

**Public Meetings**

Public meetings are planned. The dates and locations of meetings can be obtained by contacting Julie Weeder at 707-825-5168 or [Julie.Weeder@noaa.gov](mailto:Julie.Weeder@noaa.gov). Exact locations, dates and times of public meetings will also be posted on the above Web site.

**Authority:** 16 U.S.C. 1531 *et seq.*

Dated: September 18, 2014.

**Angela Somma,**

*Chief, Endangered Species Division, Office of Protected Resources, National Marine Fisheries Service.*

[FR Doc. 2014-23230 Filed 9-29-14; 8:45 am]

**BILLING CODE 3510-22-P**

**COMMODITY FUTURES TRADING COMMISSION****Agency Information Collection Activities: Notice of Intent To Renew Collection 3038-0062, Regulation Pertaining to Financial Integrity of the Forex Market Place**

**AGENCY:** Commodity Futures Trading Commission.

**ACTION:** Notice.

**SUMMARY:** The Commodity Futures Trading Commission (Commission or CFTC) is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act of 1995 ("PRA"), Federal agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of an existing collection of information, and to allow 60 days for public comment in response to the notice. This notice solicits comments on requirements relating to reporting by retail foreign exchange dealers who fail to maintain required capital, reporting to customers, risk assessment filings and recordkeeping requirements.

**DATES:** Comments must be submitted on or before December 1, 2014.

**ADDRESSES:** You may submit comments, identified by "Regulation Pertaining to Financial Integrity of the Forex Market Place" by any of the following methods:

- The Agency's Web site, at <http://comments.cftc.gov>. Follow the instructions for submitting comments through the Web site.

- Mail: Christopher Kirkpatrick, Secretary of the Commission, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW., Washington, DC 20581.

- Hand Delivery/Courier: Same as Mail, above.

- Federal eRulemaking Portal: <http://www.regulations.gov/search/index.jsp>. Follow the instructions for submitting comments through the Portal. Please submit your comments using only one method.

All comments must be submitted in English, or if not, accompanied by an English translation. Comments will be posted as received to <http://www.cftc.gov>. You should submit only information that you wish to make available publicly. If you wish the Commission to consider information that you believe is exempt from disclosure under the Freedom of Information Act, a petition for confidential treatment of the exempt information may be submitted according to the procedures established in § 145.9 of the Commission's regulations.<sup>1</sup>

The Commission reserves the right, but shall have no obligation, to review, pre-screen, filter, redact, refuse or remove any or all of your submission from <http://www.cftc.gov> that it may deem to be inappropriate for publication, such as obscene language. All submissions that have been redacted or removed that contain comments on the merits of the ICR will be retained in the public comment file and will be considered as required under the Administrative Procedure Act and other applicable laws, and may be accessible under the Freedom of Information Act.

Comments may also be submitted to the Office of Management and Budget (OMB), Office of Information and Regulatory Affairs, Attention: Desk Officer for CFTC, 725 17th Street NW., Washington, DC 20503; or Fax: 202-395-5806.

**FOR FURTHER INFORMATION CONTACT:**

Mark Bretscher, Division of Swap Dealer and Intermediary Oversight, Commodity Futures Trading Commission, 525 W. Monroe, Suite 1100, Chicago, IL 60661; (312) 596-0529; email: [mbretscher@cftc.gov](mailto:mbretscher@cftc.gov), and refer to OMB Control No. 3038-0062.

**SUPPLEMENTARY INFORMATION:** Under the PRA, Federal agencies must obtain approval from OMB for each collection of information they conduct or sponsor. "Collection of Information" is defined in 44 U.S.C. 3502(3) and 5 CFR 1320.3 and includes agency requests or requirements that members of the public submit reports, keep records, or provide information to a third party. Section 3506(c)(2)(A) of the PRA, 44 U.S.C. 3506(c)(2)(A), requires Federal agencies to provide a 60-day notice in the

<sup>1</sup> 17 CFR 145.9.

