

112TH CONGRESS
1ST SESSION

H. R. 3204

To amend the Federal Food, Drug, and Cosmetic Act to ensure public participation in the drafting and issuance of Level 1 guidance documents, and for other purposes.

IN THE HOUSE OF REPRESENTATIVES

OCTOBER 14, 2011

Mr. GUTHRIE (for himself, Mr. SHIMKUS, Mr. ROGERS of Michigan, Mrs. BLACKBURN, Mr. PAULSEN, and Mr. LATTA) introduced the following bill; which was referred to the Committee on Energy and Commerce

A BILL

To amend the Federal Food, Drug, and Cosmetic Act to ensure public participation in the drafting and issuance of Level 1 guidance documents, and for other purposes.

1 *Be it enacted by the Senate and House of Representa-*
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4 This Act may be cited as the “Guidance Account-
5 ability and Transparency Act of 2011”.

1 **SEC. 2. PUBLIC PARTICIPATION IN ISSUANCE OF FDA GUID-**
2 **ANCE DOCUMENTS.**

3 Subparagraph (C) of section 701(h)(1) of the Federal
4 Food, Drug, and Cosmetic Act (21 U.S.C. 371(h)(1)) is
5 amended to read as follows:

6 “(C) For any guidance document that sets
7 forth initial interpretations of a statute or regu-
8 lation, sets forth changes in interpretation or
9 policy that are of more than a minor nature, in-
10 cludes complex scientific issues, or covers highly
11 controversial issues—

12 “(i) the Secretary shall—

13 “(I) at least 3 months before
14 issuance of a draft, publish notice in
15 the Federal Register of the Sec-
16 retary’s intent to prepare such a guid-
17 ance document; and

18 “(II) during preparation and be-
19 fore issuance of a draft, meet with in-
20 terested stakeholders and solicit public
21 comment;

22 “(ii) if the Secretary for good cause
23 finds that compliance with clause (i) is im-
24 practicable, unnecessary, or contrary to the
25 public interest—

1 “(I) the Secretary shall publish
2 such finding and a brief statement of
3 the reasons therefor in the Federal
4 Register;

5 “(II) clause (i) shall not apply;
6 and

7 “(III) during a period of at least
8 3 months beginning not later than the
9 date of issuance of a draft, the Sec-
10 retary shall meet with interested
11 stakeholders and solicit public com-
12 ment;

13 “(iii) upon issuance of a draft under
14 clause (i) or (ii), the Secretary shall—

15 “(I) designate the draft as pro-
16 posed or final; and

17 “(II) not later than 12 months
18 after the date of issuance of a pro-
19 posed draft, issue a final draft in ac-
20 cordance with clauses (i) and (ii);

21 “(iv) if the Secretary issues a pro-
22 posed draft and fails to finalize the draft
23 by the deadline determined under clause
24 (iii)(II), the Secretary shall, beginning on

1 the date of such deadline, treat the pro-
2 posed draft as null and void; and

3 “(v) not less than every 5 years after
4 the issuance of a final guidance document
5 in accordance with clause (iii), the Sec-
6 retary shall—

7 “(I) conduct a retrospective anal-
8 ysis of such guidance document to en-
9 sure it is not outmoded, ineffective,
10 insufficient, or excessively burden-
11 some; and

12 “(II) based on such analysis,
13 modify, streamline, expand, or repeal
14 the guidance document in accordance
15 with what has been learned.

16 “(D) A notice to industry guidance letter,
17 a notice to industry advisory letter, and any
18 similar notice that sets forth initial interpreta-
19 tions of a statute or regulation, sets forth
20 changes in interpretation or policy that are of
21 more than a minor nature, includes complex sci-
22 entific issues, or covers highly controversial
23 issues shall be treated as a guidance document
24 for purposes of subparagraph (C).”.

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