

The public portions of the Postal Service's request(s) can be accessed via the Commission's website (<http://www.prc.gov>). Non-public portions of the Postal Service's request(s), if any, can be accessed through compliance with the requirements of 39 CFR 3011.301.<sup>1</sup>

The Commission invites comments on whether the Postal Service's request(s) in the captioned docket(s) are consistent with the policies of title 39. For request(s) that the Postal Service states concern Market Dominant product(s), applicable statutory and regulatory requirements include 39 U.S.C. 3622, 39 U.S.C. 3642, 39 CFR part 3030, and 39 CFR part 3040, subpart B. For request(s) that the Postal Service states concern Competitive product(s), applicable statutory and regulatory requirements include 39 U.S.C. 3632, 39 U.S.C. 3633, 39 U.S.C. 3642, 39 CFR part 3035, and 39 CFR part 3040, subpart B. Comment deadline(s) for each request appear in section II.

## II. Docketed Proceeding(s)

1. *Docket No(s)*.: MC2024-775 and K2024-68; *Filing Title*: USPS Request to Add Priority Mail & USPS Ground Advantage Contract 368 to Competitive Product List and Notice of Filing Materials Under Seal; *Filing Acceptance Date*: September 30, 2024; *Filing Authority*: 39 U.S.C. 3642, 39 CFR 3035.105, and 3041.310; *Public Representative*: Gregory S. Stanton; *Comments Due*: October 8, 2024.

2. *Docket No(s)*.: MC2024-776 and K2024-69; *Filing Title*: USPS Request to Add Priority Mail Express, Priority Mail & USPS Ground Advantage Contract 413 to the Competitive Product List and Notice of Filing Materials Under Seal; *Filing Acceptance Date*: September 30, 2024; *Filing Authority*: 39 U.S.C. 3642, 39 CFR 3035.105, and 3041.310; *Public Representative*: Gregory S. Stanton; *Comments Due*: October 8, 2024.

3. *Docket No(s)*.: MC2024-777 and K2024-70; *Filing Title*: USPS Request to Add Priority Mail Express, Priority Mail & USPS Ground Advantage Contract 414 to the Competitive Product List and Notice of Filing Materials Under Seal; *Filing Acceptance Date*: September 30, 2024; *Filing Authority*: 39 U.S.C. 3642, 39 CFR 3035.105, and 3041.310; *Public Representative*: Gregory S. Stanton; *Comments Due*: October 8, 2024.

4. *Docket No(s)*.: MC2024-778 and K2024-71; *Filing Title*: USPS Request to Add Priority Mail Express, Priority Mail

& USPS Ground Advantage Contract 415 to the Competitive Product List and Notice of Filing Materials Under Seal; *Filing Acceptance Date*: September 30, 2024; *Filing Authority*: 39 U.S.C. 3642, 39 CFR 3035.105, and 3041.310; *Public Representative*: Alain Brou; *Comments Due*: October 8, 2024.

5. *Docket No(s)*.: MC2024-779 and K2024-72; *Filing Title*: USPS Request to Add Priority Mail Express, Priority Mail & USPS Ground Advantage Contract 416 to the Competitive Product List and Notice of Filing Materials Under Seal; *Filing Acceptance Date*: September 30, 2024; *Filing Authority*: 39 U.S.C. 3642, 39 CFR 3035.105, and 3041.310; *Public Representative*: Alain Brou; *Comments Due*: October 8, 2024.

6. *Docket No(s)*.: MC2024-780 and K2024-73; *Filing Title*: USPS Request to Add Priority Mail Express, Priority Mail & USPS Ground Advantage Contract 417 to the Competitive Product List and Notice of Filing Materials Under Seal; *Filing Acceptance Date*: September 30, 2024; *Filing Authority*: 39 U.S.C. 3642, 39 CFR 3035.105, and 3041.310; *Public Representative*: Almaroof Agoro; *Comments Due*: October 8, 2024.

7. *Docket No(s)*.: MC2024-781 and K2024-74; *Filing Title*: USPS Request to Add Priority Mail Express, Priority Mail & USPS Ground Advantage Contract 418 to the Competitive Product List and Notice of Filing Materials Under Seal; *Filing Acceptance Date*: September 30, 2024; *Filing Authority*: 39 U.S.C. 3642, 39 CFR 3035.105, and 3041.310; *Public Representative*: Almaroof Agoro; *Comments Due*: October 8, 2024.

8. *Docket No(s)*.: MC2024-782 and K2024-75; *Filing Title*: USPS Request to Add Priority Mail Express, Priority Mail & USPS Ground Advantage Contract 419 to the Competitive Product List and Notice of Filing Materials Under Seal; *Filing Acceptance Date*: September 30, 2024; *Filing Authority*: 39 U.S.C. 3642, 39 CFR 3035.105, and 3041.310; *Public Representative*: Nikki Brendemuehl; *Comments Due*: October 8, 2024.

