

POLICIES OF PRACTICES FOR RETRIEVAL OF RECORDS:

1. For sales, events, and publications, information is retrieved by customer name or customer ID(s), mail or email address, and phone number.
2. For direct marketing, information is retrieved by Standard Industry Code (SIC) or North American Industry Classification System (NAISC) number, and company name.
3. Report and tracking data created during web-based meetings and video conferences that pertain to individual participants, content shared, conference codes and other relevant session data and historical device usage data, are retrieved by meeting ID, host name or host email address.
4. Records pertaining to web-based collaboration and communication applications are retrieved by organizer name and other associated personal identifiers.
5. Media recordings created during web-based meetings and video conferences are retrieved by meeting ID, host name or host email address.
6. Web-based meeting and video session recordings are retrieved by meeting ID, host name or host email address.

POLICIES AND PRACTICES FOR RETENTION AND DISPOSAL OF RECORDS:

1. Records relating to organizations and publication mailing lists are retained until the customer ceases to participate.
2. ACH records are retained up to 2 years. Records relating to direct marketing, advertising, and promotions are retained 5 years.
3. Other records are retained 3 years after the relationship ends.
4. Report and tracking data created during web-based meeting and video conferences, such as session data and historical device usage data, are retained for twenty-four months.
5. Records pertaining to web-based collaboration and communication applications are retained for twenty-four months.
6. Web-based meeting and video session recordings are retained for twenty-four months.
7. Customer insight, market research, and survey records will be retained for 3 years.

Records existing on paper are destroyed by burning, pulping, or shredding. Records existing on computer storage media are destroyed according to the applicable USPS media sanitization practice.

ADMINISTRATIVE, TECHNICAL, AND PHYSICAL SAFEGUARDS:

Paper records, computers, and computer storage media are located in controlled-access areas under supervision of program personnel. Access to these areas is limited to authorized personnel, who must be identified with a badge.

Access to records is limited to individuals whose official duties require such access. Contractors and licensees are subject to contract controls and unannounced on-site audits and inspections.

Computers are protected by mechanical locks, card key systems, or other physical access control methods. The use of computer systems is regulated with installed security software, computer logon identifications, and operating system controls including access controls, terminal and transaction logging, and file management software. Online data transmission is protected by encryption.

RECORD ACCESS PROCEDURES:

Requests for access must be made in accordance with the Notification Procedure above and USPS Privacy Act regulations regarding access to records and verification of identity under 39 CFR 266.5.

CONTESTING RECORD PROCEDURES:

See Notification Procedure and Record Access Procedures above.

NOTIFICATION PROCEDURES:

For information pertaining to sales, inquiries should be addressed to: Sales and Customer Relations 475 L'Enfant Plaza SW, Washington, DC 20260.

Customers wanting to know if other information about them is maintained in this system of records must address inquiries in writing to the Chief Customer and Marketing Officer and Executive Vice President and include their name and address.

EXEMPTIONS PROMULGATED FOR THE SYSTEM:

None.

HISTORY:

August 4, 2020, 85 FR 47258; June 1, 2020, 85 FR 33208; October 24, 2011, 76 FR 65756; April 29, 2005, 70 FR 22516.

Ruth Stevenson,

Chief Counsel, Ethics and Legal Compliance.

[FR Doc. 2022-28026 Filed 12-22-22; 8:45 am]

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[Investment Company Act Release No. 34779; File No. 812-15248]

PCM Fund, Inc., et al.

December 19, 2022.

AGENCY: Securities and Exchange Commission (“Commission” or “SEC”).

ACTION: Notice.

Notice of application for an order under sections 17(d) and 57(i) of the Investment Company Act of 1940 (the “Act”) and rule 17d-1 under the Act to permit certain joint transactions otherwise prohibited by sections 17(d) and 57(a)(4) of the Act and rule 17d-1 under the Act.

SUMMARY OF APPLICATION: Applicants request an order to permit certain business development companies and closed-end management investment companies to co-invest in portfolio companies with each other and with certain affiliated investment entities.