**Federal Register** concerning each proposed collection of information before submitting the collection to OMB for approval. To comply with this requirement, the CFTC is publishing notice of the proposed collection of information listed below.

**Title:** Regulation Pertaining to Financial Integrity of the Forex Market Place.

**Abstract:** Pursuant to Amendments to the Commodity Exchange Act found in the Food, Conservation, and Energy Act of 2008, Public Law 110-246, 122 Stat. 1651, 2189-2204 (2008), the Commission promulgated a comprehensive set of rules applicable to intermediaries and counterparties engaged in the offer and sale of off-exchange forex contracts to retail customers. New requirements under Part 5 included reporting by retail foreign exchange dealers who fail to maintain required capital, reporting to customers, risk assessment filings and recordkeeping requirements.

**Burden Statement:** The respondent burden for this collection is estimated to be 0.87 hours per response.

**Respondents/Affected Entities:** Retail Foreign Exchange Dealers, Futures Commission Merchants, Introducing Brokers, and other counterparties to forex transactions.

**Estimated Number of Respondents:** 60.

**Estimated Total Annual Burden on Respondents:** 2830 hours.

**Frequency of Collection:** Annual and on occasion.

Dated: September 25, 2014.

**Christopher J. Kirkpatrick,**  
*Secretary of the Commission.*

[FR Doc. 2014-23263 Filed 9-29-14; 8:45 am]

**BILLING CODE 6351-01-P**

**COMMODITY FUTURES TRADING COMMISSION****Privacy Act of 1974 System of Records Notice**

**AGENCY:** Commodity Futures Trading Commission.

**ACTION:** Notice of the retirement of two Privacy Act systems of records notices.

**SUMMARY:** In accordance with the Privacy Act of 1974, the Commodity Futures Trading Commission (Commission) is providing notice that it is retiring two systems of records notices (SORNs), CFTC-20 Registration and CFTC-28 Self-Regulatory Organization Disciplinary Action Files, from its inventory of record systems because the relevant records are covered by the revised SORN CFTC-12, National

Futures Association (NFA) Applications Suite System (Exempted).

**DATES:** This action will be effective November 14, 2014.

**FOR FURTHER INFORMATION CONTACT:** Kathy Harman-Stokes, Chief Privacy Officer, [kharman-stokes@cftc.gov](mailto:kharman-stokes@cftc.gov), 202-418-6629, Office of the Executive Director, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW., Washington, DC 20581.

**SUPPLEMENTARY INFORMATION:** Pursuant to the Privacy Act of 1974, 5 U.S.C. 552a, and as part of the Commodity Futures Trading Commission effort to review and update system of records notices, the Commission is retiring two system of records notices, CFTC-20 Registration and CFTC-28 Self-Regulatory Organization Disciplinary Action Files. The Commission is retiring these system notices because the records are covered by the revised SORN CFTC-12, NFA Applications Suite System.

The Commission will continue to authorize the NFA to collect and maintain records on its behalf by delegated authority, as provided in the revised CFTC-12, National Futures Association (NFA) Applications Suite System (Exempted). The Commission will rely upon and follow the revised CFTC-12 NFA Applications Suite System (Exempted). Eliminating CFTC-20 and CFTC-28 will not have an adverse impact on individuals and will promote the overall streamlining and management of CFTC Privacy Act record systems.

Accordingly, as of its effective date, this notice formally terminates system of records notices CFTC-20 and CFTC-28 and removes them from the inventory of the Commodity Futures Trading Commission system of records notices.

Issued in Washington, DC, on September 24, 2014, by the Commission.