9. *Docket No(s)*.: MC2024-783 and K2024-76; *Filing Title*: USPS Request to Add Priority Mail Express, Priority Mail & USPS Ground Advantage Contract 420 to the Competitive Product List and Notice of Filing Materials Under Seal; *Filing Acceptance Date*: September 30, 2024; *Filing Authority*: 39 U.S.C. 3642, 39 CFR 3035.105, and 3041.310; *Public Representative*: Samuel Robinson; *Comments Due*: October 8, 2024.

This Notice will be published in the **Federal Register**.

Erica A. Barker,  
Secretary.

[FR Doc. 2024-23026 Filed 10-4-24; 8:45 am]

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## SECURITIES AND EXCHANGE COMMISSION

[SEC File No. 270-318, OMB Control No. 3235-0361]

### Proposed Collection; Comment Request; Extension: Form ADV-E

*Upon Written Request, Copies Available From*: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549-2736

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (the "Commission") is soliciting comments on the collection of information summarized below. The Commission plans to submit this existing collection of information to the Office of Management and Budget for extension and approval.

Form ADV-E (17 CFR 279.8) is the cover sheet for certificates of accounting filed pursuant to rule 206(4)-2(a)(4) under the Investment Advisers Act of 1940 (17 CFR 275.206(4)-(2)(a)(4)). The rule further requires that the public accountant file with the Commission a Form ADV-E and accompanying statement within four business days of the resignation, dismissal, removal from consideration for being reappointed, or other termination of its engagement.

The Commission has estimated that compliance with the requirement to complete Form ADV-E imposes a total burden of approximately 0.05 hours (3 minutes) per respondent. Based on current information from advisers registered with the Commission, the Commission staff estimates that 1,946 filings will be submitted with respect to surprise examinations and 52 filings will be submitted with respect to termination of accountants. Based on these estimates, the total estimated annual burden would be 99.90 hours ((1,946 filings × .05 hours) + (52 filings × .05 hours)).

Written comments are invited on: (a) whether the proposed collection of information is necessary for the proper performance of the functions of the Commission, including whether the information shall have practical utility; (b) the accuracy of the Commission's estimate of the burden of the collection

<sup>1</sup> See Docket No. RM2018-3, Order Adopting Final Rules Relating to Non-Public Information, June 27, 2018, Attachment A at 19-22 (Order No. 4679).

of information; (c) ways to enhance the quality, utility, and clarity of the information collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted by December 6, 2024.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information under the PRA unless it displays a currently valid OMB control number.

Please direct your written comments to: Austin Gerig, Director/Chief Data Officer, Securities and Exchange Commission, c/o Oluwaseun Ajayi, 100 F Street NE, Washington, DC 20549 or send an email to: [PRA\\_Mailbox@sec.gov](mailto:PRA_Mailbox@sec.gov).

Dated: October 2, 2024.

**Vanessa A. Countryman,**  
Secretary.

[FR Doc. 2024-23150 Filed 10-4-24; 8:45 am]

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-101225; File No. 4-698]

### Joint Industry Plan; Notice of Filing of Partial Amendment No. 1 to an Amendment to the National Market System Plan Governing the Consolidated Audit Trail Regarding Cost Savings Measures

October 1, 2024.

On March 27, 2024, and pursuant to Section 11A(a)(3) of the Securities Exchange Act of 1934 (the “Exchange Act”)<sup>1</sup> and Rule 608 of Regulation NMS thereunder,<sup>2</sup> BOX Exchange LLC, Cboe BYX Exchange, Inc., Cboe BZX Exchange, Inc., Cboe C2 Exchange, Inc., Cboe EDGA Exchange, Inc., Cboe EDGX Exchange, Inc., Cboe Exchange, Inc., The Financial Industry Regulatory Authority, Inc., Investors’ Exchange LLC, Long-Term Stock Exchange, Inc., MEMX LLC, Miami International Securities Exchange LLC, MIAX Emerald, LLC, MIAX PEARL, LLC, Nasdaq BX, Inc., Nasdaq GEMX, LLC, Nasdaq ISE, LLC, Nasdaq MRX, LLC, Nasdaq PHLX LLC, The Nasdaq Stock Market LLC, New York Stock Exchange LLC, NYSE American LLC, NYSE Arca, Inc., NYSE Chicago, Inc., and NYSE National, Inc. (“the Participants”) filed with the Securities and Exchange Commission (the “Commission” or the

“SEC”) proposed amendments to the national market system plan governing the consolidated audit trail (the “CAT NMS Plan”)<sup>3</sup> that were designed to implement certain costs saving measures (the “Proposed Cost Savings Amendments”).<sup>4</sup> The Proposed Cost Savings Amendments were published for comment in the **Federal Register** on April 16, 2024.<sup>5</sup> On July 15, 2024, the Commission instituted proceedings pursuant to Rule 608(b)(2)(i) of Regulation NMS,<sup>6</sup> to determine whether to disapprove the Proposed Cost Savings Amendments or to approve the Proposed Cost Savings Amendments with any changes or subject to any conditions the Commission deems necessary or appropriate after considering public comment (the “OIP”).<sup>7</sup>

