

Entitlements (SAVE) System of Records Notice, 85 FR 31798 (May 27, 2020).

Lynn Parker Dupree,
Chief Privacy Officer, Department of Homeland Security.

[FR Doc. 2021-26572 Filed 12-7-21; 8:45 am]

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DEPARTMENT OF HOMELAND SECURITY

Transportation Security Administration

[Docket No. TSA-2009-0024]

Enforcement Actions Summary

AGENCY: Transportation Security Administration, DHS.

ACTION: Notice of availability.

SUMMARY: TSA is providing notice that it has issued an annual summary of all enforcement actions taken by TSA under the authority granted in the Implementing Recommendations of the 9/11 Commission Act of 2007.

FOR FURTHER INFORMATION CONTACT: Nikki Harding, Assistant Chief Counsel, Civil Enforcement, Office of the Chief Counsel, TSA-2, Transportation Security Administration, 6595 Springfield Center Drive, Springfield, VA 20598-6002; telephone (571) 227-4777; facsimile (571) 227-1378; email nikki.harding@tsa.dhs.gov.

SUPPLEMENTARY INFORMATION:

Background

On August 3, 2007, section 1302(a) of the Implementing Recommendations of the 9/11 Commission Act of 2007 (the 9/11 Act), Public Law 110-53, 121 Stat. 392, gave TSA authority to assess civil penalties for violations of any surface

transportation requirements under title 49 of the United States Code (U.S.C.) and for any violations of chapter 701 of title 46 of the U.S.C., which governs transportation worker identification credentials (TWICs).

Section 1302(a) of the 9/11 Act, codified at 49 U.S.C. 114(u),¹ authorizes the Secretary of Homeland Security to impose civil penalties of up to \$10,000 per violation of any surface transportation requirement under 49 U.S.C. or any requirement related to TWICs under 46 U.S.C. chapter 701. TSA exercises this function under delegated authority from the Secretary. See DHS Delegation No. 7060-2.

Under 49 U.S.C. 114(u)(7)(A), TSA is required to provide the public with an annual summary of all enforcement actions taken by TSA under this subsection; and include in each such summary the identifying information of each enforcement action, the type of alleged violation, the penalty or penalties proposed, and the final assessment amount of each penalty. This summary is for calendar year 2020. TSA will publish a summary of all enforcement actions taken under the statute in the beginning of the new calendar year to cover the previous calendar year.

Document Availability

You can get an electronic copy of both this notice and the enforcement actions summary on the internet by—

(1) Searching the electronic Federal Docket Management System (FDMS) web page at <http://www.regulations.gov>, Docket No. TSA-2009-0024; or

(2) Accessing the Government Printing Office’s web page at <http://www.gpo.gov/fdsys/browse/collection.action?collectionCode=FR> to

view the daily published **Federal Register** edition; or accessing the “Search the **Federal Register** by Citation” in the “Related Resources” column on the left, if you need to do a Simple or Advanced search for information, such as a type of document that crosses multiple agencies or dates.

In addition, copies are available by writing or calling the individual in the **FOR FURTHER INFORMATION CONTACT** section. Make sure to identify the docket number of this action.

Dated: December 2, 2021.

Kelly D. Wheaton,
Deputy Chief Counsel, Enforcement and Incident Management.

December 2, 2021

Annual Summary of Enforcement Actions Taken Under 49 U.S.C. 114(u)

Annual Report

Pursuant to 49 U.S.C. 114(u)(7)(A), TSA provides the following summary of enforcement actions taken by TSA in calendar year 2020 under section 114(u).²

Background

Section 114(u) of 49 U.S.C. gives the TSA authority to assess civil penalties for violations of any surface transportation requirements under 49 U.S.C. and for any violations of chapter 701 of 46 U.S.C., which governs TWICs. Specifically, section 114(u) authorizes the Secretary of Homeland Security to impose civil penalties of up to \$10,000 per violation³ for violations of any surface transportation requirement under 49 U.S.C. or any requirement related to TWIC under 46 U.S.C. chapter 701.⁴

| TSA case No. | Type of violation ⁵ | Penalty proposed/assessed |
|------------------|--|---------------------------------|
| 2019SAN0156 ... | Fraud/Falsification (49 CFR 1570.5(b)) | \$1,000/\$1,000. |
| 2021SLC0056 | Security Coordinator (49 CFR 1570.201(d)(e)) | None (Notice of Noncompliance). |
| 2021JAX0030 | TWIC Access Control (49 CFR 1570.301(c)) | None (Warning Notice). |
| 2021MSP0003 ... | TWIC Access Control (49 CFR 1570.301(c)) | None (Warning Notice). |
| 2021SAN0007 ... | TWIC Access Control (49 CFR 1570.301(c)) | None (Warning Notice). |
| 2021SAN0012 ... | TWIC Access Control (49 CFR 1570.301(c)) | None (Warning Notice). |
| 2021OAK0017 ... | TWIC Access Control (49 CFR 1570.7(a)(1) and (a)(2)) | None (Warning Notice). |
| 2021CLT0020 | TWIC Access Control (49 CFR 1570.7(a)(1)) | None (Warning Notice). |
| 2017MSY0184 ... | TWIC Access Control (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2017MSY0189 ... | TWIC Access Control (49 CFR 1570.7(c)) | None (Warning Notice). |

¹ Pursuant to division K, title I, sec. 1904(b)(1)(I), of Public Law 115-254, (132 Stat. 3186, 3545; October 5, 2018), the TSA Modernization Act—part of the FAA Reauthorization Act of 2018, former 49 U.S.C. 114(v) was redesignated as 49 U.S.C. 114(u).

² 49 U.S.C. 114(u)(7)(A) states: In general.—the Secretary of Homeland Security shall—(i) provide an annual summary to the public of all enforcement actions taken by the Secretary under this subsection; and (ii) include in each such summary the docket number of each enforcement action, the type of alleged violation, the penalty or penalties

proposed, and the final assessment amount of each penalty.

³ Pursuant to title VII, sec. 701 of Public Law 114-74 (129 Stat. 583, 599; Nov. 2, 2015), the Federal Civil Penalties Inflation Adjustment Act Improvements Act of 2015—part of the Bipartisan Budget Act of 2015, this \$10,000 civil penalty maximum is adjusted for inflation annually. See 49 CFR 1503.401(b).

⁴ TSA exercises this function under delegated authority from the Secretary. See DHS Delegation No. 7060-2.

⁵ On March 23, 2020, TSA promulgated a final rule which, among other things, reorganized Title 49 Chapter XII Subpart D, Maritime and Surface Transportation Security. For example, the regulation 49 CFR 1570.7, pertaining to fraudulent use and manufacture of credentials, is now located at 49 CFR 1570.301. This report cites to the regulatory violations as alleged in TSA’s enforcement actions at the time of issuance.

| TSA case No. | Type of violation ⁵ | Penalty proposed/assessed |
|-----------------|---|---------------------------|
| 2017OAK0359 ... | TWIC Access Control (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2018SAN0067 ... | TWIC Access Control (49 CFR 1570.7(c)) | \$250/\$250. |
| 2021ALB0009 ... | TWIC Fraudulent Use (49 CFR 1570.301(a)) | None (Warning Notice). |
| 2021MCO0041 ... | TWIC Fraudulent Use (49 CFR 1570.301(c) and(d)) | None (Warning Notice). |
| 2021JAX0026 ... | TWIC Fraudulent Use (49 CFR 1570.301(c)) | None (Warning Notice). |
| 2021JAX0029 ... | TWIC Fraudulent Use (49 CFR 1570.301(c)) | None (Warning Notice). |
| 2021SAN0018 ... | TWIC Fraudulent Use (49 CFR 1570.301(c)) | None (Warning Notice). |
| 2021SAN0014 ... | TWIC Fraudulent Use (49 CFR 1570.301(d)) | None (Warning Notice). |
| 2021SAN0019 ... | TWIC Fraudulent Use (49 CFR 1570.301(d)) | None (Warning Notice). |
| 2020CLE0170 ... | TWIC Fraudulent Use (49 CFR 1570.7(a) and (c)) | None (Warning Notice). |
| 2020MIA0077 ... | TWIC Fraudulent Use (49 CFR 1570.7(a) and (c)) | None (Warning Notice). |
| 2020MIA0149 ... | TWIC Fraudulent Use (49 CFR 1570.7(a) and (c)) | None (Warning Notice). |
| 2017MSY0190 ... | TWIC Fraudulent Use (49 CFR 1570.7(a)) | None (Warning Notice). |
| 2017MSY0191 ... | TWIC Fraudulent Use (49 CFR 1570.7(a)) | None (Warning Notice). |
| 2018BTR0009 ... | TWIC Fraudulent Use (49 CFR 1570.7(a)) | None (Warning Notice). |
| 2018SAN0173 ... | TWIC Fraudulent Use (49 CFR 1570.7(a)) | \$250/\$250. |
| 2018SEA0179 ... | TWIC Fraudulent Use (49 CFR 1570.7(a)) | \$5,000/\$2,000. |
| 2020JAX0036 ... | TWIC Fraudulent Use (49 CFR 1570.7(a)) | None (Warning Notice). |
| 2021OAK0001 ... | TWIC Fraudulent Use (49 CFR 1570.7(a)) | None (Warning Notice). |
| 2020BOS0069 ... | TWIC Fraudulent Use (49 CFR 1570.7(b)) | \$1,170/\$1,170. |
| 2020BWI0038 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020BWI0042 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020BWI0044 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020BWI0058 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020HOU0029 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020HOU0077 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020HOU0079 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020MCO0110 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020MCO0111 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020MCO0156 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020MCO0162 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020MCO0180 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020MCO0181 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020MCO0182 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020PDX0027 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | \$1,170/\$500. |
| 2020RIC0039 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020RIC0044 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2020RIC0075 ... | TWIC Fraudulent Use (49 CFR 1570.7(c) and (d)) | None (Warning Notice). |
| 2019HOU0126 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | \$1,140/\$500. |
| 2019HOU0149 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | \$1,170/\$100. |
| 2019JAX0124 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2019LAX0258 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | \$500/\$500. |
| 2019LAX0259 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2019MSY0071 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2019OAK0146 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | \$4,650/\$4,650. |
| 2019SAN0064 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2019SAN0077 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | \$3,420/\$3,420. |
| 2020BOS0070 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020BOS0103 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020BWI0071 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020BWI0076 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020CLT0099 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020CLT0177 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020CLT0186 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020CLT0187 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020EWR0039 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020EWR0040 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020EWR0093 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020EWR0102 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020HOU0009 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | \$3,500/\$2,000. |
| 2020JAX0041 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | \$1,170/\$1,170. |
| 2020JAX0042 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | \$1,170/\$1,170. |
| 2020JAX0043 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020JAX0082 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020JAX0111 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020MCO0109 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020MCO0155 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020OAK0045 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020OAK0065 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020OAK0068 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020OAK0069 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020OAK0072 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020OAK0119 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020OAK0131 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |

| TSA case No. | Type of violation ⁵ | Penalty proposed/assessed |
|------------------|--|---------------------------|
| 2020RIC0040 | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020RIC0048 | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020RIC0050 | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020RIC0076 | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020SAN0058 | TWIC Fraudulent Use (49 CFR 1570.7(c)) | \$800/\$800. |
| 2020SAT0052 | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020SEA0068 | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020SEA0132 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020SEA0171 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020SEA0184 ... | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020STL0134 | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2021RIC0004 | TWIC Fraudulent Use (49 CFR 1570.7(c)) | None (Warning Notice). |
| 2020CLE0176 | TWIC Fraudulent Use (49 CFR 1570.7(d)) | None (Warning Notice). |
| 2020HOU0068 | TWIC Fraudulent Use (49 CFR 1570.7(d)) | None (Warning Notice). |
| 2020HOU0078 | TWIC Fraudulent Use (49 CFR 1570.7(d)) | None (Warning Notice). |
| 2020MIA0076 | TWIC Fraudulent Use (49 CFR 1570.7(d)) | None (Warning Notice). |
| 2020SEA0067 ... | TWIC Fraudulent Use (49 CFR 1570.7(d)) | None (Warning Notice). |
| 2020SEA0183 ... | TWIC Fraudulent Use (49 CFR 1570.7(d)) | None (Warning Notice). |
| 2020SEA0053 ... | TWIC Inspection of Credential (49 CFR 1570.9(a)) | None (Warning Notice). |

[FR Doc. 2021-26534 Filed 12-7-21; 8:45 am]
 BILLING CODE 9110-05-P

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-7034-N-70]

30-Day Notice of Proposed Information Collection: Energy Efficient Mortgages (EEMs); OMB Control No: 2502-0561

AGENCY: Office of the Chief Information Officer, HUD.
ACTION: Notice.

SUMMARY: HUD has submitted the proposed information collection requirement described below to the Office of Management and Budget (OMB) for review, in accordance with the Paperwork Reduction Act. The purpose of this notice is to allow for an additional 30 days of public comment.
DATES: Comments Due Date: January 7, 2022.

ADDRESSES: Interested persons are invited to submit comments regarding this proposal. Written comments and recommendations for the proposed information collection should be sent within 30 days of publication of this notice to www.reginfo.gov/public/do/StartPrintedPage15501PRAMain. Find this particular information collection by selecting “Currently under 30-day Review—Open for Public Comments” or by using the search function.

FOR FURTHER INFORMATION CONTACT: Colette Pollard, Reports Management Officer, QDAM, Department of Housing and Urban Development, 451 7th Street SW, Room 4176, Washington, DC 20410-5000; email Colette.Pollard@hud.gov or telephone 202-402-3400 (this is not a toll-free number).

Copies of available documents submitted to OMB may be obtained from Ms. Pollard.

SUPPLEMENTARY INFORMATION: This notice informs the public that HUD has submitted to OMB a request for approval of the information collection described in Section A. The **Federal Register** notice that solicited public comment on the information collection for a period of 60 days was published on December 11, 2020, at 85 FR 80132.

A. Overview of Information Collection

Title of Information Collection: Energy Efficient Mortgages.
OMB Approval Number: 2502-0561.
Type of Request: Reinstatement of a currently approved collection.
Form Number: N/A.
Description of the need for the information and proposed use:

FHA offers the Energy Efficient Mortgage (EEM) as an approved mortgage insurance product under section 513 of the Housing and Community Development Act of 1992 (section 106 of the Energy Policy Act of 1992). Section 2123 of the Housing and Economic Recovery Act of 2008 (HERA) (Pub. L. 110-289, approved July 30, 2008) amended section 106 of the Energy Policy Act of 1992 by revising the maximum dollar amount that can be added to an FHA-insured mortgage for energy efficient improvements.

The EEM program allows the mortgagors to finance cost-effective energy efficient improvements to an existing property at the time of purchase or refinancing, or for upgrades above the established residential building code for new construction.

Respondents: Business or other for-profit (FHA-approved lenders).

Estimated Number of Respondents: 40.

Estimated Number of Responses: 90.
Frequency of Response: On occasion.
Average Hours per Response: 1.35.
Total Estimated Burdens: 122 hours.

B. Solicitation of Public Comment

This notice is soliciting comments from members of the public and affected parties concerning the collection of information described in section A on the following:

- (1) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;
- (2) The accuracy of the agency’s estimate of the burden of the proposed collection of information;
- (3) Ways to enhance the quality, utility, and clarity of the information to be collected; and
- (4) Ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated collection techniques or other forms of information technology, e.g., permitting electronic submission of responses
- (5) ways to minimize the burden of the collection of information on those who are to respond, including the use of automated collection techniques or other forms of information technology.

HUD encourages interested parties to submit comments in response to these questions.

C. Authority

Section 2 of the Paperwork Reduction Act of 1995, 44 U.S.C. 3507.

Colette Pollard,

Department Reports Management Officer, Office of the Chief Information Officer.

[FR Doc. 2021-26555 Filed 12-7-21; 8:45 am]

BILLING CODE 4210-67-P