APPLICANTS: PCM Fund, Inc., PIMCO Corporate & Income Opportunity Fund, PIMCO Corporate & Income Strategy Fund, PIMCO Dynamic Income Fund, PIMCO Dynamic Income Opportunities Fund, PIMCO Energy and Tactical Credit Opportunities Fund, PIMCO Global StocksPLUS® & Income Fund, PIMCO High Income Fund, PIMCO Income Strategy Fund, PIMCO Income Strategy Fund II, PIMCO Strategic Income Fund, Inc., PIMCO Access Income Fund, PIMCO California Municipal Income Fund, PIMCO California Municipal Income Fund II, PIMCO California Municipal Income Fund III, PIMCO Municipal Income Fund, PIMCO Municipal Income Fund II, PIMCO Municipal Income Fund III, PIMCO New York Municipal Income Fund, PIMCO New York Municipal Income Fund II, PIMCO New York Municipal Income Fund III, PIMCO Flexible Credit Income Fund, PIMCO Flexible Municipal Income Fund, PIMCO Flexible Emerging Markets Income Fund, PIMCO Flexible Real Estate Income Fund, PIMCO California Flexible Municipal Income Fund, PIMCO Capital Solutions BDC Corp., PIMCO BRAVO Fund III, L.P., LVS III Holding LP, PIMCO BRAVO Fund IV, L.P., LVS IV Holding SP LP, LVS IV Holding LP, PIMCO Real Estate Opportunities Fund, L.P., PIMCO Commercial Real Estate Debt Fund, L.P., PIMCO Commercial Real Estate Debt Fund II, L.P., PIMCO Corporate Opportunities Fund III, L.P., PIMCO Corporate Opportunities Fund IV, L.P., OC III Holding LP, PIMCO Private

Income Fund LP, PIMCO Tactical Opportunities Master Fund Ltd., PIMCO Horseshoe Fund, LP, PIMCO Red Stick Fund, L.P., PIMCO Distressed Senior Credit Opportunities Fund II, L.P., PIMCO Disco Fund III LP, PIMCO Residential Opportunities Fund, L.P., PHFS Residential Opportunities Offshore Fund, L.P., PIMCO OP Trust Flexible Credit Fund, L.P., PIMCO Flexible Credit Master Fund, L.P., PIMCO ILS Series SPC, on behalf of and for the Account of PIMCO ILS Fund I, PIMCO ILS Series SPC, on behalf of and for the Account of PIMCO ILS Fund II, PIMCO Global Credit Opportunity Master Fund LDC, PIMCO Absolute Return Strategy 3 Master Fund LDC, PIMCO Absolute Return Strategy 3D Offshore Fund LTD., PIMCO Absolute Return Strategy 3E Master Fund LDC, PIMCO Absolute Return Strategy IV Master Fund LDC, PIMCO Absolute Return Strategy IV IDF LLC, PIMCO Absolute Return Strategy IV eFund, PIMCO Absolute Return Strategy V Master Fund LDC, PIMCO Mortgage Investment Trust, INC., PIMCO Private Diversified Lending Fund Private Sleeve LP, PIF II Offshore I LTD, PAF LUX SCA, SICAV-RAIF, PIMCO Disco Contingent Capital Fund Series I LP, PIMCO European Data Centre Opportunity Fund, SCSP, PIMCO Commercial Real Estate Lending Europe Fund SCSP, PIMCO Corporate Opportunities Fund IV LUX, SCSP, DCCF SPV 1 Series 1 LP, DCCF SPV 1 Cayman Series 1 LTD, PIMCO Specialty Finance Income Fund, L.P., SFI Offshore 1 LTD, PIMCO Elysian Park Fund, L.P., PIMCO CLO Opportunities Fund II, L.P., PIMCO Investments LLC, Pacific Investment Management Company LLC.

FILING DATES: The application was filed on July 20, 2021, and amended on March 3, 2022, August 29, 2022 and November 29, 2022.

HEARING OR NOTIFICATION OF HEARING: An order granting the requested relief will be issued unless the Commission orders a hearing. Interested persons may request a hearing on any application by emailing the Commission's Secretary at Secretaries-Office@sec.gov and serving the Applicants with a copy of the request by email, if an email address is listed for the relevant Applicant below, or personally or by mail, if a physical address is listed for the relevant Applicant below. Hearing requests should be received by the Commission by 5:30 p.m. on January 13, 2023, and should be accompanied by proof of service on the Applicants, in the form of an affidavit, or, for lawyers, a certificate of service. Pursuant to rule 0-

5 under the Act, hearing requests should state the nature of the writer's interest, any facts bearing upon the desirability of a hearing on the matter, the reason for the request, and the issues contested. Persons who wish to be notified of a hearing may request notification by emailing the Commission's Secretary at Secretaries-Office@sec.gov.

ADDRESSES: The Commission: Secretaries-Office@sec.gov. Applicants: David C. Sullivan, Ropes & Gray LLP, David.sullivan@ropesgray.com and Michael G. Doherty, Ropes & Gray LLP, Michael.doherty@ropesgray.com.

FOR FURTHER INFORMATION CONTACT: Barbara T. Heussler, Senior Counsel, or Trace W. Rakestraw, Branch Chief, at (202) 551-6825 (Division of Investment Management, Chief Counsel's Office).

SUPPLEMENTARY INFORMATION: For Applicants' representations, legal analysis, and conditions, please refer to Applicants' third amended and restated application, dated November 29, 2022, which may be obtained via the Commission's website by searching for the file number at the top of this document, or for an Applicant using the Company name search field on the SEC's EDGAR system. The SEC's EDGAR system may be searched at, <http://www.sec.gov/edgar/searchedgar/legacy/companysearch.html>. You may also call the SEC's Public Reference Room at (202) 551-8090.

For the Commission, by the Division of Investment Management, under delegated authority.

Sherry R. Haywood,
Assistant Secretary.

[FR Doc. 2022-27913 Filed 12-22-22; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-96541/December 20, 2022]

Order Scheduling Filing of Statements on Review; In the Matter of the Financial Industry Regulatory Authority, Inc. for an Order Granting the Approval of Proposed Rule Change, as Modified by Amendment No. 2, To Establish a Corporate Bond New Issue Reference Data Service (File No. SR-FINRA-2019-008)

On January 15, 2021, the Commission issued an order ("Approval Order") approving a proposed rule change ("Proposal") by Financial Industry Regulatory Authority, Inc. ("FINRA") to establish a new issue reference data

service for corporate bonds.¹ Bloomberg L.P. ("Bloomberg") filed a petition for review of the Approval Order in the U.S. Court of Appeals for the District of Columbia Circuit ("D.C. Circuit"), challenging the Commission's Approval Order.

The D.C. Circuit found that all but one of Bloomberg's arguments lacked merit. Specifically, the D.C. Circuit concluded that the Approval Order failed to sufficiently consider Bloomberg's "concerns about the costs that FINRA, as well as market participants, will incur in connection to the creation and maintenance of the data service."² The D.C. Circuit remanded to the Commission for reconsideration of this issue, but did not vacate the Approval Order.³

The court stated that "on remand, 'the Commission can redress its failure of explanation' by analyzing the costs FINRA will incur in building and maintaining its data service and how the costs of building the data service will be remunerated if the fee proposal is ultimately disapproved by the Commission."⁴ The D.C. Circuit's mandate, which was issued on October 11, 2022, returned the matter to the Commission for further proceedings.⁵

Accordingly, to facilitate the Commission's further review of the Proposal, *it is ordered*, that by January 19, 2023, FINRA may submit any additional statements or information that it considers relevant to the Commission's analysis of the issue on remand, including the costs FINRA expects to incur in building and maintaining its data service and how the costs of building the data service would be remunerated if the fee proposal is ultimately disapproved by the Commission.

Furthermore, the Commission is providing other parties and persons 30 days to respond to any additional statements or information FINRA may submit.

Accordingly, *it is ordered*, that by February 18, 2023, any party or other person may submit any additional statements or information such party or other person considers relevant to the issue on remand.

¹ See Exchange Act Release No. 90939 (Jan. 15, 2021), 86 FR 6922 (Jan. 25, 2021) (Order Setting Aside Action by Delegated Authority and Approving a Proposed Rule Change, as Modified by Amendment No. 2, To Establish a Corporate Bond New Issue Reference Data Service).

² *Bloomberg L.P. v. SEC*, 45 F.4th 462, 466 (D.C. Cir. 2022).

³ *Id.* at 478.

⁴ *Id.* at 477.

⁵ Doc. No. 1968395, Case No. 21-1088 (D.C. Cir. Oct. 11, 2022).