On September 20, 2024, the Participants submitted a letter to propose certain revisions to the Proposed Cost Savings Amendments and to respond to issued discussed in the OIP.<sup>8</sup> Sections I, II, and III below, which were substantially prepared by CAT LLC on behalf of the Participants, contain a description of the revisions to the Proposed Cost Savings Amendments, additional details regarding the Participants’ calculation of

<sup>3</sup> In July 2012, the Commission adopted Rule 613 of Regulation NMS, which required the Participants to jointly develop and submit to the Commission a national market system plan to create, implement, and maintain a consolidated audit trail (the “CAT”). See Securities Exchange Act Release No. 67457 (July 18, 2012), 77 FR 45722 (Aug. 1, 2012) (“Rule 613 Adopting Release”); 17 CFR 242.613. On November 15, 2016, the Commission approved the CAT NMS Plan. See Securities Exchange Act Release No. 78318 (Nov. 15, 2016), 81 FR 84696 (Nov. 23, 2016) (“CAT NMS Plan Approval Order”). The CAT NMS Plan is Exhibit A to the CAT NMS Plan Approval Order. See CAT NMS Plan Approval Order, at 84943-85034.

<sup>4</sup> See Letter from Brandon Becker, CAT NMS Plan Operating Committee Chair, to Vanessa Countryman, Secretary, Commission, dated March 27, 2024, available at <https://catnmsplan.com/sites/default/files/2024-03/03.27.24-Proposed-CAT-NMS-Plan-Amendment-Cost-Savings-Amendment.pdf>. MIAX Sapphire, LLC was not a Participant to the CAT NMS Plan when the Proposal was originally filed, but the Participants filed an immediately-effective amendment to the CAT NMS Plan on July 30, 2024 to add MIAX Sapphire, LLC as a Participant. See Securities Exchange Act Release No. 100631 (July 31, 2024), 89 FR 64011 (Aug. 6, 2024).

<sup>5</sup> See Securities Exchange Act Release No. 99938 (Apr. 10, 2024), 89 FR 26983 (Apr. 16, 2024) (“Notice”). Comments received in response to the Notice can be found on the Commission’s website at <https://www.sec.gov/comments/4-698/4-698-d.htm>.

<sup>6</sup> 17 CFR 242.608(b)(2)(i).

<sup>7</sup> See Securities Exchange Act Release No. 100530 (July 15, 2024), 89 FR 58838 (July 19, 2024).

<sup>8</sup> See Letter from Brandon Becker, CAT NMS Plan Operating Committee Chair, to Vanessa Countryman, Secretary, Commission, dated Sept. 20, 2024, available at <https://www.sec.gov/comments/4-698/4698-522995-1501362.pdf>.

estimated cost savings, and responses prepared by the Participants to the OIP, respectively. In addition, the Participants filed *Exhibit A*, which sets forth the cumulative changes proposed to be made to the CAT NMS Plan, and *Exhibit B*, which sets forth the proposed additional revisions to the changes in the Proposed Cost Savings Amendments. The Commission is publishing this notice to solicit comments on the additional revisions to the Proposed Cost Savings Amendments from interested persons.

### I. Revisions to the Proposed Cost Savings Amendments

CAT LLC was both surprised and disappointed by the Commission’s decision to institute proceedings on the Proposed Cost Savings Amendments, which will delay or ultimately impede CAT LLC’s ability to achieve more than \$20 million in annual cost savings. All commenters strongly favored the Proposed Cost Savings Amendments.<sup>9</sup> The Commission did not receive any comments opposing the proposed amendments.

While the Commission does not bear any CAT costs, the Commission’s objective should be to ensure the CAT achieves its regulatory objectives in an efficient and cost-effective manner. The immense costs of operating the CAT—estimated at \$213 million in 2024—are driven by the strict requirements in the CAT NMS Plan to process and store record data volumes in accordance with complex reporting and linkage requirements, within the narrow timeframes required by the SEC. CAT LLC and the Plan Processor have put significant effort into reducing CAT costs that are within their control given these strict requirements, but many additional cost savings measures require Commission action to permit their implementation.

After discussions with the Staff, CAT LLC is withdrawing the proposal to provide an interim CAT-Order-ID on an “as requested” basis, as opposed to on a continuous basis, which would have resulted in approximately \$2 million in annual cost savings. With these changes, CAT LLC strongly urges the Commission to approve the Proposed Cost Savings Amendments without further delay.

<sup>9</sup> See Letter from Howard Meyerson, Managing Director, FIF, to Commission (May 7, 2024); Letter from Ellen Greene, Managing Director, Equities & Options Market Structure, and Joseph Corcoran, Managing Director, Associate General Counsel, SIFMA, to Vanessa Countryman, Secretary, Commission (May 31, 2024); Letter from Jeffrey S. Davis, Senior Vice President, Principal Deputy General Counsel, Nasdaq, Inc., to Vanessa Countryman, Secretary, Commission (July 1, 2024).

<sup>1</sup> 15 U.S.C. 78k-1(a)(3).

<sup>2</sup> 17 CFR 242.608.