**Christopher J. Kirkpatrick,**  
Secretary of the Commission.

[FR Doc. 2014-23203 Filed 9-29-14; 8:45 am]

**BILLING CODE 6351-01-P**

## COMMODITY FUTURES TRADING COMMISSION

### Privacy Act of 1974 System of Records Notice

**AGENCY:** Commodity Futures Trading Commission.

**ACTION:** Notice; publication of character of a revised system of records.

**SUMMARY:** The Commodity Futures Trading Commission (Commission or

CFTC) is revising a system of records under the Privacy Act of 1974, CFTC-12, Fitness Investigations, and renaming the system "National Futures Association (NFA) Applications Suite System (Exempted)" to more broadly cover the activities of NFA on behalf of the Commission by delegated authority and also cover new data collections for swap dealers, major swap participants and retail foreign exchange dealers as required by the Dodd-Frank Wall Street Reform Act and recent Commission rules.

**DATES:** Comments must be received on or before October 30, 2014. This action will be effective without further notice on November 10, 2014, unless revised pursuant to comments received.

**ADDRESSES:** You may submit comments identified by "NFA Applications Suite System (Exempted)" by any of the following methods:

- *Agency Web site, via its Comments Online process:* <http://comments.cftc.gov>. Follow the instructions for submitting comments through the Web site.

- *Federal eRulemaking Portal:* Comments may be submitted at <http://www.regulations.gov>. Follow the instructions for submitting comments.

- *Mail:* Secretary of the Commission, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW., Washington, DC 20581.

- *Hand Delivery/Courier:* Same as Mail, above. Please submit your comments using only one method.

All comments must be submitted in English, or if not, accompanied by an English translation. Comments will be posted as received to [www.cftc.gov](http://www.cftc.gov). You should submit only information that you wish to make available publicly. If you wish the Commission to consider information that you believe is exempt from disclosure under the Freedom of Information Act, a petition for confidential treatment of the exempt information may be submitted according to the procedures established in § 145.9 of the Commission's regulations, 17 CFR 145.9.

The Commission reserves the right, but shall have no obligation, to review, pre-screen, filter, redact, refuse or remove any or all of a submission from <http://www.cftc.gov> that it may deem to be inappropriate for publication, such as obscene language. All submissions that have been redacted or removed that contain comments on the merits of the notice will be retained in the public comment file and will be considered as required under all applicable laws, and

may be accessible under the Freedom of Information Act.

**FOR FURTHER INFORMATION CONTACT:** Kathy Harman-Stokes, Chief Privacy Officer, [kharman-stokes@cftc.gov](mailto:kharman-stokes@cftc.gov), 202-418-6629, Office of the Executive Director, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW., Washington, DC 20581.

### SUPPLEMENTARY INFORMATION:

#### I. The Privacy Act

Under the Privacy Act of 1974, 5 U.S.C. 552a, a "system of records" is defined as any group of records under the control of a federal government agency from which information about individuals is retrieved by name or other personal identifier. The Privacy Act establishes the means by which government agencies collect, maintain, and use information that is personally identifiable in a government system of records.

Each government agency is required to publish a notice in the **Federal Register** of a system of records in which the agency identifies and describes each system of records it maintains, the reasons why the agency uses the personally identifying information therein, the routine uses for which the agency will disclose such information outside the agency, and how individuals may exercise their rights under the Privacy Act to determine if the system contains information about them, among other things.

#### II. NFA Applications Suite System (Exempt)

The Commission proposes to revise a system of records notice (SORN), CFTC-12, "Fitness Investigations," and rename the notice as "National Futures Association (NFA) Applications Suite System (Exempted)." The notice revises the description of the system and enhancements to more broadly cover the activities of NFA on behalf of the Commission by delegated authority and also covers new data collections for swap dealers, major swap participants and retail foreign exchange dealers as required by the Dodd-Frank Wall Street Reform Act and recent Commission rules. The revised notice also exempts from certain Privacy Act requirements those records in this system that refer, relate to or are from third-party sources related to fitness or other investigations of registrants or applicants for registration. Though subject to change with future information technology upgrades, the notice covers activities that are currently automated by the following NFA databases and applications: