

Studies, for the Humanities Collections and Reference Resources grant program, submitted to the Division of Preservation and Access.

4. Date: October 14, 2021

This video meeting will discuss applications on the topics of Music and Performing Arts, for the Humanities Collections and Reference Resources grant program, submitted to the Division of Preservation and Access.

5. Date: October 19, 2021

This video meeting will discuss applications on the topic of Indigenous Studies, for the Humanities Collections and Reference Resources grant program, submitted to the Division of Preservation and Access.

6. Date: October 19, 2021

This video meeting will discuss applications on the topic of U.S. History, for the Public Humanities Projects: Exhibitions (Implementation) grant program, submitted to the Division of Public Programs.

7. Date: October 20, 2021

This video meeting will discuss applications on the topics of Arts and Music, for the Media Projects: Production Grants, submitted to the Division of Public Programs.

8. Date: October 21, 2021

This video meeting will discuss applications for the Public Humanities Projects: Humanities Discussions Grants, submitted to the Division of Public Programs.

9. Date: October 21, 2021

This video meeting will discuss applications on the topic of Art History, for the Humanities Collections and Reference Resources grant program, submitted to the Division of Preservation and Access.

10. Date: October 22, 2021

This video meeting will discuss applications on the topic of American Studies, for the Media Projects: Production Grants, submitted to the Division of Public Programs.

11. Date: October 26, 2021

This video meeting will discuss applications on the topics of Native American and Western History, for the Public Humanities Projects: Exhibitions (Implementation) grant program, submitted to the Division of Public Programs.

12. Date: October 26, 2021

This video meeting will discuss applications on the topic of Indigenous

Studies, for the Humanities Collections and Reference Resources grant program, submitted to the Division of Preservation and Access.

13. Date: October 27, 2021

This video meeting will discuss applications on the topic of U.S. History, for the Humanities Collections and Reference Resources grant program, submitted to the Division of Preservation and Access.

14. Date: October 27, 2021

This video meeting will discuss applications on the topic of Podcasts, for the Media Projects: Production Grants, submitted to the Division of Public Programs.

15. Date: October 28, 2021

This video meeting will discuss applications on the topic of U.S. History, for the Public Humanities Projects: Exhibitions (Implementation) grant program, submitted to the Division of Public Programs.

16. Date: October 28, 2021

This video meeting will discuss applications on the topic of American Studies, for the Humanities Collections and Reference Resources grant program, submitted to the Division of Preservation and Access.

Because these meetings will include review of personal and/or proprietary financial and commercial information given in confidence to the agency by grant applicants, the meetings will be closed to the public pursuant to sections 552b(c)(4) and 552b(c)(6) of Title 5, U.S.C., as amended. I have made this determination pursuant to the authority granted me by the Chairman's Delegation of Authority to Close Advisory Committee Meetings dated April 15, 2016.

Dated: September 14, 2021.

**Samuel Roth,**

*Attorney-Advisor, National Endowment for the Humanities.*

[FR Doc. 2021-20228 Filed 9-17-21; 8:45 am]

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## NATIONAL SCIENCE FOUNDATION

### Sunshine Act Meeting

The National Science Board's Committee on Oversight hereby gives notice of the scheduling of a teleconference for the transaction of National Science Board business pursuant to the National Science Foundation Act and the Government in the Sunshine Act.

**TIME AND DATE:** Tuesday, September 21, 2021, from 2:00–3:00 p.m. EDT.

**PLACE:** This meeting will be held by teleconference through the National Science Foundation.

**STATUS:** Open.

**MATTERS TO BE CONSIDERED:** The agenda of the teleconference is: Chair's opening remarks; review draft NSB Overview of Merit Review Digest; review questions and data requests applicable to future Merit Review Digests; discuss priorities for Committee on Oversight efforts for the rest of 2021; and discuss CO oversight of the new TIP directorate.

**CONTACT PERSON FOR MORE INFORMATION:** Point of contact for this meeting is: Ann Bushmiller, [abushmil@nsf.gov](mailto:abushmil@nsf.gov), 703/292-7000. To listen to this teleconference, members of the public must send an email to [nationalsciencebrd@nsf.gov](mailto:nationalsciencebrd@nsf.gov) at least 24 hours prior to the teleconference. The National Science Board Office will send requesters a toll-free dial-in number. Meeting information and updates may be found at the National Science Board website at [www.nsf.gov/nsb](http://www.nsf.gov/nsb).

**Chris Blair,**

*Executive Assistant to the National Science Board Office.*

[FR Doc. 2021-20342 Filed 9-16-21; 11:15 am]

**BILLING CODE 7555-01-P**

## NATIONAL SCIENCE FOUNDATION

### Advisory Committee for Geosciences; Notice of Meeting

In accordance with the Federal Advisory Committee Act (Pub. L. 92-463, as amended), the National Science Foundation (NSF) announces the following meeting:

**NAME AND COMMITTEE CODE:** Advisory Committee for Geosciences (1755).

**DATE AND TIME:** October 13–14, 2021; 11:00 a.m.–4:30 p.m. EDT.

**PLACE:** National Science Foundation, 2415 Eisenhower Avenue, Alexandria, VA 22314/Virtual Meeting registration information is available on the GEO Advisory Committee website at <https://www.nsf.gov/geo/advisory.jsp>.

**TYPE OF MEETING:** Open.

**CONTACT PERSON:** Melissa Lane, National Science Foundation, Room C 8000, 2415 Eisenhower Avenue, Alexandria, Virginia 22314; Phone 703-292-8500

**MINUTES:** May be obtained from the contact person listed above.

**PURPOSE OF MEETING:** To provide advice, recommendations, and oversight on support for geoscience research and education including atmospheric, geospace, earth, ocean and polar sciences.

**Agenda**

October 13, 2021

- Directorate and NSF activities and plans
- Discussion of the Administration and NSF Focus Area on Climate Change
- Discussion of the Recently Released NASEM Earth System Science Study
- Briefing on the Future TIP Directorate

October 14, 2021

- Report outs from Division Meetings
- Update on OPP Activities
- Report on the EAR Committee of Visitors Meeting
- Report on the GEO Education & Diversity Committee of Visitors Meeting
- Meeting with the NSF Director and Chief Operating Officer
- Action Items/Planning for Spring 2022 Meeting

Dated: September 15, 2021.

**Crystal Robinson,**

Committee Management Officer.

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**SECURITIES AND EXCHANGE COMMISSION**

[Release No. 34-92982; File No. SR-NYSEARCA-2021-80]

**Self-Regulatory Organizations; NYSE Arca, Inc.; Notice of Filing and Immediate Effectiveness of Proposed Rule Change To Delete the Order Audit Trail System Rules in the Rule 6.7400-E Series**

September 14, 2021.

Pursuant to Section 19(b)(1)<sup>1</sup> of the Securities Exchange Act of 1934 (“Act”)<sup>2</sup> and Rule 19b-4 thereunder,<sup>3</sup> notice is hereby given that, on September 7, 2021, NYSE Arca, Inc. (“NYSE Arca” or the “Exchange”) filed with the Securities and Exchange Commission (“SEC” or “Commission”) the proposed rule change as described in Items I and II, below, which Items have been prepared by the self-regulatory organization. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

**I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change**

The Exchange proposes to delete the Order Audit Trail System (“OATS”)

rules in the Rule 6.7400-E Series as these Rules provide for the collection of information that is duplicative of the data collection requirements of the CAT. Further, the Financial Industry Regulatory Authority (“FINRA”) has determined to eliminate its OATS rules. The proposed rule change is available on the Exchange’s website at [www.nyse.com](http://www.nyse.com), at the principal office of the Exchange, and at the Commission’s Public Reference Room.

**II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change**

In its filing with the Commission, the self-regulatory organization included statements concerning the purpose of, and basis for, the proposed rule change and discussed any comments it received on the proposed rule change. The text of those statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant parts of such statements.

