}20	Area code and telephone number, plus any extensions: (aaa)-nnn-nnnn xnnnn
F			contact_email	X	1{ALPHANUMERIC}25	Valid Internet E-Mail address
F			filing_quarter	X	4{ALPHANUMERIC}6	Valid entry: Q#YY or Q#YYYY
	C		contract_affiliate	AFFILIATE_FLAG	1{ALPHANUMERIC}4	Valid Values: YES, NO
	C		ferc_contract_designation	X	1{ALPHANUMERIC}50	Valid Entries: FERC's designation, eg. "FERC Electric Tariff, Second Revised Volume No. 5, Schedule 2;" or "FERC Electric Rate Schedule No. 126."
	C		contract_service_agreement_id	X	1{ALPHANUMERIC}30	Free form text

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Section			Field Name	Corresponding "OASIS" Name or "X" if Not	Field Format: min. characters {type of ASCII} max. characters	Restricted Values
	C		contract_execution_dt	X	8{ALPHANUMERIC}8	Valid date and time: yyyy+mo+dd
	C		contract_commencement_dt	X	10{ALPHANUMERIC}10	Valid date and time: yyyy+mo+dd+tz
	C		contract_termination_dt	X	10{ALPHANUMERIC}10	Valid date and time: yyyy+mo+dd+tz
	C		cancellation_of_contract	X	1{ALPHANUMERIC}3	Valid Values: YES, NO, {blank} or N/A
	C		actual_termination_dt	X	10{ALPHANUMERIC}10	Valid date and time: yyyy+mo+dd+tz
	C	T	class_name	TS_CLASS *	1{ALPHANUMERIC}80	Valid classes: "Firm", "Non-Firm", "Secondary", or "N/A"
	C	T	quantity	CAPACITY_GRANTED *, CAPACITY_SCHEDULED*, CAPACITY_RESERVED*, CAPACITY_USED*	1{NUMERIC}5 + "." + 2{NUMERIC}4	A positive number with 2 to 4 decimals
	C	T	rate	X	1{NUMERIC}5 + "." + 2{NUMERIC}4	A positive number with 2 to 4 decimals
	C	T	rate_min	X	1{NUMERIC}5 + "." + 2{NUMERIC}4	A positive number with 2 to 4 decimals
	C	T	rate_max	(C) CEILING_PRICE *	1{NUMERIC}5 + "." + 2{NUMERIC}4	A positive number with 2 to 4 decimals
	C		rate_desc	X	1{ALPHANUMERIC}500	Free form text
	C	T	units	ATTRIBUTE_UNITS *	1{ALPHANUMERIC}20	Valid entries include KW, KWH, MW and MWH.
	C	T	point_of_receipt_control_area	CONTROL_AREA *	1{ALPHANUMERIC}20 Only non-numeric and non-alpha character allowed is ".".	Valid name of a control area

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Section			Field Name	Corresponding "OASIS" Name or "X" if Not	Field Format: min. characters {type of ASCII} max. characters	Restricted Values
	C	T	point_of_deliver_control_area	CONTROL_AREA *	1{ALPHANUMERIC}20, Only non-numeric and non-alpha character allowed is ".".	Valid name of a control area
	C	T	point_of_receipt_specific_loc	POINT_OF_RECEIPT *	1{ALPHANUMERIC}20 * Only non-numeric and non-alpha character allowed is ".".	Unique value within Primary Provider. Only special character allowed is ".", for example, ab.cde.123
	C	T	point_of_delivery_specific_loc	POINT_OF_DELIVERY *	1{ALPHANUMERIC}20 * Only non-numeric and non-alpha character allowed is ".".	Unique value within Primary Provider. Only special character allowed is ".", for example, ab.cde.123
	C		begin_date	X	16{ALPHANUMERIC}16	Valid date and time to seconds, yyyy+mo+dd+hh+mm+ss+tz
	C		end_date	X	16{ALPHANUMERIC}16	Valid date and time to seconds, yyyy+mo+dd+hh+mm+ss+tz
	C		extension_provision_desc	X	1{ALPHANUMERIC}80	Free form text.
	C	T	increment_name	SERVICE_INCREMENT *	1{ALPHANUMERIC}8	Valid increments Hourly, Daily, Weekly, Monthly, Yearly, {Registered}
	C	T	increment_peaking_name	TS_PERIOD *	1{ALPHANUMERIC}15	Descriptions are: "P" = on Peak, "OP = Off-Peak, "FP" = Full Period, "NA" = Not Applicable for this product, service or transaction; or {registered} (new items may be included in this list provided they are registered with FERC prior to their inclusion in the filing.)

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Section			Field Name	Corresponding "OASIS" Name or "X" if Not	Field Format: min. characters {type of ASCII} max. characters	Restricted Values
	C	T	product_name	X Note. This field covers a number of element names, some are separately identified in the OASIS site, such as: TS_TYPE or AS_TYPE. *	1{ALPHANUMERIC}45	There will be a drop down list of products listed. Examples include: Point-To-Point Network Capacity Installed Capacity SC - Sched. Sys. control & dispatch RV - Reactive supply and vol. control RF - Regulation and freq. response EI - Energy imbalance SP - Spinning reserve SU - Supplemental reserve DT - Dynamic Transfer TL - Real Power Transmission Loss BS - System Black Start Capability Must Run Unit Cost Based Power Sale Economy Power Sale Emergency Power Sale General Purpose Power Sale Unit Power Sales Border Sales Specialized affiliate transactions Interconnection Agreements System Impact and/or Facilities Study Charge(s) Direct Assignment Facilities Charge {Registered}

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Section			Field Name	Corresponding "OASIS" Name or "X" if Not	Field Format: min. characters {type of ASCII} max. characters	Restricted Values
	C	T	product_sub_type_name	X	1{ALPHA}25	A = Ancillary Service, C = Capacity, CB = Cost-Based Power, I = Installed Capacity, M = Market-Based Power, N = Network Transmission, P = Point-To-Point Transmission, or {registered} (a reserved field for expansion).
	C	T	product_type_name	X	1{ALPHA}25	Valid entries are: T =Electric Transmission , E = Energy, C = Capacity, S = Services, or {registered} (a reserved field for expansion).
	C	T	term_name	X	2{ALPHANUMERIC}20	Valid entries are: LT = Long-Term (>= one year), ST= Short-Term (< one year).
		T	transaction_end_dt	X	16{ALPHANUMERIC}16	Valid date and time to seconds, yyyy+mo+dd+hh+mm+ss+tz
		T	total_transaction_charge	X	1{NUMERIC}8 + "." + 0{NUMERIC}2	A positive number with up to 2 decimals
		T	transaction_begin_dt	X	16{ALPHANUMERIC}16	Valid date and time to seconds, yyyy+mo+dd+hh+mm+ss+tz
		T	transaction_quantity	X	1{NUMERIC}5 + "." + 2{NUMERIC}4	A positive number with 2 to 6 decimals

Notes:
Section: (F = Filer Identification, C = Contract Data, and T = Transaction Data)
* The **asterisk** "*" denotes that the OASIS definition is not a direct match but is comparable for certain contracts or transactions. The Commissions use of this term for the IoC is broader than the term definition used in the OASIS. To the extent that a field is used in both the Contract and Transactions environment, the OASIS definition will be different.
{registered}: This designation makes note that the Commission expects additional variables to be included in the category. Additional entries which the filing parties wish to include must be registered.
Time Frame: It is critical that all Users have a clear and unambiguous representation of time associated with all information. For this reason, all Data Elements associated with time shall represent "wall clock" times, which are NOT to be confused with other common industry conventions such as "hour ending."
Time Zone: The IoC utilizes the OASIS definition for time zone. Valid entres include: AD, AS = Atlantic Time; ED, ES = Eastern Time; CD, CS = Central Time; MD, MS = Mountain Time; PD, PS = Pacific Time; and UT = Universal Time.

Appendix C

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Distinguishing between Short-Term and Long-Term Transmission Contracts

Data Element:	Firm or Non-Firm Point-To-Point Transmission S-T	Firm or Non-Firm Point-To-Point Transmission L-T
General Information Required:		
Service Agreement Date	X	X
Transmission Provider	X	X
Transmission Customer	X	X
Application Deposit	X	X
Commencement of Service	X	X
Termination of Service	X	X
Service Agreement Execution Date	X	X
Specification's Required:		
Term of Transaction	N/A	X
Start Date of Transaction (Corresponds to Commencement of Service)	N/A	X
Termination Date of Transaction	N/A	X
Description of Capacity and Energy to be transmitted by Transmission Provider including the electric control area in which the transaction originates	N/A	X
Point of Receipt	N/A	X
Delivering Party	N/A	X
Point of Delivery	N/A	X
Receiving Party	N/A	X
Maximum amount of Capacity and Energy to be transmitted (Reserved Capacity).	N/A	X
Designation of party(ies) subject to reciprocal service obligation	N/A	X
Name of any Intervening Systems providing transmission service	N/A	X
Transmission Charge	N/A	X
System Impact and/or Facilities Study Charge(s)	N/A	X
Direct Assignment Facilities Charge	N/A	X
Ancillary Services Charges	N/A	X

Note: Identification From Order 888, Attachment A. General information required from pages 1 & 2 of the standard form; and Specifications required are from 3 & 4 of the standard form.

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