**A. Self-Regulatory Organization’s Statement of the Purpose of, and the Statutory Basis for, the Proposed Rule Change****1. Purpose**

Rule 613 of Regulation NMS requires national securities exchanges and FINRA to create, implement, and maintain a consolidated audit trail to capture customer and order event information for orders in NMS Securities and OTC Equity Securities, across all markets, from the time of order inception through routing, cancellation, modification, or execution in a single consolidated data source. The Participants filed the Plan to comply with Rule 613 of Regulation NMS under the Act. The Plan was published for comment in the **Federal Register** on May 17, 2016,<sup>4</sup> and approved by the Commission, as modified, on November 15, 2016.<sup>5</sup>

On August 14, 2020, FINRA filed with the Commission a proposed rule change to delete the OATS rules once Industry Members are effectively reporting to the CAT (the “OATS Retirement Filing”).<sup>6</sup> On October 29, 2020, FINRA filed

Amendment No. 1 to the proposed rule change (“Amendment No. 1”) and a response to the comments that were submitted on the original filing (“Response to Comments”).<sup>7</sup> On November 30, 2020, the Commission approved the proposed rule change, as modified by Amendment No. 1, on an accelerated basis.<sup>8</sup> On June 17, 2021, FINRA filed a proposed rule change setting forth the basis for its determination that the accuracy and reliability of the CAT meet the standards approved by the Commission in the OATS Retirement Filing for purposes of eliminating the OATS rules.<sup>9</sup> The FINRA proposal stated that FINRA would retire OATS effective September 1, 2021.

After conducting an analysis of its rules in accordance with the CAT NMS Plan, the Exchange has determined that the information collected pursuant to the OATS rules is intended to be collected by CAT. Further, the Exchange believes that the Rule 6.7400-E Series will no longer be necessary and proposes to delete such rules from the Exchange’s rulebook. Discussed below is a description of the duplicative rule requirements as well as the timeline for eliminating the duplicative rules followed by a discussion on the OATS Retirement Filing that formed the basis for retiring OATS.

**Duplicative OATS Requirements**

The Rule 6.7400-E Series consists of Rules 6.7410-E through 6.7470-E and sets forth the recording and reporting requirements of the OATS Rules. The OATS Rules require all Exchange members and associated persons to record in electronic form and report to FINRA, on a daily basis, certain information with respect to orders originated, received, transmitted, modified, canceled, or executed by members in all NMS stocks, as that term is defined in Rule 600(b)(47) of Regulation NMS,<sup>10</sup> traded on the Exchange. The Exchange relies on the information reported to OATS either to conduct surveillance or to facilitate surveillance conducted by FINRA

<sup>7</sup> See Letter from Lisa C. Horrigan, Associate General Counsel, FINRA, to Vanessa Countryman, Secretary, Commission, dated October 29, 2020.

<sup>8</sup> See Securities Exchange Act Release No. 89535 (November 30, 2020), 85 FR 78395 (December 4, 2020) (Notice of Filing of Amendment No. 1 and Order Granting Accelerated Approval of SR-FINRA-2020-024).

<sup>9</sup> See Securities Exchange Act Release No. 92239 (June 23, 2021), 86 FR 34293 (June 29, 2021) (SR-FINRA-2021-017) (Notice of Filing and Immediate Effectiveness of a Proposed Rule Change Relating to the Retirement of FINRA’s Order Audit Trail System).

<sup>10</sup> 17 CFR 242.600(B)(47).

<sup>4</sup> See Securities Exchange Act Release No. 77724 (April 27, 2016), 81 FR 30614 (May 17, 2016).

<sup>5</sup> See Securities Exchange Act Release No. 79318 (November 15, 2016), 81 FR 84696 (November 23, 2016) (“Order Approving the National Market System Plan Governing the Consolidated Audit Trail”) (“Approval Order”).

<sup>6</sup> See Securities Exchange Act Release No. 89679 (August 26, 2020), 85 FR 54461 (September 1, 2020) (Notice of Filing of File No. SR-FINRA-2020-024).

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 15 U.S.C. 78a.

<sup>3</sup> 17 CFR 240.19b-4.